

Military Technical College
Kobry El-Kobbah,
Cairo, Egypt



6th International Conference
on Electrical Engineering
ICEENG 2008

>

3 Dimensional hysteresis PWM techniques for 3-Phase 4-Wire SAPFs under unbalanced conditions by using p-q-r theory

By

Mohamed ELBAR *

Kamel ALIOUANE *

Imad MERZOUK *

Abstract:

This paper presents a new control strategy to improve the performance of the shunt active power filter (SAPF) in three-phase four-wire system when operating under an unbalanced system. The compensation algorithm is based on *pqr* theory. This scheme requires the service of a phase-locked loop (PLL) to make the system insensitive to the distortions that are generally present in the utility voltages. A three dimensional hysteresis current controller is used to track the compensated current references. Computer simulation results are given to illustrate the system performances based on the proposed control strategy.

Keywords:

Active power filter, Three-phase four wire system, instantaneous power, *pqr* control theory.

* UER Electrotechnique, EMP, BP 17 Bordj-el-Bahri, Algiers, Alegria
elbar_yas@yahoo.fr kam-ali@lycos.com

1. Introduction:

A nonlinear load is an electrical device which draws current discontinuously or whose impedance varies throughout the cycle of the input ac voltage waveform. Nonlinear loads, which are mainly power electronics-based power converters, are known as the primary sources of harmonics in electric power systems. When a sinusoidal voltage with a constant frequency (50Hz) is applied to a nonlinear load, such as switch-mode power supply, a distorted current waveform results. This distorted current contains the fundamental sinusoidal current plus a number of low order harmonic currents (3rd, 5th, 7th, etc.) at harmonic frequencies of 150Hz, 250Hz, 350Hz, respectively [1].

Diode rectifiers and thyristor rectifiers are well-known types of nonlinear loads [2]. These devices are primarily used in power electronic applications, such as switch-mode power supplies in PCs, fax machines, and ASDs, and inject a large amount of harmonics into power systems. Three-phase nonlinear loads such as ASDs primarily inject non-triplen odd harmonics into power systems. Single-phase nonlinear loads such as switch-mode power supplies inject all odd harmonics with the third harmonic being dominant. The triplen harmonics of single-phase nonlinear loads add up in the neutral conductor and cause excessive overheating problems in the neutral conductors and distribution transformers.

Active power filters for three-phase systems without neutral conductor have been successfully developed, and nowadays some commercial products are already available [3]. Although three-phase four-wire active filters have been introduced in the 1980's, the development is still in its infancy and no experimental prototype has been put in operation outside the universities [4].

Some researches appoint the four-leg converter topology as the best alternative to implement a three-phase four-wire active power filter. In order to control the four-leg active filter based inverter, many control strategies were proposed. The four hysteresis comparator control has been considered as a simplest control strategy but it suffers from a high frequency operation, the three dimensional hysteresis PWM techniques presented in [5] uses eight switching vectors among the existing sixteen switching vectors.

This paper presents a study and analyses of a three dimensional hysteresis PWM techniques for three phases four legs used as an active shunt filter under unbalanced and non-sinusoidal conditions. An advanced control theory named *pqr* theory has been already proposed by H. Kim et al [6]. The *pqr* theory takes the advantages of both *pq* theory and cross vector theory. The defined instantaneous powers follow power conservation. Both instantaneous real and imaginary powers can be defined in the zero-sequence circuit in three-phase four-wire systems. The three power components are linearly independent of each other.

The proposed *pqr* theory shows superior aspects both in definition and compensation. When the system voltages are unbalanced or distorted by harmonics, the system currents can not be controlled balanced and sinusoidal even though the ac part of the powers and are compensated completely.

To overcome this problem, the *pqr* theory need to preprocess the system voltages to be sinusoidal and balanced waveforms by using such as a PLL (phase locked loop) circuit [7], extracting only the forward-sequence component from the system voltages. Various circuit conditions are simulated to show the performance of the proposed compensation algorithm, such as unbalance/harmonic source voltages and nonlinear single-phase rectifier loads.

2. Instantaneous *pqr* Strategy

In this method, a set of voltages (v_a, v_b, v_c) and currents (i_a, i_b, i_c) from a three-phase four-wire system are first transformed into a three-axis representation, using the power invariant

$$\begin{bmatrix} v_o \\ v_\alpha \\ v_\beta \end{bmatrix} = C \begin{bmatrix} v_a \\ v_b \\ v_c \end{bmatrix} \quad ; \quad \begin{bmatrix} i_o \\ i_\alpha \\ i_\beta \end{bmatrix} = C \begin{bmatrix} i_a \\ i_b \\ i_c \end{bmatrix} \quad (01)$$

$$C = \sqrt{\frac{2}{3}} \begin{bmatrix} 1/\sqrt{2} & 1/\sqrt{2} & 1/\sqrt{2} \\ 1 & -1/2 & -1/2 \\ 0 & \sqrt{3}/2 & -\sqrt{3}/2 \end{bmatrix}$$

In Cartesian *pqr* coordinates, the system currents are defined in [6]. The *pqr* coordinates are rotating along with the system voltage space vector

$$\begin{bmatrix} i_p \\ i_q \\ i_r \end{bmatrix} = \begin{bmatrix} \frac{v_\alpha}{v_{\alpha\beta o}} & \frac{v_\beta}{v_{\alpha\beta o}} & \frac{v_o}{v_{\alpha\beta o}} \\ \frac{-v_\beta}{v_{\alpha\beta}} & \frac{v_\alpha}{v_{\alpha\beta}} & 0 \\ \frac{-v_\alpha v_o}{v_{\alpha\beta} v_{\alpha\beta o}} & \frac{-v_\beta v_o}{v_{\alpha\beta} v_{\alpha\beta o}} & \frac{v_{\alpha\beta}}{v_{\alpha\beta o}} \end{bmatrix} \begin{bmatrix} i_\alpha \\ i_\beta \\ i_o \end{bmatrix} \quad (2)$$

Where $v_{\alpha\beta o} = \sqrt{v_\alpha^2 + v_\beta^2 + v_o^2}$, $v_{\alpha\beta} = \sqrt{v_\alpha^2 + v_\beta^2}$

In *pqr* coordinates, the system voltages are defined by (3).The voltage exists only in axis *p* .

$$\begin{bmatrix} v_p \\ v_q \\ v_r \end{bmatrix} = \begin{bmatrix} \frac{v_\alpha}{v_{\alpha\beta o}} & \frac{v_\beta}{v_{\alpha\beta o}} & \frac{v_o}{v_{\alpha\beta o}} \\ -\frac{v_\beta}{v_{\alpha\beta}} & \frac{v_\alpha}{v_{\alpha\beta}} & 0 \\ \frac{-v_\alpha v_o}{v_{\alpha\beta} v_{\alpha\beta o}} & \frac{-v_\beta v_o}{v_{\alpha\beta} v_{\alpha\beta o}} & \frac{v_{\alpha\beta}}{v_{\alpha\beta o}} \end{bmatrix} \begin{bmatrix} v_{\alpha\beta o} \\ 0 \\ 0 \end{bmatrix} \quad (3)$$

The instantaneous active and reactive power will be defined by :

$$\begin{bmatrix} p \\ q_q \\ q_r \end{bmatrix} = \begin{bmatrix} v_p i_p \\ -v_p i_r \\ v_p i_q \end{bmatrix} \quad (4)$$

The three instantaneous powers are linearly independent of each other. Thus, the three current components can be controlled independently by compensating for the three instantaneous powers respectively. Moreover, each instantaneous power is defined in the same way as for single-phase systems.

In order to extract only the current harmonics, which will be injected by the APF, the continuous component, p , should be eliminated. Thus, the currents in $\alpha\beta o$ co-ordinates will become:

$$\begin{bmatrix} i_{f\alpha}^{ref} \\ i_{f\beta}^{ref} \\ i_{fo}^{ref} \end{bmatrix} = \frac{1}{v_{\alpha\beta o}} \begin{bmatrix} \frac{v_\alpha}{v_{\alpha\beta o}} & \frac{v_\beta}{v_{\alpha\beta o}} & \frac{v_o}{v_{\alpha\beta o}} \\ -\frac{v_\beta}{v_{\alpha\beta}} & \frac{v_\alpha}{v_{\alpha\beta}} & 0 \\ \frac{-v_\alpha v_o}{v_{\alpha\beta} v_{\alpha\beta o}} & \frac{-v_\beta v_o}{v_{\alpha\beta} v_{\alpha\beta o}} & \frac{v_{\alpha\beta}}{v_{\alpha\beta o}} \end{bmatrix} \begin{bmatrix} p \\ q_r \\ q_q \end{bmatrix} \quad (5)$$

2.1 Improved pqr theory

The main drawback of this theory is when the voltages in the electrical network are disturbed by harmonics and/or unbalanced. To overcome this problem, this paper proposes to use a PLL in the control loop [7]. The PLL generates balanced and sinusoidal signals, perfectly in phase with the electrical network voltages. They will be directly generated in the $\alpha\beta$ co-ordinates illustrated by the following expressions:

$$\begin{aligned} v_{\alpha} &= \sqrt{3}V_s \sin(\omega t) \\ v_{\beta} &= -\sqrt{3}V_s \cos(\omega t) \end{aligned} \quad (6)$$

Eq. (2) and (6) give:

$$\begin{bmatrix} i_p \\ i_q \\ i_r \end{bmatrix} = \begin{bmatrix} \sin(\omega t) & -\cos(\omega t) & 0 \\ \cos(\omega t) & \sin(\omega t) & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} i_{\alpha} \\ i_{\beta} \\ i_o \end{bmatrix} \quad (7)$$

Then the instantaneous active and reactive powers will be:

$$\begin{bmatrix} p \\ q_q \\ q_r \end{bmatrix} = \sqrt{3}V_s \begin{bmatrix} 1 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} i_p \\ i_q \\ i_r \end{bmatrix} \quad (8)$$

In $\alpha\beta o$ co-ordinates the currents become:

$$\begin{bmatrix} i_{f\alpha}^{ref} \\ i_{f\beta}^{ref} \\ i_{fo}^{ref} \end{bmatrix} = \begin{bmatrix} \sin(\omega t) & \cos(\omega t) & 0 \\ -\cos(\omega t) & \sin(\omega t) & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} p \\ q_r \\ q_q \end{bmatrix} \quad (9)$$

3. 3 Dimensional Hysteresis PWM Technique

In a three-legged inverter, there are eight possible switch combinations. With the fourth neutral leg, the total number of switch combinations increases to sixteen. The switch combinations are represented by ordered sets $[S_a, S_b, S_c, S_n]$, where $S_a = 'p'$ denotes that the upper switch in phase A, S_{ap} , is closed, and $S_a = 'n'$ denotes that the bottom switch in phase A, S_{an} , is closed. The same notation applies to phase legs B and C and the fourth neutral leg. The terminal voltages $[v_{an}, v_{bn}, v_{cn}]^T$ in abc coordinate can be transformed into $[v_{\alpha}, v_{\beta}, v_o]^T$ in $\alpha\beta o$ orthogonal coordinate. The results of the transformation are shown in **Table1**. There are fourteen non-zero space voltage vectors (NZSVV) and two zero space voltage vectors (ZSVV) as shown in **Fig1** [8].

3.1 Principle of the 3 dimensional hysteresis PWM techniques [8,9,10]

The reference current obtained by (9) can be written with the space vector representation as follows:

$$\vec{i}_f^{ref} = i_{f\alpha}^{ref} \vec{i} + i_{f\beta}^{ref} \vec{j} + i_{f0}^{ref} \vec{k} \tag{10}$$

The same representation can be given to the measured active filter current:

$$\vec{i}_f = i_{f\alpha} \vec{i} + i_{f\beta} \vec{j} + i_{f0} \vec{k} \tag{11}$$

The reference current is represented in space voltage vector as shown in Fig2, the tip of the reference current \vec{i}_f^{ref} is located at the centre of a three dimensional cube, while the tip of the measured active filter current \vec{i}_f can be located in any cube of the eight small cubes as illustrated in Fig3.

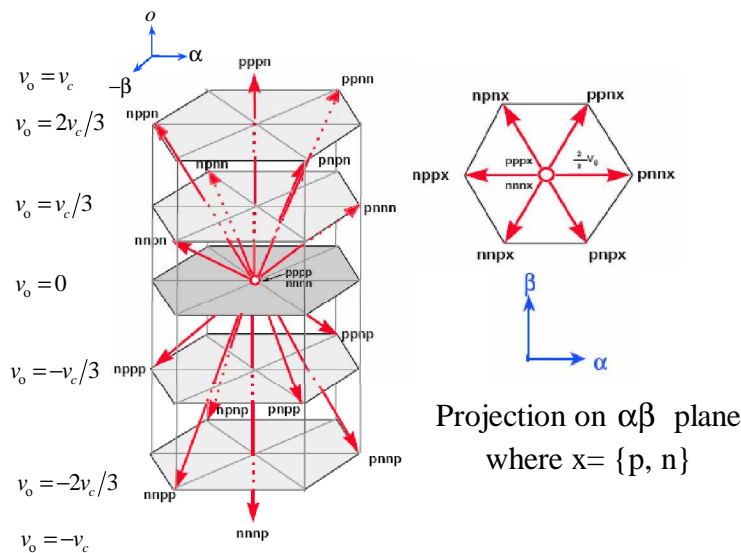


Figure (1): Switching vectors in $\alpha\beta o$ coordinate

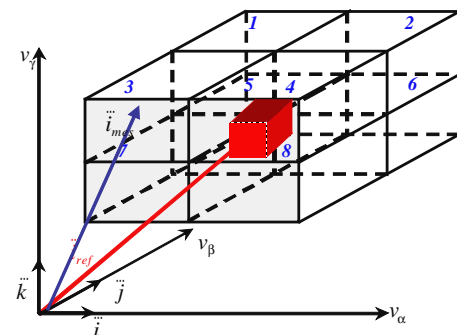


Figure (2): Reference current vector representation in $\alpha\beta o$ plane

The error between the reference current and the active filter current, at any sampling time t can be expressed as follows:

$$\begin{bmatrix} \epsilon_\alpha \\ \epsilon_\beta \\ \epsilon_o \end{bmatrix} = \begin{bmatrix} i_{f\alpha}^{ref}(k) - i_{f\alpha}(k) \\ i_{f\beta}^{ref}(k) - i_{f\beta}(k) \\ i_{f0}^{ref}(k) - i_{f0}(k) \end{bmatrix} \tag{12}$$

The eight cubes can be determined according to the sign of the calculated error as shown in **Fig3**.

In order to minimise the error, a suitable space voltage vector among the sixteen space voltage vectors should be applied to force the measured vector to be as close as possible to coincide with the reference vector within the hysteresis boundary.

To reduce the switching frequency, the ZSVV $\vec{v}(nnnn)$ and $\vec{v}(pppp)$ must be chosen only when the error vector has the natural tendency to converge toward the hysteresis boundary. This can be verified by satisfying the following conditions:

$$(\varepsilon_{\alpha}(k) \cdot \frac{d\varepsilon_{\alpha}(k)}{dt} < 0, \varepsilon_{\beta}(k) \cdot \frac{d\varepsilon_{\beta}(k)}{dt} < 0, \varepsilon_o(k) \cdot \frac{d\varepsilon_o(k)}{dt} < 0) \quad (13)$$

Moreover the two space voltage vectors $\vec{v}(nnp)$ and $\vec{v}(ppn)$, which can be considered as a ZSVV regarding to their influence on $\varepsilon_{\alpha}(k)$ and $\varepsilon_{\beta}(k)$, can be applied when it is certain that only the errors $\varepsilon_{\alpha}(k)$ and $\varepsilon_{\beta}(k)$ have the tendency to converge toward the hysteresis boundary and the $\varepsilon_o(k)$ has the tendency to diverge from the hysteresis boundary. Similarly, this case can be verified by satisfying the following condition:

$$(\varepsilon_{\alpha}(k) \cdot \frac{d\varepsilon_{\alpha}(k)}{dt} < 0, \varepsilon_{\beta}(k) \cdot \frac{d\varepsilon_{\beta}(k)}{dt} < 0, \varepsilon_o(k) \cdot \frac{d\varepsilon_o(k)}{dt} > 0) \quad (14)$$

However; when the conditions (13) and (14) are both not verified, in other words when the current error components and their respective derivative in $\alpha\beta o$ reference frame have the same sign. It is necessary to apply the suitable non-zero space voltage vector to force the measured active filter current to forward the right trajectory towards the hysteresis boundary.

For each of the eight possible locations, shown in **Fig2**, there are eight space voltage vectors which can be applied, among them: one of either ZSVV, which can be chosen as $\vec{v}(nnnn)$ or $\vec{v}(pppp)$ in the case when condition (13) is verified. Similarly one ZSVV which can be applied as $\vec{v}(nnp)$ or $\vec{v}(ppn)$ in the case when condition (14) is verified, and six active NZSVV.

In the same cube, the eight space voltage vectors can drive the measured active filter current vector towards the reference current vector with reducing the switching frequency. Sixty four (64) possible configurations can be distinguished, summarising the eight cubes. **Table3** shows the complete look up table implemented to control the filter current in three phase four wires active filter.

Table (1): Switch Combinations in $\alpha\beta o$ orthogonal coordinate

	pppp	nnnp	pnnp	ppnp	npnp	nppp	nnpp	pnpp
v_α	0	0	$\frac{2v_c}{3}$	$\frac{v_c}{3}$	$\frac{-v_c}{3}$	$\frac{-2v_c}{3}$	$\frac{-v_c}{3}$	$\frac{v_c}{3}$
v_β	0	0	0	$\frac{v_c}{\sqrt{3}}$	$\frac{v_c}{\sqrt{3}}$	0	$\frac{-v_c}{\sqrt{3}}$	$\frac{-v_c}{\sqrt{3}}$
v_o	0	$-v_c$	$\frac{-2v_c}{3}$	$\frac{-v_c}{3}$	$\frac{-2v_c}{3}$	$\frac{-v_c}{3}$	$\frac{-2v_c}{3}$	$\frac{-v_c}{3}$
	pppn	nnnn	pnnn	ppnn	npnn	nppn	nnpn	pnpn
v_α	0	0	$\frac{2v_c}{3}$	$\frac{v_c}{3}$	$\frac{-v_c}{3}$	$\frac{-2v_c}{3}$	$\frac{-v_c}{3}$	$\frac{v_c}{3}$
v_β	0	0	0	$\frac{v_c}{\sqrt{3}}$	$\frac{v_c}{\sqrt{3}}$	0	$\frac{-v_c}{\sqrt{3}}$	$\frac{-v_c}{\sqrt{3}}$
v_o	v_c	0	$\frac{v_c}{3}$	$\frac{2v_c}{3}$	$\frac{v_c}{3}$	$\frac{2v_c}{3}$	$\frac{v_c}{3}$	$\frac{2v_c}{3}$

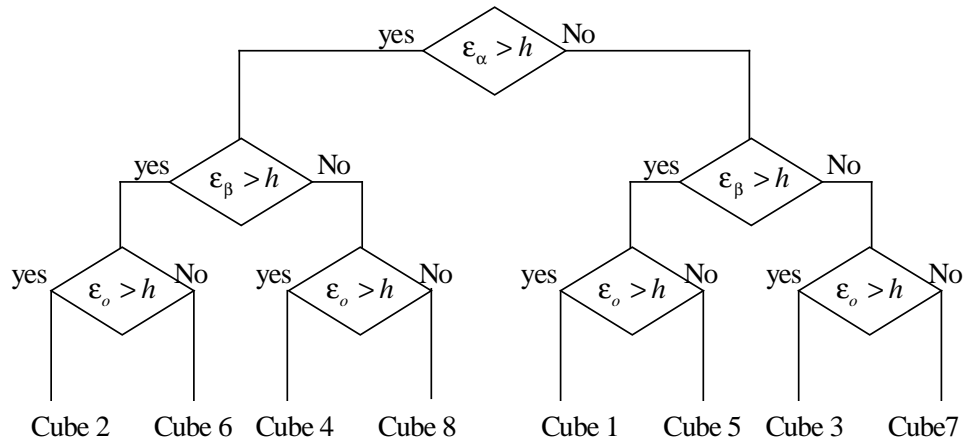


Figure (3): Detection of the cube

Table (3): Switch Combinations all the eight cubes

			Cube1	Cube2	Cube3	Cube4	Cube5	Cube6	Cube7	Cube8
$\epsilon_o < h$	$\epsilon_\beta < h$	$\epsilon_\alpha < h$	npnn	ppnn	nnpn	pnpn	npnp	ppnp	nnpp	pnpp
		$\epsilon_\alpha > h$	npnn	ppnn	nnpn	pnpn	npnp	ppnp	nnpp	pnpp
	$\epsilon_\beta > h$	$\epsilon_\alpha < h$	npnn	ppnn	nnpn	pnpn	npnp	ppnp	nnpp	pnpp
		$\epsilon_\alpha > h$	npnn	ppnn	nnpn	pnpn	npnp	ppnp	nnpp	pnpp
$\epsilon_o > h$	$\epsilon_\beta < h$	$\epsilon_\alpha < h$	npnn	ppnn	nnpn	pnpn	npnp	ppnp	nnpp	pnpp
		$\epsilon_\alpha > h$	npnn	ppnn	nnpn	pnpn	npnp	ppnp	nnpp	pnpp
	$\epsilon_\beta > h$	$\epsilon_\alpha < h$	npnn	ppnn	nnpn	pnpn	npnp	ppnp	nnpp	pnpp
		$\epsilon_\alpha > h$	npnn	ppnn	nnpn	pnpn	npnp	ppnp	nnpp	pnpp

4. Simulation study:

In order to predict the performance of the **APF** using a four leg converter, detailed simulation studies on **Matlab/Simulink** platform are carried out. A 220V, 50Hz three phase four wire system is chosen for this study. A three single phase diode rectifiers feeding unbalanced load are connected in phases and to the neutral, respectively. The reference dc link voltage of the converter is maintained at 700V. The current THD calculated for the 30 first harmonics before filtering is **40.7%**. The converter ac currents are controlled with the proposed control theory. The current controller switching table³ is considered. In order to show the good dynamic performance of the current controller, some simulation results are presented in the next figures.

Fig.4 shows waveforms of the system before and after instantaneous reactive power compensation, where the source voltage is balanced and has no zero-sequence components and the load current contains zero-sequence component. It could be remarked that the filter dc voltage converges to its desired value and the line currents are practically sine waves and in phase with their corresponding voltages at the **PCC**. The current **THD** has dropped to 1.5% (less than 5% IEC norm), and the source power factor at the PCC has increased to 1.

The second simulations, **Fig.5**, give the currents before and after instantaneous reactive power compensation, when the lines voltages are unbalanced, up to 5% but do not contain harmonics. It could be remarked that the filter dc voltage converges to its desired value and the line currents are practically sine waves and in phase with their corresponding voltages at the **PCC**. The current **THD** has dropped to 1.8% (less than 5% IEC norm), and the source power factor at the PCC has increased to 1.

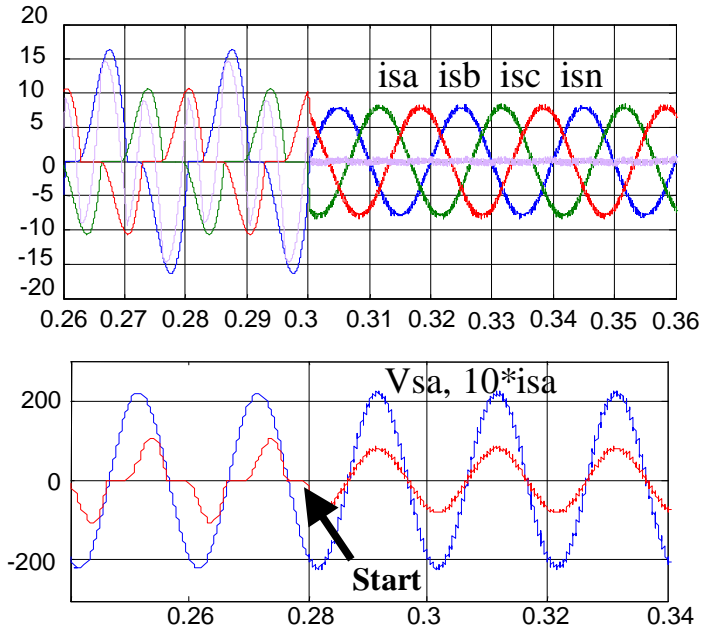


Figure (4): Simulation Waveforms under balanced supply network.

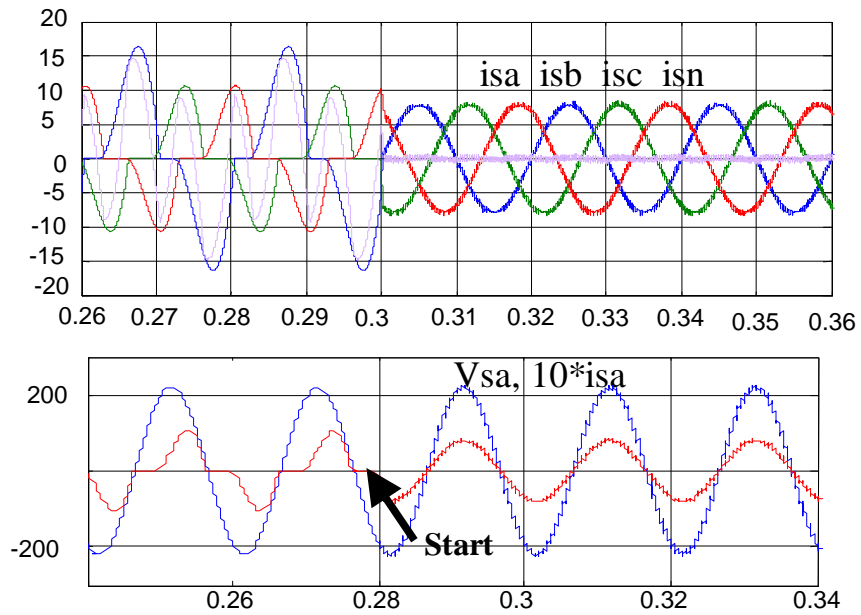


Figure (5): Simulation Waveforms under unbalanced supply network.

5. Conclusions:

In this paper, 3 dimensional hysteresis PWM techniques is proposed, it has main advantage over the hysteresis control method where it groups the four errors into one vector error. It has also another advantage over the double hysteresis control where it has less switches losses due to the application of zero voltage space vectors. *pqr* theory is mathematically clear and systematic, showing good compensating characteristics. The equivalent circuit valid for *pqr* theory is very simple and it gives clear physical meaning.

The active power filter based on the proposed control strategy can compensate unbalanced non-linear load currents even the source voltages are unbalanced and distorted. The effectiveness of the proposed scheme was evaluated through digital simulations. The active filter has good performance under unbalanced load conditions.

References:

- [1] A. Unsal , *A DSP Controlled Resonant Active Filter for Current Harmonic Mitigation in Three-Phase power systems* , Oregon state university Ph.D Thesis, 2001.
- [2] B. Singh, K. Al-Haddad and A. Chandra, *Harmonic elimination, reactive power compensation and load balancing in three-phase, four-wire electric distribution systems supplying non-linear loads*, Electric Power Systems Research 44(1998) 93-100
- [3] H. Y. Kanaan, S. Georges , A. Hayek and K. Al-Haddad, *Modelling and Comparative Evaluation of control Techniques Applied to a PWM Three Phase Four-Wire Shunt Active Power Filter*, ICIEA 2006.
- [4] M. Aredes, J. Hafner and K. Heumann, *Three-Phase Four-Wire Shunt Active Filter Control Strategies*, IEEE Transactions on Power Electronics, Vol. 12, No. 2, March 1997.
- [5] P. Verdelho and G. D. Marques, *A Current Control System Based in $\alpha\beta\gamma$ Variables for a Four-Leg PWM Voltage Converter*, IEEE Trans. on Industrial Electronics, 1998.
- [6] H. KIM, F. BLAABJERG, B. BAK-JENSEN and J. CHOI, *Instantaneous power compensation in three-phase systems by using *p-q-r* theory*, IEEE 32nd Annual Power Electronics Specialists Conference, PESC'01, Vol. 2, pp. 478 -485, 2001.
- [7] M. C. Benhabib and S. Saadate, *New control approach for four-wire active power filter based on the use of synchronous reference frame*, Electric Power Systems Research, 2004.

- [8] R. Zhang, *High Performance Power Converter Systems For Nonlinear and Unbalanced Load/Source*, Faculty of the Virginia Polytechnic Institute and State University Ph.D Thesis, 1998.
- [9] M. C. Wong, N. Y. Dai, J. Tang and Y. D. Han, *Theoretical Study of 3 Dimensional Hysteresis PWM Techniques*, research supported by the Research Committee of University of Macau and the Research Center of Flexible AC Transmission and Distribution System of Tasinghua University, 2005.
- [10] K. Nounou and S. Douida, *Commande D'un Onduleur à Quatre Bras : Application Au Filtrage Actif De Puissance*, Mémoire de fin d'études, EMP, 2007.

Nomenclatures:

THD **T**otal **H**armonic **D**istortion
SAPF **S**hunt **A**ctive **P**ower **F**ilters
PLL **P**hase **L**ocked **L**oop
PWM **P**ulse **W**idth **M**odulation