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# Pre-processing Steps for Genome-wide High-density NARAC Dataset Facilitates its Haplotype Block Partitioning

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# ABSTRACT

The pre-processing phase is a crucial step to prepare any data for deep considerable analysis. Genome-wide data is considered big data; dealing with such data is not an easy task and still poses a significant challenge. The genome-wide association study (GWAS) is based on enormous highdensity data with high throughput. This paper has illustrated the main pre-processing steps on data from North American Rheumatoid Arthritis Consortium (NARAC) for preparing it for haplotype block partitioning using different methods and with different platforms. This paper's main objective is to summarize the steps of pre-processing the raw genotyped dataset to prepare it for haplotype block partitioning and further analyses. Besides, we present each practical step by clear tables for better visualizing, elucidation, and workflow interpretation. Besides, we aimed to overcome the missing data and normalize the output in a standardized format. Eventually, this will improve the understanding of such data formats and build the foundation stone of critical genome-wide experiments and studies. Thus, this work could a guide for other researchers who use similar data. The pre-processed data will be applied to imputation, BigLD block partitioning under R and Haploview methods. Our sequence of pre-processing steps includes preparing the characters to be in a form that is suitable for imputation. The next step is recording data in 0,1,2 format to be proper for the BigLD. We were finally preparing data for Haploview to provide clear haplotype block partitioning, association analysis, and furthermore.

**Keywords:** *Minor allele frequency, Genome-wide studies, Single nucleotide polymorphism. Haplotype block. Linkage disequilibrium.* 

# **1. INTRODUCTION**

Genetic variations, especially single nucleotide polymorphisms (SNPs), hold an enormous promise for scientists in diagnosis, treatment, prevention, cure many diseases, and the causes of certain phenotypes [1]–[4]. SNPs are the most common genetic variation type [5], [6], and they compose about 90% of all human genetic variation [7]. Each SNP stands for a substitution in a single DNA nucleotide [8], [9]. SNPs are characterized by having at least a frequency of 1% of an allele in a population [2]. Commonly, SNPs do not occur within genes and are found in non-coding regions [10], [11]; yet how they contribute to disorders and phenotypes is unknown. Several

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research disciplines are based on SNPs including; large-scale population-based genetic association studies [12], family-based disease inheritance tracking, gene mapping [13], crop genetics [12] pharmacogenetic applications [16] and, etc. [15]. SNPs act as a biomarker, i.e., identifier for traits and diseases, therefore work very well in studies that are non-hypothesis driven [17], [18]. In the last years, SNPs serve as a powerful tool prominently in complex disease association studies and uncovered the risk loci [12], [19]-[22]. Since SNPs are inherited together through generations, SNPs at different loci are linked to each other, and this property

of association called linkage the is disequilibrium [23]-[25]. (LD)As а consequence of the recombination events, the association is cut and form block-like structures through the genome sequence called haplotype blocks [26], [27]. Likewise, haplotype blocks are treated as biomarkers and are often preferred over a single SNPs approach for several rationales in many cases [21], [28], [29]. Haplotype blocks reduce markers density by gathering several correlated SNPs as one block, thus reducing the required analyses and tests [30]. Besides, haplotype blocks allow capturing information about the evolutionary history of the population and species. Furthermore, the haplotype blocks approach considers the interactions and interrelationship between SNPs [31], [32]. The advent of new DNA technology facilitates SNP discovery and significantly accelerate highthroughput sequencing and genotyping on a genome-wide scale [33]–[36]. In humans, millions of SNPs are identified. Dealing with such big data is a considerable challenge and a time-consuming process [5], [22]. Data preprocessing is an essential step toward further analysis. This paper aims to address the genotype dataset to prepare it for haplotype block partitioning using different methods. We have conducted this experimental analysis on genomic-scale patient-control data from the North American Rheumatoid Arthritis Consortium (NARAC) [37]. NARAC data consist of 531,689 SNPs for 2,062 participants (868 rheumatoid arthritis (RA) patients and 1,194 controls). Missing data were estimated by imputation [38]. We have handled the data format to fit the following haplotype block partitioning methods: Gabriel Confidence Interval test (CIT) [39], four-gamete test [40], solid spine (SSLD) [41], and BigLD [42]–[44].

A sample of SNPs data is presented, and all steps of pre-processing are illustrated in the next sections.

# **2.** System description

Figure 1 is a scheme that summarizes all the pre-processing steps from the raw data into an output that would be fitting for further analysis.



Fig.1. Summary of system and experimental design.

NARAC dataset comes in two files, the SNPs array file and the map file [37]. We have split the raw dataset into disjointed 23 matrices

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for the 23 chromosomes. Our study and analysis are based only on the autosomal SNPs because allosome pairs have different structures, two X chromosomes in females and one X and one Y chromosome in males [45]. We neglected the allosome SNPs and worked only on the 22 chromosomes. The number of SNPs in the sex chromosomes are 13,395. After excluding the sex chromosomes' SNPs, we end up with 531,689 SNPs. The size of the SNPs matrices is 1,096,342,718 (531,689 SNPs  $\times 2,062$  participants). Each cell in the

matrix is five-length character vectors, which are: whitespace, the letter of the first allele, then underscore, the letter of the second allele, and whitespace. The missing data is represented by "? \_?". The data dividing has been executed using Perl, and the rest of the steps have been implemented by the R programming language.

**Table 1.** A sample of the row dataset after trucking theinformation columns. The genotypes appear in the formatwhere there is an underscore between the characters."? ?" is for missing data.

rs10439884	rs2260810	rs1296971	rs2257224
G_G	A_A	A_A	G_G
G_G	A_A	A_A	G_G
G_G	A_A	A_A	G_G
G_G	A_A	A_A	A_A
G_G	A_A	A_A	G_G
G_G	A_A	A_A	G_G
G_G	A_A	A_A	G_G
G_G	G_G	C_C	A_G
A_G	A_G	A_C	A_G
A_G	A_G	A_C	G_G
?_?	A_G	A_C	A_G
G_G	A_G	A_C	G_G

#### **3. REFORMATTING THE DATA**

We have excluded the sex chromosomes; only autosomal SNPs were picked up and preprocessed. Table 1 shows a portion of the chromosome 21 genotype data. We have truncated the first nine descriptive columns since the SNPs' data are targeted in the preprocessing step. The row data format is not suitable for many genetic analysis tools.

For instance, to pre-process the data for imputation, first, we have removed the underscore between alleles and recode missing data from "? \_?" to "NA." Table 2 displays the reformatting for imputation. Data translation is done through the R package "Stringr" [46], [47].

**Table 2.** The reformatted sample for preparing the data for imputation. The missing value is spotlighted by bold font.

rs10439884	rs2260810	rs1296971	rs2257224
GG	AA	AA	GG
GG	AA	AA	GG
GG	AA	AA	GG
GG	AA	AA	AA
GG	AA	AA	GG
GG	AA	AA	GG
GG	AA	AA	GG
GG	GG	CC	AG
AG	AG	AC	AG
AG	AG	AC	GG
NA	AG	AC	AG
GG	AA	AA	GG

#### 4. IMPUTATION AND RECODING

Imputation is a feasible process to overcome missing data by inferring untyped genotypes based on neighbouring SNPs [48], [49]. Not all tools are practically executable with missing data [42]. Several studies have recommended a genotype pre-processing before genetic analysis [29], [50]. Childers et al. have carried out genotype imputation for untyped SNPs to the same dataset using three different packages; BIMBAM, IMPUTE, and TUNA. Childers et al.'s results show that some untyped SNP demonstrated a significant association with RA [51]. We have proposed the probability distribution method of genotype imputation. We recode the data and impute the missing

genotypes using the R package "Synbreed" based on marginal allele distribution [52], [53].

Table 3.	The	sampled	data	after	imputation	in	biallelic
format.							

rs10439884	rs2260810	rs1296971	rs2257224
GG	AA	AA	GG
GG	AA	AA	GG
GG	AA	AA	GG
GG	AA	AA	AA
GG	AA	AA	GG
GG	AA	AA	GG
GG	AA	AA	GG
GG	GG	CC	AG
AG	AG	AC	AG
AG	AG	AC	GG
GG	AG	AC	AG
GG	AA	AA	GG

The same imputation has been made for the NARAC dataset at a chromosomal level by Ibrahim et al. [44]. The imputation process took about 150 hours for the genome-wide data. Table 3 is the sampled data after imputation in the biallelic format. Data imputation completes the SNPs' coverage and facilitates the genome-wide analysis by combining the results genotypes that depend on different genotyping platforms. Table 4 is the sampled data after recoding, where 0 is for the major homozygous genotype, 1 for the heterozygous genotype.

**Table 4.** Sampled data after imputation and recoding in0,1 and 2 format.

rs10439884	rs2260810	rs1296971	rs2257224
0	0	2	2
0	0	2	2
0	0	2	2
0	0	2	0
0	0	2	2
0	0	2	2
0	0	2	2
0	2	0	1
1	1	1	1
1	1	1	2
1	1	1	1
0	0	2	2

The pre-processed data is saved as a genotype object that combines the SNPs array and the corresponding map file. The new map matrix that defines the SNP genotypes is presented in Table 5. The filter SNPs' arrays thus become valid for haplotype block partitioning. The data format in Table 3 is convenient to undergo the BigLD haplotype block partitioning and several genetic analyses. The sampled data after imputation in biallelic markers are shown in Table 4. The pre-processed data is saved as a genotype object that combines the SNPs array and the corresponding map file. The filter

**Table 5**. The sample map file with defined genotypes in each locus. "Refer." is the reference genotype, also called minor homozygous. "Heter." For the heterozygous genotype and "Alter." for the alternative genotype, which also called the minor homozygous

rsID	Chr	Position	Refer.	Heter.	Alter.
rs10439884	21	9993822	GG	AG	AA
rs2260810	21	13562271	AA	AG	GG
rs1296971	21	13609442	СС	AC	AA
rs2257224	21	13690214	AA	AG	GG

arrays thus become valid for SNPs' haplotype block partitioning. Ultimately, we prepare the data into the final format to fit the Haploview input format. The Haploview input takes up two columns for every single genotype, one for each allele. Table 12 shows the sampled in input Haploview format. The data is non-family-based, so the same ID has been appointed for the family ID, and the individual ID in the first two columns, and paternal and maternal IDs have been set as "0" in the third and fourth columns. The Individual's gender was assigned 1 for male and 2 for female in the fifth column. The sixth column is for the affection status to be used for association tests; the controls = one and cases =2. The rest of the columns are for marker genotypes. Each marker is represented by two columns, one for each allele. and coded as the following: 1=A, 2=C, 3=G, and 4=T [41].

#### 5. Conclusion

This empirical studyhas shown the detailed steps for preparing high-density data of

Table 6. The sample of the input format for the Haploview

1,096,342,718 genotyped SNPs. Three main steps are adopted; reformatting, imputation, and recoding. The problem of missing data has been solved in a reasonable computing time by imputation. The proposed approach explains how to deal with such a big dataset, making it suitable for haplotype block partitioning using Haploview or BigLD. Our pre-processing steps pose a practical guide for the researchers and geneticists who want to make similar analyses. We have gathered all codes and build them under the R programming language, which simplified the process and the workflow. This paper evokes a vivid image of how to preprocess genome-wide data under a personal computer environment in a clearly defined manner. The entire time computing was relatively long; about 750 hours using Intel Core i7-8550U CPU 1.99 GHz with 16 GB RAM. Thus, in future work, parallel computing should be considered. However, the most time-consuming process was the reformatting, which has taken about 350 hours, then preparing data to Haploview, which has taken about 250 hours. The imputation process has

Family name	Individual ID	Father's ID	Mother's ID	Gender	Affection	rs10439884	rs10439884	rs2260810	rs2260810	rs1296971	rs1296971	rs2257224	rs2257224
D0024949	D0024949	0	0	2	1	3	3	1	1	1	1	3	3
D0024302	D0024302	0	0	2	1	3	3	1	1	1	1	3	3
D0023151	D0023151	0	0	2	1	3	3	1	1	1	1	3	3
D0022042	D0022042	0	0	2	1	3	3	1	1	1	1	1	1
D0021275	D0021275	0	0	2	1	3	3	1	1	1	1	3	3
D0021163	D0021163	0	0	2	1	3	3	1	1	1	1	3	3
D0020795	D0020795	0	0	2	1	3	3	1	1	1	1	3	3
D0020691	D0020691	0	0	2	1	3	3	3	3	2	2	3	1
D0019121	D0019121	0	0	2	1	1	3	3	1	1	2	3	1
D0018942	D0018942	0	0	2	1	1	3	3	1	1	2	3	3
D0016405	D0016405	0	0	2	1	3	3	3	1	1	2	3	1
D0016076	D0016076	0	0	2	1	3	3	3	1	1	2	3	3

taken 150 hours. The previously mentioned imputation methods have had a wide range of processing time, i.e., IMPUTE has more than 400 hours processing time while TUNA took more than 12 hours. We cannot make an accurate comparison between our proposed method and other methods since both studies' hardware configuration should be the same.

Nowadays, the high throughput genomescale data are available more than any time before. It is essential to address such data to exploit them and focus on understanding and preparing them in different forms, which eventually help to utilize them in various platforms.

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# اعتماد تسلسل من المعالجة المسبقة لمجموعة بيانات NARAC عالية الكثافة على مستوى الجينوم للعتماد تسلسل من المعالجة المستخدام الأمثل لتقسيم كتل النمط الفرداني

#### الملخص:

تعتبر عملية المعالجة المسبقة خطوة هامة لإعداد أي بيانات لتحليل دقيق وعميق. وتعد البيانات على مستوى الجينوم –الذي يشمل كل المحتوى الوراثي– بيانات هائلة ولذلك فإن التعامل معها ليس بالمهمة السهلة ولا يزال يمثل تحديًا كبيرًا للباحثين. وتعتمد دراسة الارتباط على مستوى الجينوم GWAS على تلك البيانات عالية الكثافة وذات الإنتاجية الوافرة. في هذه الورقة البحثية، قمنا بتوضيح خطوات المعالجة المسبقة الرئيسية لبيانات من اتحاد أمريكا الشمالية لالتهاب المفاصل الروماتويدي (NARAC) لإعدادها وتقسيمها الى كتل النمط الفرداني Bupdetion من اتحاد أمريكا الشمالية لالتهاب المفاصل الروماتويدي البيانات المعالجة مسبقًا على عملية المسبقة الرئيسية لبيانات من اتحاد أمريكا الشمالية لالتهاب المفاصل الروماتويدي البيانات المعالجة مسبقًا على عملية المسبقة المندي الفرداني BigLD باستخدام طرق ومنصات مختلفة وقد تم تطبيق لغة البرمجة R وكذلك على الطرق المستخدمة في برنامج Haplotype blocks يتضمن تسلسل المعالجة المسبقة لدينا إعداد الحروف لنكون في شكل مناسب لعملية احتساب البيانات المفقودة Haploview وطريقة BigLD لتقسيم كتلة النمط الفرداني باستخدام لغة البرمجة R وكذلك على الطرق المستخدمة في برنامج Haploview وطريقة BigLD لتقسيم كتلة النمط الفرداني باستخدام المونون في شكل مناسب لعملية احتساب البيانات المفقودة الخطوة التالية هي تسجيل البيانات بتنسيق 10،10 لتكون مناسبة الموريقة D0،10 دوني النهاية قمنا بتجهز البيانات المفقودة. الخطوة التالية هي تسجيل البيانات بتسيق 10،20 لتكون مناسبة الطريقة D0،20 دوني النهاية قمنا بتجهز البيانات المؤو التالية هي تسجيل البيانات بتسيق 10،20 لتكون مناسبة وساعد عدوات الوراثية من نوع تعددات أشكال النوكليوتيدات المفردة الى كتل النمط الفرداني دولمي منوبح، وساعد علاوة على ذلك في تحليا الارتباطات.