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Phenotypic and molecular characterization of carbapenem-resistant *Acinetobacter baumannii* isolates from ventilator associated pneumonia patients

Rehab A. Rabie^{1*}, Nagwan A. Ismail² and Hanaa M. El Maghraby¹

¹- Department of Medical Microbiology and Immunology, Faculty of Medicine, Zagazig University, Zagazig, Egypt.

²- Department of Chest, Faculty of Medicine, Zagazig University, Zagazig, Egypt.

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ABSTRACT

Background: Multi- drug resistant *Acinetobacter baumannii* (*A. baumannii*) is considered a major cause of ventilator associated pneumonia (VAP) among intensive care unit (ICU) admitted patients. The objectives of this study were to determine the prevalence of carbapenem - resistant *A. baumannii* causing VAP in ICU and to investigate the presence of carbapenem hydrolyzing class D β -lactamase genes among the studied isolates. **Methods:** In a cross-sectional study, *A. baumannii* was isolated from VAP patients and its antibiotic susceptibility was detected. Carbapenem resistance was determined phenotypically by modified carbapenem inactivation method (mCIM) and genotypically by polymerase chain reactions for detection of class D β -lactamases including; *bla*_{OXA}-51-like gene, *bla*_{OXA}-23-like, *bla*_{OXA}-24-like, and *bla*_{OXA}-58- like genes. **Results:** Forty-six *A. baumannii* were isolated from 140 respiratory specimens collected from VAP patients. Using disc diffusion methods, all *A. baumannii* isolates were multidrug resistant (100%) and 39 (84.7%) of them were carbapenem resistant. Carbapenemases genes were positive in 36 (92.3%) of carbapenem resistant *A. baumannii*. Using mCIM test, carbapenemases were detected in 34 (87.2%) carbapenem resistant *A. baumannii*. Non-significant agreement existed between phenotypic and genotypic detection methods of carbapenemases (Kappa= 0.1 and *p* value 0.4). **Conclusion:** Carbapenem-resistant *A. baumannii* isolates were responsible for a significant number of VAP in intensive care unit admitted patients. Carbapenemases genes especially OXA 23 were frequent in *A. baumannii*.

Introduction

About 50% of cases of hospital-acquired pneumonia are caused by ventilator-associated pneumonia (VAP), a lung infection that appears 48 hours after endotracheal intubation. Fever, purulent tracheal discharge, and respiratory troubles when bacteria are present are its characteristic symptoms [1]. With an incidence range of 5 to 40%, it has been

found to be among the most common infections acquired in critical care units (ICUs) [2].

Still, VAP continues to be identified as a significant cause of morbidity and death in ICUs [3]. Pathogenic bacteria may invade artificial airways; the most common pathogens are *Enterobacter*, *Klebsiellae*, *Pseudomonas aeruginosa*, *Staphylococcus aureus*, especially methicillin resistant *staphylococcus aureus* (MRSA) and

Acinetobacter species. Prior research has indicated that a significant number of coronavirus disease of 2019 (COVID-19) patients admitted to intensive care units have carbapenem-resistant *A. baumannii* (CRAB) which has been identified as one of the most prevalent bacteria in the intensive care unit (ICU) that causes serious infections [4].

A. baumannii is known to be resistant to a variety of antibiotics. Since carbapenems are powerful antibiotics typically used as a last resort to treat *A. baumannii* infections, resistance to them is the most alarming [5].

Several mechanisms, such as efflux, and impermeability resulting from the loss of major porins, can mediate carbapenem resistance in *A. baumannii* [6]. Most often, it is mediated by the drug's enzymatic hydrolysis, namely by class D β -lactamases (oxacillinases) that hydrolyze carbapenem. They are poor carbapenem hydrolysers, but when they are overexpressed, they provide resistance because they bind to mobile elements like *ISA*_{ba1}, which has a powerful promoter [7].

Despite the fact that oxacillinases -related resistance to carbapenem has been documented in a variety of geographic locations. Regarding their distribution and prevalence in our area, not much is known. We thus set out to determine the prevalence of carbapenem - resistant *A. baumannii* causing VAP in ICU and to investigate the presence of carbapenem hydrolyzing class D β -lactamase genes among the studied isolates.

Methods

Study design and settings

This cross-sectional study was carried out at the Medical Microbiology and Immunology and ICU of Chest Departments, Faculty of Medicine, Zagazig University throughout 10 months from July 2023 to April 2024

The estimated sample size was 46 isolates of *A. baumannii* calculated using Epi software version 6 assuming that total number of cases admitted to ICU was 130 and estimated prevalence of *A. baumannii* was 32.6 % [8] at 80% power and 95% CI.

Ethical approval

The study protocol was reviewed and approved by the ethics Institutional Review Board of the Faculty of Medicine, Zagazig University (ZU-

IRB; #10970 -25-7-2023). This study was done in accordance with The Code of Ethics of the World Medical Association (Declaration of Helsinki). For each participant, signed informed consent was obtained.

Patients whose age ≥ 18 years; on invasive mechanical ventilation > 48 h and who met the diagnostic criteria of VAP according to National Healthcare Safety Network (NHSN) [9,10], were eligible for inclusion.

Clinical samples collection and identification

Twenty pleural fluid specimens, thirty-six bronchoalveolar lavage (BAL) fluid specimens, and eighty-four endotracheal aspirate (ETA) specimens were among the 140 respiratory samples taken from VAP patients admitted to the pulmonary ICU. They were collected aseptically and transported immediately to the laboratory. The ETA smear was analyzed in a low power field, and the quality of the samples was evaluated. Less than 10 squamous epithelial cells or more than 25 pus cells/low power field were approved for cultivation. For specimen cultivation, 5% blood agar and MacConkey agar were utilized. The specimens were then incubated at 37°C for 16 to 18 hours [11]. Conventional microbiological methods were used for isolates identification [12]. Confirmation of isolated *A. baumannii* was done by detection of the *bla*_{oxa-51}-like gene which is an intrinsic gene for this species identification [13, 14].

Antimicrobial susceptibility testing

Isolates' susceptibility to various antibiotics was investigated on Mueller–Hinton agar (Oxoid, UK) by using the disc diffusion agar method according to the Clinical and Laboratory Standards Institute [15]. Antibiotic disks (Oxoid, UK) used in the study were “ceftazidime (CAZ, 30 μ g), cefotaxime (CTX, 30 μ g), Ampicillin/sulbactam (SAM, 10/10 μ g), piperacillin (PRL, 100 μ g), piperacillin/tazobactam (PTZ, 100 μ g/10 μ g), ciprofloxacin (CIP, 5 μ g), levofloxacin (LEV, 5 μ g), gentamicin (GM, 10 μ g), amikacin (AK, 30 μ g), imipenem (IMI, 10 μ g), meropenem (MEM, 10 μ g), sulphamethoxazole-trimethoprim (SXT, 1.25 + 23.75 μ g) and tigacycline (TGC, 15 μ g). Broth microdilution was used to demonstrate colistin susceptibility with colistin concentrations ranging from 0.25 to 32 μ g/ml were applied and resistant means MIC ≥ 4 μ g/mL. Quality control strains including; *Pseudomonas aeruginosa* ATCC®27853 and *Escherichia coli* ATCC 25922 were used

(American Type Culture Collection [ATCC], Manassas, VA, USA). Multidrug resistant (MDR) isolates were those resistant to more than three classes of antibiotics [16].

Phenotypic tests for screening carbapenemase production; Modified Carbapenem inactivation method (mCIM)

It was done according to the CLSI guidelines; a 1 µL of CRAB was inoculated on 2 mL tube of tryptic soy broth. A 10 µg meropenem disk was placed in the tube and it was incubated at 35 °C for 4 h ± 15 min. Then, the disk was picked from the tube and placed on Mueller-Hinton agar plate recently loaded with a 0.5 McFarland suspension of a carbapenem-susceptible *E. coli* ATCC 25922. Subsequently, the plate was incubated at 35 °C for 18 to 24 h. A zone size ≥19 mm was considered negative, 6 to 15 mm was positive, or intermediate (defined as positive) if pinpoint colonies are present within a 16 to 18 mm zone [17,18].

PCR for OXA genes

Genomic DNA extraction from isolates was done as per the manufacturer's guidelines of the QIAamp DNA Mini Kit (Qiagen, GmbH, Germany).

Veriti ®96-Well thermal cycler (Applied Biosystems, Singapore) was used for the multiplex PCR for *bla*_{OXA-51}-like gene, *bla*_{OXA-23}-like, *bla*_{OXA-24}-like, and *bla*_{OXA-58}- like genes using 50 µl PCR bead of Maxime PCR PreMix Beads (iNtron, Certified Company, Germany).

Each bead reaction contained; 30 ng of the DNA, 20 pM of each of the primers and completed with sterile deionized water.

PCR for ISA_{b1} gene

Single reaction mixture using 20 µl PCR bead of Maxime PCR PreMix Beads (iNtron, Certified Company, Germany). Each reaction

contained; 30 ng of DNA, 10 pM of each primer and completed with sterile deionized water.

Primers sequences and cycling conditions listed in **Tables 1 & 2**. Amplified products were visualized on 1.5% agarose gel under UV light.

Statistical analysis

Quantitative variables were described using their means, median and standard deviations, while categorical values were represented as percentage. Cohen's kappa coefficient was used to measure reliability for categorical items. SPSS version 27 inc. Chicago, USA was used to analyze data. Level of significance was set at *p* value ≤ 0.05.

Results

Forty-six isolates of *A. baumannii* were found in 140 respiratory specimens (32.8%) obtained from VAP patients in this cross-sectional research. **Table 3** demonstrates demographic and clinical criteria of patients. The highest isolation was from ETA (N=30) with 65.2% followed by BAL (N=14) with 30.4% and the least from pleural fluid (N=2) with 4.4% (**Figure 1**).

Antibiotic susceptibility testing result is demonstrated in (**Figure 2**). All *A. baumannii* isolates were MDR (100%). Phenotypically, 39 (84.7%) *A. baumannii* isolates were CRAB. Using mCIM, carbapenemases were positive in 34 (87.2%) out of 39 *A. baumannii* isolates.

Oxa genes were detected in 36 (92.3%) *A. baumannii* isolates; *oxa* 23 was detected in all of them, *oxa* 58 co -existed with *oxa* 23 in 2.6% of *A. baumannii* isolates, *isa*_{b1} was detected in 25 (64.1%) of isolates upstream to *oxa* 23. However, *oxa* 24 was not detected (**Table 4**).

The identification of carbapenemases by genotypic and phenotypic methods showed statistically non-significant agreement (Kappa= 0.1 and *p* value 0.4) (**Table 5**).

Table 1. Primers sequences used in *OXA* and *ISA_{b1}* genes PCR

Primer	Sequence 5'-3'	Length (bp)	References
<i>OXA</i> -51-like	F TAA TGC TTT GAT CGG CCT TG R TGG ATT GCA CTT CAT CTT GG	353 bp	[19]
<i>OXA</i> 23	F GAT CGG ATT GGA GAA CCA GA R ATT TCT GAC CGC ATT TCC AT	501	
<i>OXA</i> 24	F GGT TAG TTG GCC CCC TTA AA R AGT TGA GCG AAA AGG GGA TT	246	
<i>OXA</i> 58	F AAG TAT TGG GGC TTG TGC TG R CCC CTC TGC GCT CTA CAT AC	599	
<i>ISA_{b1}</i>	F CAC GAA TGC AGA AGT TG R CGA CGA ATA CTA TGA CAC	549	[7]

Table 2. Cycling conditions for *OXA* and *ISA_{b1}* PCR [20]

Steps	<i>Oxa</i> genes cycling conditions	<i>ISA_{b1}</i> gene cycling conditions
Initial denaturation	One cycle 94°C for 3 min	One cycle 95°C for 5 min
Denaturation	30 cycles 94°C for 25 s	35 cycles 95°C for 45 s
Annealing	30 cycles 52°C for 40 s	35 cycles 56°C for 45 s
Extension	30 cycles 72°C for 50 s	35 cycles 72°C for 3 min
Final extension	One cycle 72°C for 5 min	One cycle 72°C for 5 min

Table 3. Patient demographics and clinical features

Variable	Value
<u>Age</u> (mean ± SD)	45.6 ±15.5
<u>Gender</u> N (%)	
-Male	90 (64%)
-Female	(50) (36%)
<u>Symptoms</u> N (%)	
-Fever	30 (21.4%)
-Chest pain	14 (10%)
-Excessive amount of purulent secretions	75 (53.5%)
<u>Routine Lab investigations</u>	
-CRP (mg/dl) median (range)	45 (22-58)
-Procalcitonin (ng/dl) median (range)	3.5 (2.1-4.7)
<u>Radiological</u>	
Chest x-ray and /or Bedside Ultrasonography N (%)	
-Lung infiltrates only	120 (85.7%)
-Lung infiltrates with pleural effusion	20 (14.28%)

CRP: C reactive protein, SD: Standard deviation

Table 4. Frequency of *oxa* genes among CRAB isolates

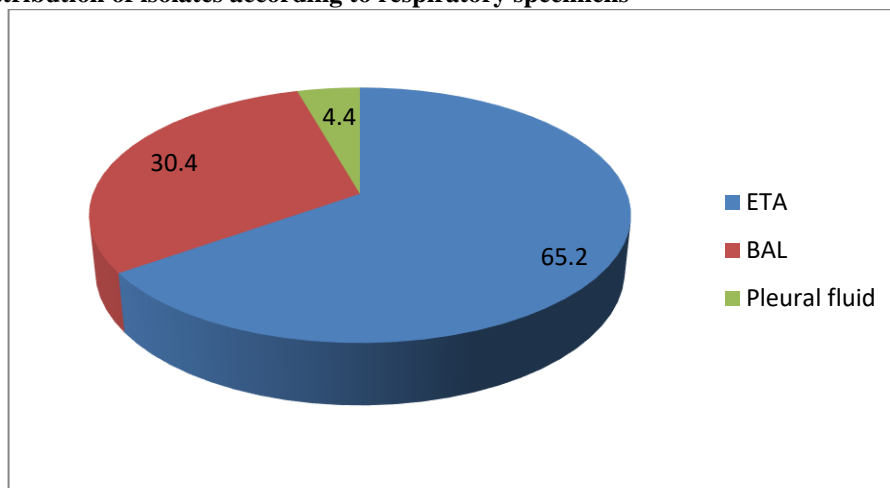
Gene	No (%)
<i>Oxa</i> genes positive isolates	36(92.3%)
<i>Oxa</i> 23 only	10 (25.6%)
<i>Isa_{b1}</i> / <i>oxa</i> 23	25(64.1%)
<i>Oxa</i> 23/ <i>Oxa</i> 58	1(2.6%)
<i>Oxa</i> 24	0(0.0%)
<i>Oxa</i> genes negative isolates	3(7.7%)
Total	39(100%)

Table 5. Comparison of isolates' carbapenemase genotypic and phenotypic testing results

Variables		Genotypic methods		Total	Kappa test	P value
		positive	Negative			
Phenotypic Methods (mCIM)	Carpabenemase positive	31(79.5%)	3(7.7%)	34(87.2%)	0.1	0.4
	Carpabenemase negative	5(12.8%)	0(0.0%)	5(12.8%)		
Total		36(92.3%)	3(7.7%)	39(100%)		

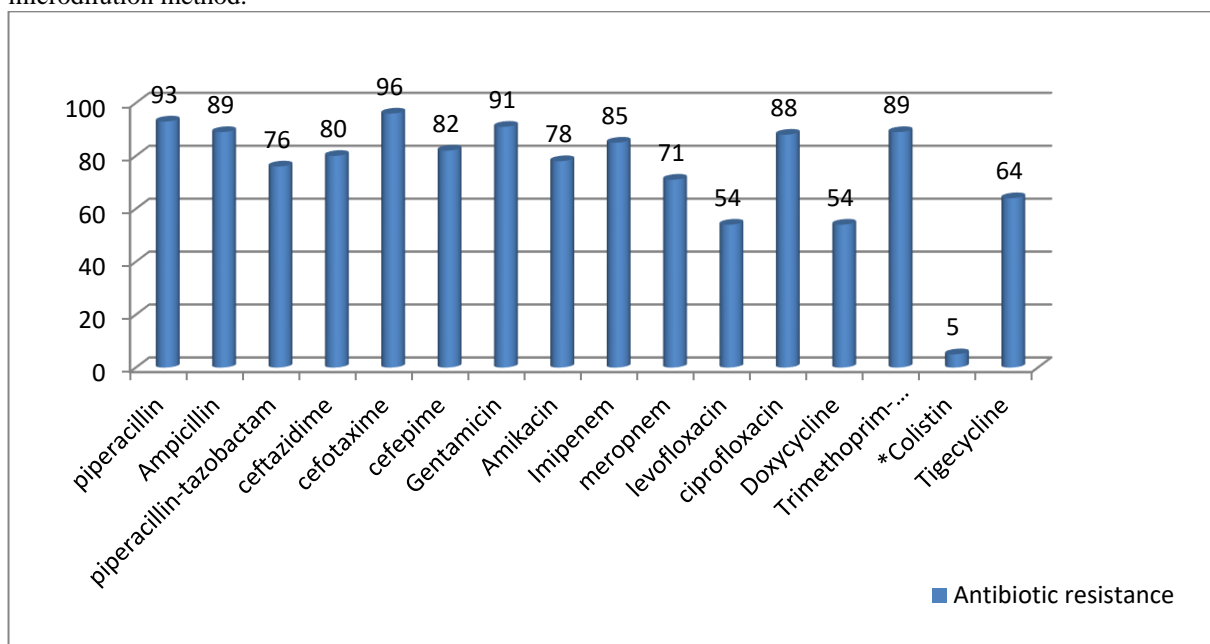
Non-significant Kappa test, 95% confidence interval: from -0.19 to -0.022. mCIM: modified carbapenem inactivation method.

Figure 1. Distribution of isolates according to respiratory specimens



ETA: Endotracheal aspirates, BAL: broncho-alveolar lavage.

Figure 2. Antibiotic susceptibility by disc diffusion method. *Colistin susceptibility was detected by broth microdilution method.



Discussion

In critically ill patients, VAP is a common nosocomial infection. Over the past 20 years, *Acinetobacter* species have emerged in intensive care unit and have been linked to serious infections including VAP. The fact that this bacterium can develop resistance to practically all of the existing antibiotics used in clinical practice is one of the biggest worries associated with it. Furthermore, it has a strong propensity to rapidly disseminate this resistance, affecting healthcare settings [21].

There is little information available on VAP brought on by *A. baumannii* in Egypt. In this work, we assessed antibiotic resistance and some genetic features of carbapenem resistance among *A. baumannii* in VAP patients.

In our research, out of 140 respiratory specimens obtained from individuals with VAP, 46 isolates (32.8%) of *A. baumannii* were identified. This was consistent with previous results; Saleem et al. [11] reported 30.7% prevalence rate in Saudi Arabia, Huang et al. [21] found the incidence around 36% in all VAP patients in China, and in research carried out in India, *Acinetobacter* spp. (36.76%) were determined by Sharma et al. [22] to be the most prevalent causal agent linked to VAP. On the other hand, during the second wave of COVID-19, Elwakil et al. [23] reported that the isolation rate of *A. baumannii* among patients on mechanical ventilation was 27.4% in Egypt.

Effective treatment still requires the identification of resistance mechanisms, especially for transferable resistance mechanisms on plasmids or transposons. Additionally, early and precise identification of the carbapenemase-producing *A. baumannii* is necessary for treatment and control [21].

Every *A. baumannii* isolate in the current investigation was MDR (100%) and concerning carbapenems which are the drug of choice due to their effectiveness and low toxicity, unfortunately, 84.7% of our isolates showed carbapenem resistance which reflected the overuse and abuse of these antibiotics. Also, a high resistance to almost all tested antibiotics was observed and only colistin appeared to be the most active against these isolates (5% resistance rate). In Egypt, several previous studies gave comparable results; In their isolates from Assiut, Abdel-Rahim et al. [24] discovered a strong pattern of resistance to all classes of antibiotics, Said et al. [25] observed high levels of

quinolones, aminoglycosides and carbapenem resistance in Mansoura, and in an investigation carried out by Sánchez-Urtaza et al. [26] on isolates from Alexandria, 85 % of carbapenem, 100% of fluoroquinolone and 86% of aminoglycoside resistance were noted however the isolates were still sensitive to colistin. Colistin resistance, on the other hand, was found in as many as 55% of *A. baumannii* Egyptian isolates according to investigations conducted by Makharita et al. [27] and Fam et al. [28] who attributed this to the increase in its use as an active agent and a last resort against multidrug-resistant *A. baumannii*.

Moreover, In Nebal, research by Joshi et al. [29] described that all *A. baumannii* isolates were MDR with 97.7% carbapenem resistance and were fully susceptible to colistin. In KSA, another study by El-Badawy et al. [30] found 71 % of their isolates were CRAB and all of them were MDR, about 91% were resistant to all β -lactams that were investigated. Although the isolates' resistance rates to aminoglycosides, quinolones and tetracycline ranged from 51% to 100%, all isolates remain sensitive to colistin.

polymyxins are currently used either alone or in conjunction with other medications as first-line antimicrobials against CRAB. They exhibit strong in vitro efficacy against strains of *A. baumannii*; nevertheless, their narrow therapeutic spectrum, lack of therapeutically meaningful susceptibility breakpoints, and severe nephrotoxicity and neurotoxicity side effects are their main drawbacks. Additional significant problems that could lead to poor clinical results include resistance developing during therapy as a result of heteroresistant bacteria and challenges in identifying heteroresistance [31].

The current study's findings also demonstrated that carbapenem resistance was caused by the *oxa 23* gene (92.3 %) indicating the horizontal dissemination of harboring plasmids, and *oxa 58* co-existed with *oxa 23* in only one isolate (2.6%). Similar findings had been reported by Chang et al. [32] who observed the main carbapenemase causing resistance in their isolates was the *oxa 23* gene and Alhaddad et al. [33] supported the same finding in Saudi Arabia. Also, Joshi et al. [29] found *oxa 23* in all their isolates explaining that the *oxa 23* gene is positioned on plasmid and is passed between *A. baumannii* through conjugation increasing antibiotic resistance rapidly worldwide, and added

that their analyzed isolates did not contain any of the other class D β -lactamase genes, which were common in Europe, such as *oxa* 24 and *oxa* 58.

In *A. baumannii*, the presence of the *ISA*_{ba1} promoter sequence linked to *OXA* genes significantly increases carbapenem resistance [34]. In our study, *ISA*_{ba1} was detected upstream to *oxa* 23 in 64.1% of isolates suggesting overexpression of carbapenemase hydrolyzing activity. A recent investigation in Taiwan by Su et al. [35] that characterized the majority of isolates (77.4%) had an *ISA*_{ba1} sequence which encodes a promoter leading to increased expression of *OXA* genes in addition to a transposase, and ultimately causes carbapenem resistance. Joshi et al. [29] observed *ISA*_{ba1} in all of their *oxa* 23 positive isolates and described a link between higher minimal inhibitory doses of carbapenems and *A. baumannii* expressing the *isa*_{ba1}/*oxa* 23 gene.

Among our study isolates, 79.5% were positive by both mCIM and genotyping. Similarly, Abouelfetouh et al. [36] reported that 68.9% of their isolates were also carbapenemase positive phenotypically and genotypically. However, in this study, we observed 3 isolates (7.7%) with carbapenemase activity only phenotypically and this finding could be explained by the involvement of alternative carbapenem resistance mechanisms, such as altered permeability, efflux pump, lower drug affinity due to PBP downregulation, and decreased membrane porin density or extra carbapenemase enzymes not investigated in this work [37].

On the other hand, regarding the agreement between phenotypic and genotypic detection of carbapenemases, our results were non-significant (*p* value=0.4). Currently, there is no exclusive recommendations for phenotypic detection of carbapenemases among *A. baumannii* according to CLSI guidelines [15]. Hence, several studies have been trying to find the most suitable and affordable method for early detection of carbapenemase producing *A. baumannii* especially when dealing with high-resistant burden and resource-limited settings [38,39]. Khuntayaporn et al. [40] recommended the combination of 2 phenotypic tests helping to identify carbapenemase producing *A. baumannii*.

In conclusion, in this work we highlighted the predominance of CRAB isolates among VAP patients which is a concerning issue. The probable prevalent *OXA* carbapenem resistant gene among

these isolates was *oxa* 23. Although inexpensive and readily available, phenotypic screening assays are not thought to be ideal for the early detection of carbapenemase producing *A. baumannii*.

We recommend further studies for more investigations, continuous monitoring and surveillance for antimicrobial susceptibility which are crucial for formulating strategies of control and treatment.

Author contributions

HME, RAR contributed to the study conception and design. All authors contributed to methodology, analysis, interpretation of data, writing the manuscript draft reviewing and editing. All authors read and approved the final manuscript.

Declaration of conflicting interests

The author(s) declared no potential conflicts of interest.

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