

# PD-L1 Expression in Colorectal Cancer and Its Relation to Microsatellite Instability and Cytotoxic Tumor-Infiltrating Lymphocytes

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## Abstract

**Background:** Colorectal cancer (CRC) is the third most common cancer worldwide. Tumor cell PD-L1 expression has been shown to enable immune evasion by suppressing the immune system's active T-cell-mediated response.

**Aim:** To investigate the expression of PD-L1 in CRC and its correlation with microsatellite instability (MSI) and cytotoxic tumor-infiltrating lymphocytes.

**Methods:** The pathological specimens of 49 cases of CRC were studied for PD-L1 expression and its correlation with different clinicopathological parameters, including MSI and cytotoxic CD8+ve tumor-infiltrating lymphocytes.

**Results:** High PD-L1 expression in the microenvironment was significantly higher in low-grade tumors compared to high-grade tumors (50% vs. 12%, respectively;  $p = 0.008$ ). Additionally, high PD-L1 expression in the microenvironment was significantly associated with a lack of mucinous change ( $p = 0.019$ ), low T stage ( $p = 0.001$ ), and non-infiltrative (pushing) tumor borders ( $p = 0.037$ ). High PD-L1 expression in cancer cells was more prevalent in low T stage tumors and those with a high peritumoral CD8+ lymphocyte count. All cases of high PD-L1 expression in cancer cells also showed high PD-L1 expression in the microenvironment ( $p < 0.001$ ). There was a significant relationship between intratumoral CD8+ lymphocyte count and MSI ( $p = 0.026$ ), with all MSI-low cases showing a high intratumoral CD8+ lymphocyte count. There was no significant relationship between PD-L1 expression and MSI.

**Conclusions:** PD-L1 expression in the microenvironment was significantly correlated with tumor grade, mucinous change, type of tumor border, and T stage. This suggests a prognostic role for PD-L1 expression in colon cancer.

**Keywords:** Colorectal cancer, Cytotoxic tumor-infiltrating lymphocytes, Microenvironment, Microsatellite instability, PD-L1

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## Introduction

Colorectal cancer (CRC) is the third most common cancer worldwide and is characterized by specific genetic changes, an expression signature, and a therapy response <sup>1</sup>. It is caused by genetic alterations of tumor cells and affected by tumor-host interactions <sup>2</sup>. One of these interactions is the histological reaction of tumor infiltrating lymphocytes (TILs) that constitutes a favourable prognostic factor in CRC, acting as gatekeepers in preventing tumor dissemination <sup>3</sup>.

The immune system is distinguished by the presence of inhibitory mechanisms that stop excessive lymphocyte activation <sup>4</sup>. Programmed cell death receptor 1 (PD-1; CD279) is typically expressed by activated lymphocytes <sup>5</sup>. Its engagement by specific ligands, including PD ligand 1 (PD-L1; B7-H1; CD274) and PD ligand 2 (PD-L2; B7-DC; CD273), causes down-regulation of lymphocyte proliferation and cytokine production resulting in lymphocyte exhaustion and induction of immunological tolerance <sup>6-9</sup>.

PD-L1 is expressed by T and B cells, macrophages and dendritic cells and is up-regulated through

activation by interferons<sup>8,9</sup>. It is also expressed by other cell types including endothelial, pancreatic and muscle cells<sup>5</sup>. In contrast, PD-L2 expression is much more restricted and is detected in activated dendritic cells and macrophages<sup>9</sup>.

By suppressing the active T-cell-mediated immune response, tumor cells that express PD-L1 can evade the immune system<sup>10,11</sup>. As a result, the production of PD ligands on tumor cells inhibits CD8+ T-cells' cytotoxicity<sup>12</sup>.

Several tumor cells have been identified to express PD-L1 and, to a lesser extent, PD-L2, including glioblastoma<sup>13</sup>, renal cell carcinomas<sup>14</sup>, squamous cell carcinoma of the head and neck<sup>15</sup>, esophageal<sup>16</sup> and non-small cell lung cancers<sup>17</sup>.

Because immunological checkpoints are one of the pathways for immune evasion and tumor formation, blockage of these molecules with monoclonal antibodies may restore host immune response and, consequently, stop tumor growth and lead to tumor regression. For this reason, immunotherapy employing immune checkpoint inhibitors is a rapidly growing technique for the treatment of some human malignancies<sup>18,19</sup>.

One of the predictive markers for the response to checkpoint inhibitors is the immunohistochemical expression of PD-L1. Inhibitors targeting PD-L1, or PD-1 protein can improve clinical outcomes in several cancer types such as malignant melanoma, non-small cell lung cancer, renal cell carcinoma, and bladder cancer. Although initial studies suggested no role for immunotherapy in CRC<sup>20</sup>, Le et al showed that 40% of patients with CRC-mismatch repair deficiency (dMMR), treated with pembrolizumab (anti-PD-1 monoclonal antibody) responded to therapy vs 0% of patients with CRC-mismatch repair proficiency (pMMR)<sup>21</sup>.

Although chromosomal instability is the predominant mechanism for CRC development, 12-15% of cases contain dMMR, which causes microsatellite instability (MSI)<sup>22,23</sup>. Increased mutations caused by this deficiency result in the production of abnormal proteins that act as neo-antigens and trigger an immunological response in TILs. Compared to other forms of CRCs, MSI CRC exhibits a T immune infiltrate that is linked to the overexpression of T cell checkpoints such PD-L1. It also has a better prognosis<sup>24,25</sup>.

The aim of this study was to explore the expression of PD-L1 in colorectal cancer and its relationship with MSI and cytotoxic tumor-infiltrating lymphocytes.

## Methods

The pathological specimens of forty-nine cases of CRC were retrieved from the pathology department, Ahmed Maher teaching hospital, Egypt during the period from January to December 2020. Demographic and clinical data of the patients were collected from the hospital files.

### Immunohistochemistry

Five µm thick sections were cut from formalin-fixed paraffin embedded tissue blocks and stained with haematoxylin and eosin for histopathological examination. Six extra sections were cut from each paraffin block and stained with mismatch repair proteins (MLH1, PMS2, MSH2 and MSH6), CD8 and PD-L1.

Immunohistochemical staining was performed using immunostainer (Shandon Sequenza) using the labelled streptavidin biotin method with the following reagents: Diva Decloaker, pretreatment antigen retrieval (Biocare Medical, catalogue number: DV2004 LX, MX), hydrogen peroxide block (Lab Vision, USA, catalogue number: TA-060-HP), ultravision large volume detection system (Lab Vision, USA, catalogue number: TP-060- HL) including Ultra V block, biotinylated goat anti - polyvalent plus (link) and streptavidin peroxidase plus (label), and DAB plus substrate system (Lab Vision, USA, catalogue number: TA-060-HDX) including DAB plus chromogen and DAB plus substrate.

The primary antibodies were PMS-2: a mouse polyclonal antibody (Biocare Medical, catalogue number: PM 344 AA), MLH-1: a mouse monoclonal antibody (Biocare Medical, catalogue number: PM 220 AA), MSH-6: a mouse monoclonal antibody (Biocare Medical, catalogue number: PM 265 AA), MSH-2: a mouse monoclonal antibody (Biocare Medical, catalogue number: PM 219 AA), and PD-L1: 22C3 pharmDx (Code SK006).

For mismatch repair antibodies, non-neoplastic colonic mucosa, stromal cells, infiltrating lymphocytes or the centres of lymphoid follicles, were used as internal positive controls. Sections of the same tissue were used following the same procedure, but the phosphate buffered saline (PBS) was used instead of the primary antibody being used as internal negative controls.

Cases were categorized into positive (nuclear staining within tumor cells) and negative (complete absence of nuclear staining within tumor cells with concurrent internal positive controls)<sup>26</sup>. Then cases

were interpreted as microsatellite stable (MSS) when all the four antibodies show positive nuclear staining of tumor cells, as microsatellite instable low (MSI-L) when one antibody shows negative nuclear staining of tumor cells, and as microsatellite instable high (MSI-H) when two antibodies or more show negative nuclear staining of tumor cells <sup>27</sup>.

For PD-L1, the kit set had positive and negative control slides. PD-L1 stained tissues were scored as low or high when <5% or  $\geq$ 5% of the cells are positive, respectively <sup>28</sup>.

For CD8, intratumoral CD8-positive T cell density, defined as CD8 cells that infiltrated into cancer nests, were scored low or high when their mean number was <50 or  $\geq$ 50, respectively. Peritumoral CD8-positive T cell density, defined as CD8 cells that were distributed along the invasive margin of cancer, were scored low or high when the mean number was <200 or  $\geq$ 200, respectively. CD8-positive T cells were counted twice in a microscopic field at a magnification of x200 <sup>28</sup>.

### Statistical methods

Categorical data were described using frequencies and percentages, while quantitative data were presented as means and standard deviations. The Chi-square test or Fisher's exact test, as appropriate, was used to compare categorical data. A p-value of < 0.05 was considered statistically significant. Data analysis was conducted using IBM SPSS Statistics for Windows, Version 23.0 (Armonk, NY: IBM Corp).

## Results

The age of patients ranged from 27 to 87 years, with a mean of 55.3 years (standard deviation: 13.55). Twenty-seven cases (55.1%) were men, while 22 (44%) were women. Tumours were located in the left colon in 27 (55.1%) cases, the right colon in 20 (40.8%) and the transverse colon in only two (4.1%). The pathological characteristics are illustrated in Table 1.

Figures 1, 2, and 3 illustrate various patterns of PD-L1 expression in cancer cells and the surrounding microenvironment, as well as the infiltration of CD8+ lymphocytes both within and around the tumor. Table 2 illustrates the correlation between PD-L1 expression in cancer cells and the microenvironment and the pathological parameters of the studied cases.

**Table 1: Pathological characteristics of 49 colorectal carcinoma cases**

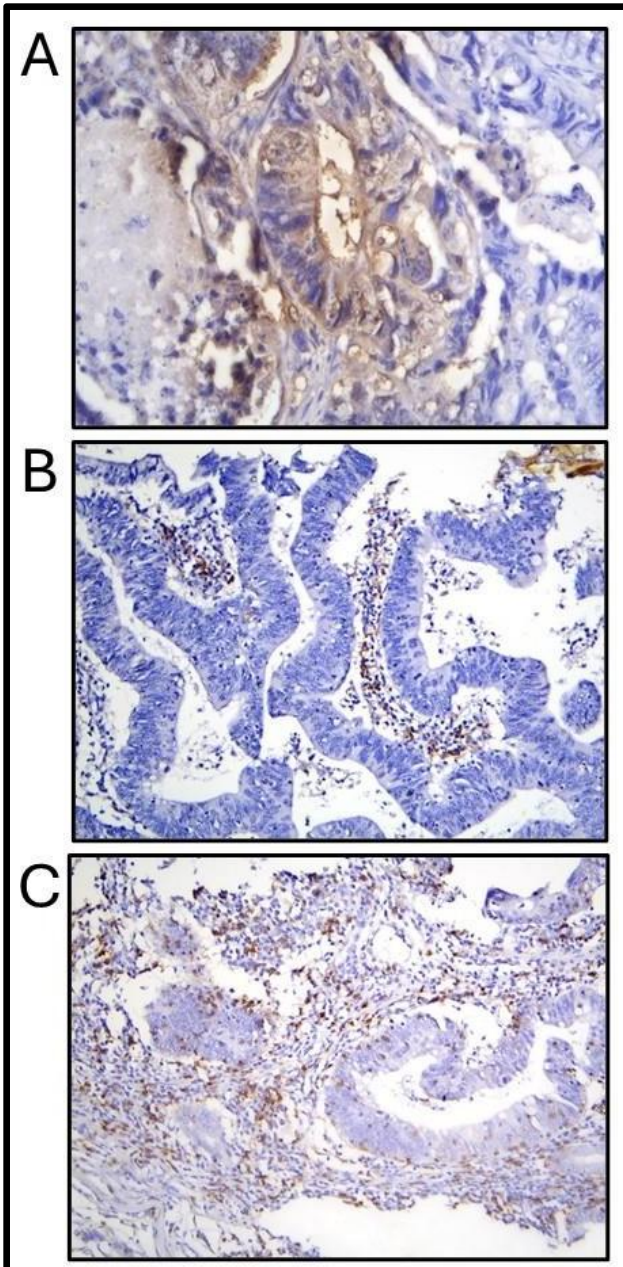
Characteristic	n (%)
<b>Tumor type</b>	
Adenocarcinoma	38 (77.6)
Mucinous adenocarcinoma	6 (12.2)
Signet ring carcinoma	5 (10.2)
<b>Tumor grade</b>	
Low	32 (65.3)
High	17 (34.7)
<b>T stage</b>	
T1	1 (2)
T2	5 (10.2)
T3	24 (49)
T4	19 (38.8)
<b>N stage</b>	
N0	20 (40.8)
N1	17 (34.7)
N2	12 (24.5)
<b>Lymphovascular invasion</b>	
Present	24 (49)
Absent	25 (51)
<b>Tumor borders</b>	
Infiltrative	31 (63.3)
Pushing	18 (36.7)
<b>Tumor necrosis</b>	
Present	22 (44.9)
Absent	27 (55.1)
<b>Microsatellite stability</b>	
Microsatellite stable	27 (55.1)
Microsatellite instable - Low	4 (8.2)
Microsatellite instable - High	18 (36.7)
<b>PD-L1 in cancer cells</b>	
Low	39 (79.6)
High	10 (20.4)
<b>PD-L1 in microenvironment</b>	
Low	31 (63.3)
High	18 (36.7)
<b>CD8 +ve intratumoral lymphocytes</b>	
Low	30 (61.2)
High	19 (38.8)
<b>CD8 +ve peritumoral lymphocytes</b>	
Low	43 (87.7)
High	6 (12.2)

There was a significant correlation between PD-L1 expression in the microenvironment and tumor grade. Low-grade tumors were more likely than high-grade tumors to show high PD-L1 expression in the microenvironment (50% vs. 12%, respectively;  $p = 0.008$ ) (Figures 1 and 2). All 31 cases of low PD-L1 expression in microenvironment were of high T stage while all low T stage cases were associated with high PD-L1 expression in microenvironment ( $p = 0.001$ ) (Figure1). Similarly, high PD-L1 expression

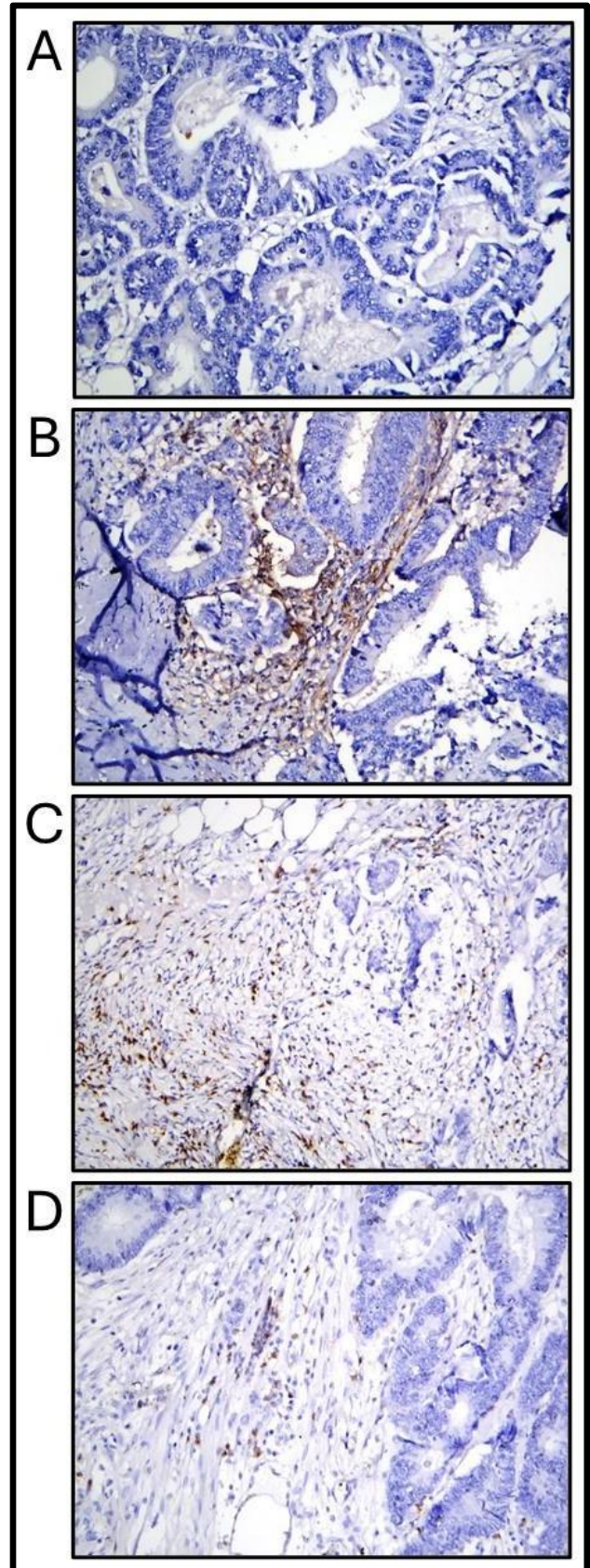


in microenvironment was significantly associated with adenocarcinoma type (Table 2).

PD-L1 expression in microenvironment was significantly correlated with type of tumor borders ( $p = 0.037$ ) as most of low expression cases (74.2%) had infiltrative borders while cases with pushing borders showed more high expression. High PD-L1 expression in cancer cells was more prevalent in tumors with lower T stages (50%) compared to those with higher T stages (16%) (Figure 2, Table 2).

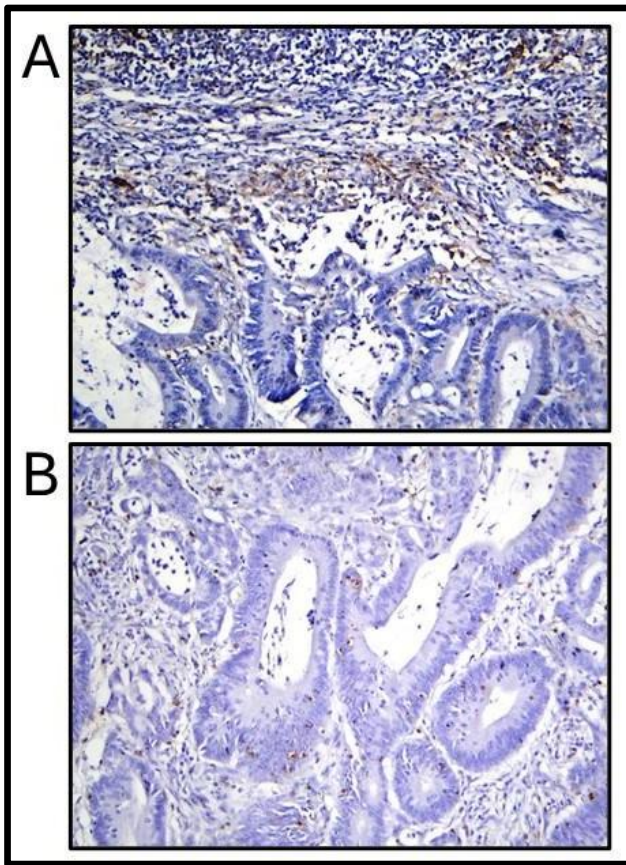


**Figure 1: Low grade colon adenocarcinoma, MSS, T2, with A) High PD-L1 expression in cancer cells (x400), B) High PD-L1 expression in the surrounding microenvironment (x200), and C) Intratumor and peritumor CD8+ve lymphocytes (x200)**



**Figure 2: Low grade colon adenocarcinoma, MSS, T4, with A) Low PD-L1 expression in cancer cells (x200), B) High PD-L1 expression in surrounding microenvironment (x200), C) High peritumor CD8+ve lymphocytes (x200), and D) Low intratumor CD8+ve lymphocytes (x200)**





**Figure 3: Low grade colon adenocarcinoma with mucinous differentiation, MSI-H, T2, with A) Low PD-L1 expression in cancer cells and high expression in surrounding microenvironment (x200), and B) Low intratumor and peritumor CD8+ve lymphocytes (x200)**

A significant correlation was found between PD-L1 expression in microenvironment and PD-L1 expression in cancer cells ( $p < 0.001$ ) as all cases of high PD-L1 expression in cancer cells showed also high PD-L1 expression in microenvironment and 79.5% of low PD-L1 expression in cancer cells showed also low PD-L1 expression in microenvironment (Figure 1).

High PD-L1 expression in cancer cells was more prevalent in tumors with CD8 peritumoral lymphocytes count as 92.3% of cases of low PD-L1 expression in cancer cells showed low CD8 peritumoral lymphocytes count (Figure 3). No significant correlation was found between PD-L1 expression in cancer cells and CD8 intratumoral lymphocytes count. Also, no significant correlation was found between PD-L1 expression in surrounding microenvironment and intratumoral or peritumoral CD8 lymphocytes.

A significant correlation was found between intratumoral CD8 lymphocytes count and microsatellite stability status ( $p = 0.026$ ) as all MSI-L

cases showed high intratumoral CD8 lymphocytes count while 72.2% of MSI-H cases showed low count and 63% of MSS showed also low count. No significant correlation was found between PD-L1 expression in cancer cells or surrounding microenvironment or peritumoral CD8 lymphocytes and microsatellite stability status.

## Discussion

In our study, the majority of cases exhibited low PD-L1 expression in cancer cells (79.6%) and in the surrounding microenvironment (63.3%). Similarly, Huang *et al.* and Koganemaru *et al.* reported low PD-L1 expression in cancer cells (56% and 91.9%, respectively) <sup>2, 28</sup>. Additionally, Koganemaru *et al.* found low PD-L1 expression in the microenvironment (84.7%) <sup>28</sup>.

Rosenbaum *et al.* found positive PD-L1 expression in only 9% of cases <sup>29</sup>. Masugi *et al.* observed positive PD-L1 expression in cancer cells in 89% of cases and in the microenvironment in 5% of cases <sup>30</sup>. In contrast, Valentini *et al.* found positive PD-L1 expression in cancer cells in 25% of cases and in the microenvironment in 78% of cases <sup>31</sup>. The findings of Masugi *et al.* were particularly distinctive as they examined PD-L1 expression levels in 823 cases of CRC. They assessed tumor expression levels in both the cytoplasm (intensity ranging from 0 to 3) and the membrane (absent [0] or present [1], if distinct membrane staining above the cytoplasmic staining level was observed), then used the sum of both scores in each case for further analyses <sup>30</sup>.

In this study, PD-L1 expression in the microenvironment was significantly correlated with tumor grade, mucinous change, type of tumor borders, and T stage. High PD-L1 expression in the microenvironment was associated with favorable parameters such as low tumor grade, low T stage, pushing borders, and absence of mucinous change. These findings differed from those of most studies. However, Droeser *et al.* found that high PD-L1 expression was associated with early T stage, absence of lymph node metastasis, lower tumor grade, absence of vascular invasion, and MMR proficiency <sup>32</sup>. Lee *et al.* reported that PD-L1 expression in TILs was linked to low TNM stage, absence of distant metastasis, and absence of lymphovascular and perineural invasion. In contrast, PD-L1 expression in cancer cells was correlated with adverse parameters such as high MSI, poor differentiation, high TNM stage, presence

**Table 2:** Correlation between PD-L1 expression in cancer cells and the microenvironment with the pathological parameters of colorectal cancer

Parameter	PD-L1 in cancer cells		p value	PD-L1 in microenvironment		p value
	High, n (%)	Low, n (%)		High, n (%)	Low, n (%)	
<b>Tumor type</b>						
Adenocarcinoma	9 (24.3)	28 (75.7)	0.232	17 (46)	20 (54.1)	0.019
Mucinous / Signet ring adenocarcinoma	1 (8.3)	11 (91.7)		1 (8.3)	11 (91.7)	
<b>Tumor grade</b>						
Low	8 (25)	24 (75)	0.274	16 (50)	16 (50)	0.008
High	2 (11.8)	15 (88.2)		2 (11.8)	15 (88.2)	
<b>T stage</b>						
Low (T1 / T2)	3 (50)	3 (50)	0.055	6 (100)	0	0.001
High (T3 / T4)	7 (16.3)	36 (83.7)		12 (27.9)	31 (72.1)	
<b>N stage</b>						
N0	4 (20)	16 (80)	0.888	9 (45)	11 (55)	0.518
N1	3 (17.6)	14 (82.4)		6 (35.3)	11 (64.7)	
N2	3 (25)	9 (75)		3 (25)	9 (75)	
<b>Lymphovascular invasion</b>						
Present	5 (20)	20 (80)	0.942	9 (36)	16 (64)	0.913
Absent	5 (20.8)	19 (79.2)		9 (37.5)	15 (62.5)	
<b>Tumor border</b>						
Infiltrative	4 (12.9)	27 (87.1)	0.087	8 (25.8)	23 (74.2)	0.037
Pushing	6 (33.3)	12 (66.7)		10 (55.6)	8 (44.4)	
<b>Tumor necrosis</b>						
Present	5 (22.7)	17 (77.3)	0.716	9 (40.9)	13 (59.1)	0.584
Absent	5 (18.5)	22 (81.5)		9 (33.3)	18 (66.7)	
<b>Microsatellite stability</b>						
Microsatellite stable	6 (22.2)	21 (77.8)	0.877	11 (40.7)	16 (59.3)	0.774
Microsatellite instable - Low	1 (25)	3 (75)		1 (25)	3 (75)	
Microsatellite instable - High	3 (16.7)	15 (83.3)		6 (33.3)	12 (66.7)	
<b>CD8 +ve intratumoral lymphocytes</b>						
Low	4 (13.3)	26 (86.7)	0.123	8 (26.7)	22 (73.3)	0.066
High	6 (31.6)	13 (68.4)		10 (52.6)	9 (47.4)	
<b>CD8 +ve peritumoral lymphocytes</b>						
Low	7 (16.3)	36 (83.7)	0.055	15 (34.9)	28 (65.1)	0.472
High	3 (50)	3 (50)		3 (50)	3 (50)	
<b>PD-L1 in cancer cells</b>						
Low	---	---	---	8 (20.5)	31 (79.5)	<0.001
High	---	---	---	10 (100)	0	

of metastasis, and lymphatic and perineural invasion<sup>33</sup>. Koganemaru et al. found that high PD-L1 expression in tumor-infiltrating mononuclear cells (TIMCs) was associated with a good prognosis<sup>28</sup>.

We also found that PD-L1 expression in cancer cells had a significant correlation only with tumor T stage, with most low-expression cases corresponding to high T stage. There was no significant correlation with other pathological parameters. These results differ from those of other studies. Koganemaru et al. found that high PD-L1 expression in tumor cells was associated with more

extensive lymph node metastasis and advanced TNM stage<sup>28</sup>. Li et al. reported that PD-L1 expression was linked to poor differentiation and right-sided colon location<sup>34</sup>. Shan et al. observed that PD-L1 expression in stages I-II cases was significantly lower than in stages III-IV, and it was significantly correlated with TNM stage, lymph node involvement, and distant metastasis<sup>35</sup>. Lee et al. found that high PD-L1 expression was correlated with medullary morphology, right-sided location, younger age, high TILs, and a high peritumoral score<sup>33</sup>. Huang et al. discovered a correlation between PD-

L1 expression and perineural invasion and tumor differentiation<sup>2</sup>. Additionally, Enkhbat *et al.* found that PD-L1 expression was associated with poor prognosis and significantly correlated with age, lymphatic invasion, and tumor location<sup>36</sup>. Jung *et al.* demonstrated that PD-L1 expression was associated with MSI-high status, mucinous features, poor cell differentiation, and right-sided tumor location<sup>1</sup>.

In the current study, there was a significant correlation between PD-L1 expression in cancer cells and the count of CD8 peritumoral lymphocytes, but no significant correlation between PD-L1 expression in cancer cells and CD8 intratumoral lymphocytes count. Huang *et al.* detected a direct correlation between PD-L1 expression in tumor cells and CD8+ TILs<sup>2</sup>. Koganemaru *et al.* also found that tumors with high PD-L1 expression on TIMCs were significantly associated with increased intra CD8-positive T cells<sup>28</sup>. Both Jung *et al.* and Rosenbaum *et al.* revealed that PD-L1 positive tumors had a higher number of CD8 positive TILs<sup>1,29</sup>. In contrast, Masugi *et al.* reported that PD-L1 expression was not significantly associated with CD3+, CD8+, or CD45RO+ cell density<sup>30</sup>. Additionally, Cho *et al.* found that PD-L1 positive tumors were related to low-density TILs<sup>37</sup>. These differences are likely due to the use of different methods to score CD8 T cells.

A significant correlation was also found between PD-L1 expression in the microenvironment and its expression in cancer cells, as all cases with high PD-L1 expression in cancer cells also showed high PD-L1 expression in the microenvironment. Similarly, Valentini *et al.* found that all specimens with PD-L1 positive neoplastic cells also showed PD-L1 expression in infiltrating immune cells<sup>31</sup>. In contrast, Koganemaru *et al.* reported that no patient had high PD-L1 expression in both tumor cells and the tumor microenvironment<sup>28</sup>.

A significant correlation was found between intratumoral CD8 lymphocyte count and microsatellite stability status. All MSI-L cases showed a high intratumoral CD8 lymphocyte count, while unexpectedly, 72.2% of MSI-H cases showed a low count. Additionally, 63% of MSS cases showed a low count, which is expected, as in MSS patients, non-immunogenic tumors develop and are recognized by the immune system as self. Consequently, the host is unable to generate an immune response, resulting in minimal lymphocyte recruitment in these tumors. In contrast, Drescher *et al.* and Phillips *et al.* revealed that the percentage of CD8 T cells in the colon cancer infiltrates of MSI-H patients was elevated compared to that observed in

the tumors of MSS individuals<sup>38, 39</sup>. Numerous studies have emphasized that MSI-H CRC harbors an increased number of TILs compared to MSS carcinomas and that intraepithelial T-lymphocytes are a characteristic of MSI-H tumors. However, there is wide variability in the methodology used to count TILs. Moreover, our study is limited by the small number of cases.

We did not find a significant correlation between PD-L1 expression in cancer cells or the surrounding microenvironment and peritumoral CD8 lymphocytes or MSI status. However, Rosenbaum *et al.* reported that PD-L1 positive tumors were more likely to exhibit MSI<sup>29</sup>. Similarly, Valentini *et al.* found that PD-L1 expression in cancer cells was significantly associated with MSI<sup>31</sup>. In contrast, Masugi *et al.* found a significant inverse association between PD-L1 expression and MSI status<sup>30</sup>.

Our study has several limitations. It was a retrospective, single-institution study with a small sample size. Additionally, PD-L1 expression was evaluated using only one antibody (22C3 clone).

### **Conclusion**

The prognostic value of PD-L1 expression in colon cancer is highly debated. While some studies have demonstrated a better outcome, others have shown a worse outcome. Therefore, further studies are required to clarify the prognostic role of PD-L1 expression.

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Not applicable.

### **Authors' contribution**

Conception: SMT; Design: HW, NMR & EMSK; Data Collection: All authors; Data Analysis and Interpretation: SMT, NMR & EMSK; Drafting the manuscript: SMT; Revising the manuscript: HW & NMR; Approval of the final version of the manuscript: All authors; Agreement to be accountable for all aspects of the work: All authors;

### **Conflict of interest**

The authors declare that they have no conflict of interest to disclose.

### **Data availability**

Data is available from the corresponding author upon request.

### **Ethical considerations**

This study was conducted in accordance with the Declaration of Helsinki.

### **Funding**

Not applicable.

### **Study registration**

Not applicable.

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