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EARTH OBSERVATION SATELLITES OPTIMIZATION; SURVEY AND ANALYSIS

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ABSTRACT

The problem of earth observation satellites planning and scheduling contains many objectives and constraints. The objectives include downloaded data, profit of scheduled tasks and quality (weight) values. The constraints are related to energy, data, targets (tasks) and ground stations. Many optimization methods have been used to optimize this problem such as dynamic programming (DP), simulated annealing (SA), ant colony optimization (ACO), genetic algorithm (GA) and constraint programming approach (CPA). Each reported research used a harmonized combination of objective(s), constrains, and optimization techniques. The presented work investigates the anatomy of this optimization problem. It is also analyzes the findings of the previous relation between the optimization problem elements and gaps in past research. Furthermore shows that there is a gap between data's objectives (maximizing the total amount of downloaded data) and the targets' constraints (observation of tasks, scheduling and consecutive observation). The most widely used optimization methods are dynamic programming and heuristic algorithms, respectively. Moreover, the most widely objectives are those relative to profit. Few discussions are also presented concerning the constraints which involve data rate, range of ground stations and capacity of ground stations.

KEY WORDS

Objective functions, Constraints, Optimization, Optimization methods, Earth, observation, Satellites, EOS.

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INTRODUCTION

Planning and scheduling problem of Earth Observation Satellite (EOS) has been discussed in many papers. Each paper has its own specific conditions. These conditions include types of satellite's operation (imaging, downloading or both) [1], maneuverability of satellites (not agile or agile) [2, 3, 4], number of tasks (single or multi) [2], number of satellites (single or constellation) [5, 6, 7, 8, 9, 10, 11, 12, 13], and number of ground stations (single or multi) [6, 7].

Satellite earth observation is considered a platform which equipped with imaging instruments and orbits the earth for taking images of specific areas according to users' requests [5]. In the introduction, the meaning of satellite, satellites' applications, orbits, launch window, payload, remote sensing, earth observation, ground system and space system will be discussed.

Satellite Definition

The satellite is defined generally according to NASA as a moon, planet or machine that orbits a planet or star. This word refers to a machine that is launched into the space and move around the earth or another body in space. [14]

Applications of Satellites

The following list the different applications of satellites; such as [15]:

- 1) Weather Forecasting
 - Monitor the climatic conditions of the earth by taking images of earth, predict disasters and monitor the changes in sea state, earth's vegetation and ocean color.
- 2) Radio and TV Broadcast
 - Make hundreds of channels across the globe and broadcast news, live matches and world-wide radio services.
- 3) Military Satellites
 - Collect intelligence, the character of the satellite if it is civilian or military can be defined by the kind of satellite's payload.
- 4) Navigational Satellites
 - Precise the location world-wide and also may be in the range of some meters.
- 5) Global Telephone
 - Set up international telephone backbone but fiber optics is used instead of communication satellite.
- 6) Connecting Remote Area
 - Supply coverage for the internet or telephone network.
- 7) Global Mobile Communications
 - Increase the coverage area for mobile communication.

The third application is considered the most interesting application as it is mainly depends on taking photos through its payload (camera).

Orbits and Satellites

The orbit is the trajectory or path of the spacecraft. A trajectory or an orbit for a

satellite refers to its path through the space. There are types of orbits such as parking orbit, transfer orbit, final mission orbit and disposal orbit. Astrodynamics is the study of satellite's orbit or trajectory [16].

Kepler [16] has published two laws of planetary/satellite motion in 1609. The first one is that the orbit of each planet/satellite has an ellipse with the sun at one focus, whereas the second one is that the line joining the planet to the sun is sweeping out equal areas in equal times.

Today, all satellites get into orbit by riding on a rocket or riding in the cargo bay of a space shuttle [17]. The following points describe orbits in detail:

- 1) Key Parameters of an elliptical orbit
 - r ... Position vector of the satellite relative to the earth's center
 - V ... Velocity vector of the satellite relative to the earth's center
 - a... Semimajor axis of the ellipse
 - b... Semiminor axis of the ellipse
 - c... The distance from the center of the orbit to one of the focuses
 - v... The polar angle of the ellipse measured in the direction of satellite's motion from the perigee direction to the position vector, also called true anomaly
 - r_A ... Radius of apogee, the distance from the center of the earth to the farthest point on the ellipse
 - r_p ... Radius of perigee, the distance from the center of the earth to the closest point approach to the earth

Fig. 1 illustrates the above parameters.

- 2) Orbital Elements
 - They are considered a completely description for the orbit.
- 3) Orbital Period
 - It refers to the time at which the satellite takes between two successive ascending nodes.
- 4) Perturbations
 - Any forces perturb the satellite away from its nominal orbit are considered perturbations. These perturbations occur due to non-spherical earth, atmospheric drag and solar radiation.

Launch Window

The launch window is the time at which the site for launch on the earth's surface rotates through the orbital plane or it is a particular period of time in which it will be easier to put the satellite necessary to perform its intended duty/mission. For the existence of the launch window, the site for launch must pass through the orbital plane. The launch time depends on the inclination of satellite orbit, right ascension of ascending node and latitude and longitude of the launch site [16, 17].

Payload

The payload is the combination of software and hardware on the spacecraft which interacts with the subject to accomplish the objectives of the mission, where the subject of the mission is the thing which interacts with or is sensed by the payload. The payload is the main reason for the spacecraft. The purpose of other components

of the spacecraft is to protect the payload and make sure that its points are in the right direction [16].

Remote Sensing

Any observation that a spacecraft makes without directly contacting the required object is considered remote sensing. Imaging the surface of the earth or providing early warning of a ballistic missile launch is all remote sensing missions [16].

Earth Observation

Earth coverage is the part / area of the earth that a satellite's payload or antenna can see over an extended period or at one instant. In evaluating coverage, two critical differentiations must be taken in consideration. The first one is the instantaneous field of view, called FOV or footprint, is the actual area that can be seen by the payload or antenna at any moment. In contrast, the access area is the total area on the ground that could be seen potentially at any moment by turning the satellite or the payload. The second one is between the area that can be seen at any moment and the rate at which new area comes into view as spacecraft and payload move [16].

Nadir is a point on the surface of the earth which has the shortest distance from the satellite to the earth's surface. The projection of the satellite's orbit on the surface of the earth is called the ground track. Swath of a satellite is the width of the area on the ground surface which is imaged by the sensor during a single pass as shown in Fig. 2. The common area on consecutive images along flight direction is called overlap. The elapsed time between two successive views of the same area by a satellite is called revisit period [18].

The elevation angle of a satellite is the angle between the satellite and the local horizon at the target point as seen in Fig. 3. It varies with time as the satellite moves [19].

The observable size of an earth's area from a satellite depends on its orbital altitude. The observable area is compared in Fig. 4 for satellites at two different altitudes: the satellite at the lower altitude sees a smaller area than the one at the higher altitude. Note that the observable area also describes the area on the Earth that can see the satellite [18].

Earth observation satellites are considered platforms which equipped with imaging instruments that orbit the earth to take images of specific areas at users' requests. Satellite-based cameras are characterized by broad coverage, without limitation on the national boundaries and long duration. These EOSs play important roles in remote sensing of natural resources, cartographic mapping, evaluation of natural disasters and military reconnaissance [20].

There is a new generation of EOS with three degree of freedom, such as the French Pleiades. This generation is called Agile EOS (AEOS). At this new generation, all instruments are fixed on the satellite and the whole satellite can move on the three axes (roll, pitch and yaw). Because of these new capabilities of satellite, the observing time windows become much longer and there are too many ways to

observe a target area, since starting times of observations are free which bring also different image quality. As a result, agile satellites can observe much more tasks than non-agile satellite but there will be more difficulties to the selection and scheduling of AEOS observations since search space is become larger [2].

When a satellite flies over the target area, its camera is opened to take required image. Because of the high speed of the satellite and the time taken for imaging process, a strip that covers the target will be produced. Each target is thus associated with a special time window. For some targets, there is a need to roll the satellite's camera to take the image due to the restriction of satellite's field of view [20].

Ground System and Space Segment

The spacecraft and their payloads are considered the space segment. The ground stations and control centers are considered the ground system and it may be fixed or mobile. The ground system supports the space segment and relays mission data to users which is generated by onboard instruments and received from the spacecraft [16]. Each ground station may have multiple download options from which to choose, where each option is defined by a combination of values for data rate, efficiency and energy utilization [21].

To support the space segment, the ground system must command and control it, monitor its behavior, track it to determine orbital position and determine spacecraft attitude from sensor information. The spacecraft and its instruments / payloads have been controlled by transmitting command data from the ground system to the spacecraft [16].

There are three types of control centers which are found within ground systems. The first one is the space operations control center (SOCC) which monitors and commands the spacecraft bus and common systems (onboard instruments or payloads) and it also analyzes spacecraft telemetry and mission data from instruments which may affect the spacecraft attitude and dynamics. The second one is the payload operations control center (POCC) which analyzes telemetry and mission data from onboard payload instruments and issues commands to these instruments. The third one is the mission control center (MCC) which plans and operates the entire space mission including the configuration and scheduling of resources for both space and ground system [16].

In this paper, it touches on the problem of earth observation satellites planning and scheduling especially, its contents of objectives and constrains. It contains a wide survey for gathering information about objectives, constraints and optimization techniques that belongs to our problem. The objectives may be divided into three portions (downloaded data, profit of scheduled tasks and quality/weight values) and constraints may be divided related to many factors (energy, data, targets/tasks and ground stations). Many optimizations techniques have been used for solving this problem. A table is established showing the relation between them and it will be useful for any researchers who keen about this problem.

After the introduction, five sections will be discussed. Second section contains categorization for the objective functions of the planning and scheduling problem for earth observation satellites. Third section contains also a categorization for the constraints of that problem. At the fourth section, a categorization for the optimization methods has been used for solving that problem. At the end, a conclusion drawn from the presented work is introduced.

PROBLEM CATEGORIZATION ACCORDING TO OBJECTIVE FUNCTIONS

The objective functions of our problem are categorized to many factors as follows:

Downloaded Data

The amount of downloaded data needs to be increased as possible in case of receiving from a satellite or more than one. The amount of un-downloaded data needs to be decreased as possible in case of it is still onboard the satellite. It is clear that downloaded data can be taken as an important factor which has an effect in creating objective functions.

Maximize the total amount of data received over the planning horizon

There is a necessary to maximize the amount of downloaded data from a single satellite orbiting the earth to ground stations. The acquisition rates for satellite's energy and data may vary over time. For example, the line of sight of the solar panels relative to the sun has an effect on the energy's collection whereas the satellite is in view of the specific target has an effect on the data's collection. The limitations for the permitted stored data and energy cause discontinuities in the system dynamics which result in non-linear optimization problem. To overcome this deficiency, the problem is divided into a finite set of time intervals to approximate the continuous time dynamics [6]. The following equation represents this objective function.

Objective Function =
$$Max \sum_{i \in I} \sum_{o \in O} \eta_{io} q_{io}$$
 (1)

where:

I Set of intervals, $\forall i \in I$

O Set of download options

 $O_{\scriptscriptstyle io}$ The subset of download options available during interval (i), ${}^{\forall O_i \subseteq O}$

 q_{io} The amount of data downloaded during interval (i) using option (o), $\forall o \in O_i$

 $\eta_{_{io}}$ The efficiency during interval (i) when downloading using option (o)

Maximize the total amount of data that is downloaded from each satellite during the planning horizon

In case of scheduling the downloading of data from a constellation of satellites to multiple ground station, it is called a multiple satellite multiple ground station scheduling problem (MMSP). At this problem, there is a need to maximize the total amount of downloaded data which have been downloaded from each satellite to match the (suitable) ground station according to the visibility zones for each ground

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station [7]. The following equation represents this objective function.

Objective Function =
$$Max \sum_{s \in S} \sum_{i \in I} \sum_{g \in G} \eta_{ig} q_{sig}$$
 (2)

where:

S ... Set of satellites, $\forall s \in S$

G ... Set of ground stations, $\forall g \in G$

 $I \dots$ Set of time intervals. $\forall i \in I$

 η_{ig} ... The efficiency (fraction of downloaded data successfully received by the ground station) during interval (i) when downloading to ground station (g)

 q_{sig} ... The amount of data downloaded by satellite (s) during interval (i) to ground station (g)

Collecting the largest amount of prioritized imagery and continually lowering the amount of un-downloaded data onboard the satellite

The goal of collecting the largest amount of prioritized imagery and continually lowering the amount of un-downloaded data onboard the satellite is achieved here by Mixed Integer Linear Programming (MILP). This goal is considered a multi objective gathering objective functions for downloaded data and profit. This method touched on both image and downlink opportunities simultaneously. For setting the storage penalty, the waiting time that image data spends on-board the satellite before it gets downlinked will be minimized. The penalty is proportional to the amount of data and time duration; it will be stay on-board [9]. The following equation represents this objective function.

Objective Function =
$$\min_{x_i} \sum_{i \in S_{\alpha ii}} (-c_i x_i + \alpha d_i y_i)$$
 (3)

where:

 S_{all} ... Set of all opportunities (Image and downlink opportunities)

i ... An opportunity,

 x_i ... A Boolean variable indicating inclusion in the schedule,

 y_i ... Amount of onboard data at end time of opportunity (i)

 $^{\textit{C}_{i}}...$ Priority weight for opportunity (i)

 $c_i \succ 0$... For imaging opportunity (i), how important capturing that image is

 $c_i = 0$... For all downlink opportunities

 $^{d_{i}}...$ A penalizing cost of onboard data storage for opportunity $(^{i})$

 lpha ... A parameter that controls the relative importance of imaging versus downlinking, it is set empirically

Profit of Scheduled Tasks

The number of selected tasks\targets needs to be increased as possible. That number and the priority of those tasks\targets in addition to completing dynamic tasks\targets as possible may be considered the profit of scheduled tasks\targets. It

is clear that the profit of scheduled tasks\targets can be taken as an important factor which has an effect in creating objective functions.

Maximize the total priority of selected tasks

Observation request from users is considered to be a task (Take a photograph for a specific area on the earth by a satellite). Agile Earth Observation Satellites (AEOS) problem consists of selecting which tasks to perform, determining which time windows to be used and the starting time of a task in a time window. As a result, it is considered to be over-constrained and all tasks can't be performed. The number of total task sequences allocated on each satellite is uncertain before constructing a complete solution; so the number of sequences is set to be equal to the number of tasks. The number of window index is set to be equal to the maximum number of all-time windows for all tasks because there is uncertain number of available time windows for each task on a satellite (Each task has its own number for time windows and this number varies from to task to another one) [3]. The following equations represent this objective function.

Objective Function =
$$Max \sum_{m=1}^{k} \sum_{s=1}^{w} \sum_{b=1}^{n} \sum_{j=1}^{n} O_{j} X_{jbsm}$$
 (4)

Objective Function =
$$Max \sum_{i=1}^{n} x_i . P_i . Area_i$$
 (5)

where:

j ... Task index, j =1, 2... n

 $b \dots$ Sequence index, $b = 1, 2 \dots n$

m ... Satellite index, m = 1, 2... k

s ... Window index, s =1, 2w

 $^{O_j}...$ Priority of task (j) determined by decision makers $^{X_{jbsm}}...$ Decision variable, $X_{jbsm} \in \{0,\!1\}$

 X_{jbsm} ... If task (j) is assigned at the (s^{th}) window with the (b^{th}) sequence scheduled on satellite (m); $X_{jbsm} = 0$ otherwise;

 x_i ... Decision variable ($x_i = 1$), If task (i) is included on the schedule & $x_i = 0$, If it isn't included on the schedule)

 P_i ... Priority of task (i)

 $Area_i$... Area of task (i)

Maximize the profit of satellite (Summing up priorities of observed tasks)

More than one satellite may fulfill it and each satellite owns its several observation windows. To define these observation chances, Meta-tasks will be introduced. A task may create several meta-tasks. Only one of its meta-tasks is selected to observe. Combined task is a combination of meta-tasks. Combined observation ensures that satellites fulfill more tasks, it is also good for satellite's gesture keeping, reducing slewing times of the satellite and unnecessary power consumption will be cut down. Multi Satellites Scheduling Problem (MSSP) for satellites with limited slewing ability

has been formulated. Here, this equation is summing up priorities of observed tasks to get the profit of the satellite [10]. The following equation represents this objective function.

Objective Function =
$$Max \sum_{i=1}^{N_c} \sum_{j=1}^{N_t} f(i, j).P_j$$
 (6)

where:

f(i,j)...Boolean value, which is used to mark whether $task T_j$ is contained in combined $task Obs_i$

 N_c ...Number of combined tasks

N,...Number of tasks

 P_i ... Priority of observed task

Completing dynamic tasks as many as possible & Maximize the observation income

Dynamic tasks are emergency tasks. They should be completed in dynamic scheduling immediately when they are added to the task set. The optimal observation income always is considered the main goal for scheduling process. It is difficult to calculate the optimum due to the complexity of the problem and the limitation of computing ability. So, decision makers often have to accept a satisfied near optimal solution. There may be several visible time windows for every task observed by each satellite [20].

Here, there are two optimization objectives. The first one is completing as many dynamic tasks as possible which is considered important for the emergency scheduling. The second one is to maximize the observation income which is considered the common objective for all imaging scheduling [20]. The following equations represent those objective functions.

Objective Function =
$$Max \sum_{i=1}^{d_n} \sum_{j=1}^m \sum_{k=1}^{\left|W_i^j\right|} x_{i,k}^j$$
 (7)

Objective Function =
$$Max \sum_{i=1}^{n} \sum_{j=1}^{m} \sum_{k=1}^{\left|W_{i}^{j}\right|} x_{i,k}^{j} . C_{i}$$
 (8)

Objective Function =
$$Max \sum_{j \in j_1} w_j \sum_{P \in OTW_j} x_P + \sum_{j \in j_2} w_j f(\Pi_j / S_j)$$
 (9)

where:

 d_n ... The number of dynamic tasks

m ... The number of satellites

 W_{i}^{j} ... The set of time windows observed by satellite (j) for task (i)

 $\left|W_{i}^{j}\right|$...The number of visible time windows of task (i) observed by satellite (j)

 $n \dots$ The number of all tasks

 $x_{i,k}^{J}$... Decision (Boolean) variable

 $x_{i,k}^j=1\dots$ If the task (i) can be observed by the satellite (j) in the time window; $x_{i,k}^j=0$ otherwise

 C_i ... The observed income of task (i)

 w_i ... The reward of target

 x_P ... Binary variable for observation time window $P \in OTW$, representing whether the time window P is adopted as an observation

 Π_j ... Nonnegative continuous variable for polygon $j \in J_2$, denoting the summed contribution area of all the observed time windows $P \in OTW_j$, $j \in J_2$, $x_P = 1$, deducting the overlapped area among the time windows

 S_j ... Denotes the total area of polygon $j \in J_2$

Quality (Weight) Values

The covered area and the resolution of the images need to be increased as possible. That number and the priority of those tasks\targets in addition to completing dynamic tasks\targets as possible may be considered the profit of scheduled tasks\targets. It is clear that the profit of scheduled tasks\targets can be taken as an important factor which has an effect in creating objective functions.

Maximize the quality values

There are agile satellites and different targets with different rolling angles. It is considered a combinatorial optimization problem (Optimally planning the images' acquisition and assigning them to the satellites of a constellation). Quality values are assigned as functions of the area that strips cover and the distortion of the image. These quality values are used to determine the differences among the strips that are available to be imaged. A directed graph has been used as shown in Fig.5 [11]. The following equations represent our objective function which is to maximize the quality values.

Objective Function =
$$Max \sum_{i=1}^{N} q_{ij}$$
 (10)

Objective Function =
$$Max \sum_{j \in N} \sum_{C \in M} w_j x_j^C$$
 (11)

where:

Node (i)... A pass over area of interest (AOI)

V ... Set of Nodes, $\forall i \in V \& V = \{1,...,N\}$

 $j \dots$ Edges that belong in a selected path

 q_{ij} ... Quality values, assigned to the edges, as functions of the area that they cover and the distortion of the image

 w_{i} ... The weight of image (j)

 x_i^C ... Denotes by a 0-1 variable that image (j) that can be taken by a camera (C)

 M^{j} ... The subset of cameras that can take image (j)

 ${\it N} \dots$ The set of images to be taken

Maximize the Weight values

The satellite data transmission scheduling problem has been formulated to maximize the weight values meaning the importance degree of target weight and satellite resolution weight; data requested satisfied degree and time urgency. Before this formulation, some assumptions are considered to simplify the problem such as; a satellite can only perform one mission at time, a Tracking and Data Relay Satellite System (TDRSS) satellite can serve multiple satellites at the same time and a ground station and mobile station can only serve one satellite in one access window [1]. The following equation represents the objective function which is to maximize the weight values.

Objective Function =
$$Max P_1(\sum_{i \in TGT} w_i r_i x_i) + P_2(\sum_{otv \in OTW} x_{otv}) + P_3(\sum_{i \in TGT} x_i / \sum_{i \in TGT} (t_i - a_{scene}) x_i)$$
 (13)

where:

 P_1 ... Important degree of target weight and satellite resolution weight

P₂... Important degree of data requested satisfied degree

 P_3 ... Important degree of time urgency

TGT ... Target set

 x_i ... Binary variable for target $i \in TGT$ representing whether target (i) is arranged

OTW ... Observation time window set

 r_i ... Nonnegative integer variable representing the maximum satellite resolution weight for target $i \in TGT$

 w_i ... Nonnegative integer variable representing the important weight for target $i \in TGT$

 x_{otw} ... Binary variable for observation time window $otw \in OTW$ representing whether time window otw is adopted as an observation

 t_i ... The time of a user receiving data of target (i)

 a_{scene} ... The start time of the scene

CONSTRAINTS

There are many constraints which can effect on our problem and they can be categorized related to many factors as follows:

Energy

Energy constraints enforce that the amount of energy stored at the beginning of each interval is within battery buffer limits. [6, 7]; this can be expressed by Eqns.(13) and 14).

$$e_{\min} \le e_i \le e_{\max} \tag{13}$$

$$e_{\min} \le e_{si} \le e_{\max} \tag{14}$$

where:

 $I \dots$ Set of intervals, $\forall i \in I$

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 e_iThe amount of energy available at the beginning of interval (i)

 $e_{\rm max} \ldots$ The maximum allowable amount of energy to be stored in the battery

 $e_{\scriptscriptstyle{\rm min}}$ The minimum allowable amount of energy to be stored in the battery

SThe set of satellites, $\forall s \in S$

 e_{si}The amounts of energy available for satellite (S) at the beginning of interval (i)

Data

Data buffer limits

a- Data constraints enforce that the amount of data stored at the beginning of each interval is within data buffer limits [6, 7]; this can be expressed by Eqns. (15) and (16).

$$d_{\min} \le d_i \le d_{\max} \tag{15}$$

$$0 \le d_{si} \le d_{\max} \tag{16}$$

where:

 $I \dots$ Set of intervals, $\forall i \in I$

 d_i The amount of data available at the beginning of interval (i)

 $d_{\rm max}$ The maximum allowable data stored in the data buffer

 d_{\min} The minimum allowable data stored in the data buffer

S Set of satellites, $\forall s \in S$

 d_{si}The amounts of data available for satellite (S) at the beginning of interval (i)

b- Data constraints enforce the satellite to use one option at most during each time interval in case of downloading [6]; this can be expressed by Eqn. (17).

$$\sum_{O \in O_i} x_{io} \le 1 \tag{17}$$

where:

I Set of intervals, $\forall i \in I$

O Set of download options

 O_i The subset of download options available during interval (i), $\forall O_i \subseteq O$

 x_{io} The binary value representing the decision of whether to download using option (O) during some portion of interval (i)

Time of each interval and data rate

Data constraints enforce that the data can only be downloaded using the chosen option for any given interval and the amount of downloaded data is limited by the interval's time and the chosen data rate. [6, 7]; they are represented by Eqns. (18) and (19).

$$q_{io} \le \Delta t_i \phi_{io} x_{io} \tag{18}$$

$$q_{sig} \le t_i \phi_{ig} x_{sig} \tag{19}$$

where:

I Set of intervals, $\forall i \in I$

 Δt_iThe duration of time interval (*i*), $\Delta t_i = t_{i+1} - t_i$

 ϕ_{io} The data rate associated with downloading during interval (i) using option (O)

 x_{io} The binary value representing the decision of whether to download using option (O) during some portion of interval (i)

 q_{io} The amount of downloaded data during interval (i) using option (O)

S Set of satellites, $\forall s \in S$

G Set of ground stations, $\forall g \in G$

 t_i The duration of interval (i)

 ϕ_{ig} The data rate associated with downloading data to ground station (g) during interval (i)

 x_{sig} The continuous variable representing the percentage of interval (i) during which satellite (s) downloads to ground station (g)

 q_{sig} The amount of downloaded data by satellite (s) during interval (i) to ground station (g)

Capacity

a- Capacity constraints ensure that the memory consumption of the scheduled tasks cannot exceed the memory capacity for each orbit [11, 12, 13, 21, 25, and 28]; they are represented by Eqns. (20) and (21).

$$\sum_{i \in T} \sum_{\substack{j \in T \cup \{n+1\}\\i \neq j}} x_{ij}^k (\omega e_{ik} - \omega s_{ik}) m_k \leq M_k$$
(20)

$$\sum_{i=1}^{N} m_{ij} \le M \tag{21}$$

where:

T.... Set of tasks, $\forall T = \{1,...,n\}, \forall i \in T$

 $i, j \dots$ Task index, $i, j \in T \cup \{0, n+1\}$ is the immediate predecessor of task (j)

O Set of orbits, $\forall O = \{1,, m\}$

k Orbit index, $\forall k \in O$

 $[\omega_{i_k}, \omega_{i_k}]$ Time window of observation of task (i) on orbit (j)

 m_k Memory consumption for each unit time of observation orbit (k)

 M_k Memory capacity of orbit (k), $\forall k \in O$

 x_{ij}^{k} ... Decision variable, $x_{ij}^{k} = 1$ If both tasks (i), (j) are scheduled on orbit (k) and task

(i) is the immediate predecessor of task (j); Otherwise $x_{ij}^{k} = 0$

M The total on board memory

N The number of passes over an area of interest (AOI)

 m_{ii} Memory consumption

b- Capacity constraints ensure that the total capacity and working time of all tasks on each satellite do not violate the total satellite capacity and total working time [3, 22]; it is expressed by Eqns. (22) and (23).

$$\sum_{j=1}^{n} \sum_{b=1}^{n} \sum_{s=1}^{w} c_{j} x_{jbsm} \leq C_{m}$$
 (22)

$$\sum_{j=1}^{n} \sum_{b=1}^{n} \sum_{s=1}^{w} P_{j}.x_{jbsm} \leq P_{m}$$
 (23)

where:

j Task index, $j = \{1, 2,, n\}$

b Sequence index, $b = \{1, 2, ..., n\}$

s Window index, $s = \{1, 2, ..., w\}$

m Satellite index, $m = \{1, 2, ..., k\}$

 C_m The total memory storage capacity of satellite (m)

 c_i Memory capacity of task (j)

 P_m The total available consecutive working time of satellite (m)

 P_i Working time of task (j)

 x_{jbsm} A decision/Boolean variable representing if task (j) is assigned at the window index with the b^{th} sequence scheduled on satellite (m), or not.

Tasks (Targets)

Observation of tasks

Tasks constraints ensure that each task will be observed at most once [10, 12, 20, 21, 22, 23, 24, 25, 26, 28, and 29]. This constraint can be represented by Eqns. (24), (25), (26) and (27).

$$\sum_{\substack{j \in T \ \cup \{n+1\} \\ i \neq j}} \sum_{k \in O} x_{ij}^{k} \le 1$$
 (24)

$$\sum_{k \in O} x_{ik} \le 1 \tag{25}$$

$$\sum_{i=1}^{N_c} f(i, j).P_j = 1$$
 (26)

where:

T Set of tasks, $\forall T = \{1,...,n\}, \forall i \in T$

 $i, j \dots$ Task index, $i, j \in T \cup \{0, n+1\}$ is the immediate predecessor of task (j)

O Set of orbits, $\forall O = \{1,, m\}$

k.... Orbit index, $\forall k \in O$

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 x_{ij}^{k} ... Decision variable, $x_{ij}^{k} = 1$ If both tasks (*i*), (*j*) are scheduled on orbit (*k*) and task (*i*) is the immediate predecessor of task (*j*); Otherwise $x_{ii}^{k} = 0$

 x_{ik} A Decision variable, x_{ik} =1 If both tasks (i) is allocated to orbit (k); Otherwise x_{ik} =0

f(i, j)... Boolean value, which is used to mark whether task (i) is contained in combined task Obs_i

 P_i ... Priority of observed task

 N_c ... Number of combined tasks

$$\sum_{i=1}^{|S|} \sum_{k=1}^{|W_{i}^{j}|} x_{ik}^{j} \leq 1 \tag{27}$$

where:

S Set of satellites, $\forall j \in S$

 W_i^j The set of time windows observed by satellite (j) for task (i), $\forall k \in W_i^j$

 x_{ik}^{j} The decision/Boolean variable representing if the task (i) can be observed by satellite (j) in the k^{th} time window or not

Tasks' constraints determine the starting time of a specific sequence on a specific satellite, which is equal to or larger than the begin time for the available window of the task processed in the current sequence or the completion time of the previous sequence [3]. This constraint can be represented by Eqns. (28) and (29).

$$\sum_{j=1}^{n} \sum_{s=1}^{w} B(w_{jsm}).x_{jbsm} \le S_{bm}$$
 (28)

$$C_{(b-1)m} \le S_{bm} \tag{29}$$

where:

j Task index, $j = \{1, 2, ..., n\}$

b Sequence index, $b = \{1, 2, ..., n\}$

s Window index, $s = \{1, 2, ..., w\}$

m Satellite index, $m = \{1, 2, ..., k\}$

 S_{hm} The starting time of the b^{th} sequence scheduled on satellite (m)

 $C_{(b-1)m}$The completion time of the $(b-1)^{th}$ sequence scheduled on satellite (m)

 w_{ism} The s^{th} time window of task (j) on satellite (m)

 $B(w_{ism})$ The begin time of time window w_{ism}

 x_{jbsm} A decision/Boolean variable representing if task (j) is assigned at the s^{th} window index with the b^{th} sequence scheduled on satellite (m), or not.

Tasks' constraints determine the completion time of a specific sequence on a specific satellite, which is equal to the sum of the starting time and the working time of the

current sequence and no larger than the available window end time of the task processed in the current sequence. This constraint can be represented by Eqns. (30), (31) and (32).

$$S_{bm} + P_{bm} = C_{bm} \tag{30}$$

$$\sum_{j=1}^{n} \sum_{s=1}^{w} E(w_{jsm}).x_{jbsm} \ge C_{bm}$$
(31)

$$t_{ei} - t_{si} \ge d_i \tag{32}$$

where:

j.... Task index, $j = \{1, 2, ..., n\}$

b Sequence index, $b = \{1, 2, ..., n\}$

s Window index, $s = \{1, 2, ..., w\}$

m Satellite index, $m = \{1, 2, ..., k\}$

 S_{bm} The starting time of the b^{th} sequence scheduled on satellite (m)

 $P_{\it bm}$ The working time of the $\it b^{\it th}$ sequence scheduled on satellite ($\it m$)

 C_{bm} The completion time of the b^{th} sequence scheduled on satellite (m)

 w_{ism} The s^{th} time window of task (j) on satellite (m)

 $E(w_{ism})$ The end time of time window

 x_{jbsm} A decision/Boolean variable representing if task (j) is assigned at the s^{th} window index with the b^{th} sequence scheduled on satellite (m), or not.

 $t_{\it ei}$ The ending time for observing task (i)

 t_{si} The starting time for observing task (i)

 d_i Continuous observation time

Tasks' constraints ensure that each available time window is longer than the shortest running time of its observing satellite and shorter than the longest running time of the satellite; this constraint can be represented by Eqn. (33).

$$x_{ik}^{j} t_{\min j} \le x_{ik}^{j} (e t_{ik}^{j} - s t_{ik}^{j}) \le x_{ik}^{j} t_{\max j}$$
(33)

where:

T.... Set of tasks, $\forall T = \{1,...,n\}, \forall i \in T$

S The set of satellites, $\forall i \in S$

 W_i^j The set of time windows observed by satellite (j) for task (i), $\forall k \in W_i^j$

 x_{ik}^{j} The decision/Boolean variable representing if the task (i) can be observed by satellite (j) in the k^{th} time window or not

 $t_{\min j}$ The shortest running time for satellite (j)

 $t_{\max j}$ The longest running time for satellite (j)

 st_{ik}^{j} The start time the k^{th} time window observed by satellite (j) for task (i)

 et_{ik}^{j} The end time the k^{th} time window observed by satellite (j) for task (i)

Scheduling

Tasks' constraints ensure that each task can only be scheduled to the orbits that are available for it [21]. This constraint can be represented by Eqns. (34) and (35).

$$\sum_{\substack{j \in T \ i \neq j}} x_{ij}^{k} \leq b_{ik}$$
 (34)

$$x_{ik} \leq b_{ik} \tag{35}$$

where:

T.... Set of tasks, $\forall T = \{1,...,n\}, \forall i \in T$

 $i, j \dots$ Task index, $i, j \in T \cup \{0, n+1\}$ is the immediate predecessor of task (j)

O Set of orbits, $\forall O = \{1,, m\}$

k Orbit index, $\forall k \in O$

 x_{ij}^k ... Decision variable, $x_{ij}^k = 1$ If both tasks (i), (j) are scheduled on orbit (k) and task (i) is the immediate predecessor of task (j); Otherwise $x_{ij}^k = 0$

 x_{ik} A Decision variable, $x_{ik} = 1$ If both tasks (i) is allocated to orbit (k); Otherwise $x_{ik} = 0$

 b_{ik} ... The binary variable representing if task (i) can be observed by on orbit (k) or not

Tasks' constraints ensure that each task can be processed in no more than one window with one sequence on one satellite [3]. This constraint can be represented by Eqn. (36).

$$\sum_{m=1}^{k} \sum_{s=1}^{w} \sum_{b=1}^{n} x_{jbsm} \le 1$$
 (36)

where:

j Task index, $j = \{1, 2, ..., n\}$

b Sequence index, $b = \{1, 2, ..., n\}$

s Window index, $s = \{1, 2, ..., w\}$

m Satellite index, $m = \{1, 2, \dots, k\}$

 x_{jbsm} A decision/Boolean variable representing if task (j) is assigned at the s^{th} window index with the b^{th} sequence scheduled on satellite (m), or not.

It ensures that each sequence on one satellite can be assigned no more than one task in one window [3, 23]. This constraint can be represented by Eqn. (37).

$$\sum_{j=1}^{n} \sum_{s=1}^{w} x_{jbsm} \le 1 \tag{37}$$

where:

j Task index, $j = \{1, 2, ..., n\}$

b Sequence index, $b = \{1, 2, ..., n\}$

s Window index, $s = \{1, 2, ..., w\}$

m Satellite index, $m = \{1, 2,, k\}$

 x_{jbsm} A decision/Boolean variable representing if task (j) is assigned at the s^{th} window index with the b^{th} sequence scheduled on satellite (m), or not.

Consecutive observations

It ensures that there is a sufficient setup time for transformation between consecutive tasks [4, 12, 21, 26, 28, and 29]. This constraint can be represented by Eqn. (38).

$$x_{ii}^{k} \left(\omega s_{ik} - \omega e_{ik} - s t_{ii}^{k}\right) \ge 0 \tag{38}$$

where:

T.... Set of tasks, $\forall T = \{1,....,n\}, \forall i \in T$

 $i, j \dots$ Task index, $i, j \in T \cup \{0, n+1\}$ is the immediate predecessor of task(j)

O Set of orbits, $\forall O = \{1,, m\}$

k Orbit index, $\forall k \in O$

 st_{ij}^{k} The setup time between task (i) and task (j) on orbit (k)

 x_{ij}^{k} ... Decision variable, $x_{ij}^{k} = 1$ If both tasks (i), (j) are scheduled on orbit (k) and task (i) is the immediate predecessor of task (j); Otherwise $x_{ij}^{k} = 0$

It ensures that any two observation time windows satisfy the switch time requirement [20]. This constraint can be represented by Eqn. (39).

$$[st_{ik}^{j} - et_{i'k'}^{j} - (pre_{j} + shutd_{j} + Tran(ang_{ik}^{j}, ang_{i'k'}^{j}))] \ge 0$$
(39)

where:

 $st_{ik}^{\ j}$ The start time the $k^{\ th}$ time window observed by satellite (j) for task (i)

 $et_{ik'}^{j}$The end time the k'^{th} time window observed by satellite (j) for task (i')

 $\mathit{pre}_{i}....$ The set up time of satellite (j) for starting

 $\mathit{shutd}_{\,j} \ldots$ The stable time of satellite (j) shutdown

 $Tran(ang_{ik}^{j}, ang_{ik}^{j})$ The switching time that the same satellite (j) adjusts its swing angle from ang_{ik}^{j} to ang_{ik}^{j} for completing task (i) after having completed task (i) when task (i) and task (i) are adjacent

 x_{ik}^{j} The decision/Boolean variable representing if the task (i) can be observed by satellite (j) in the k^{th} time window or not

 $x_{i'k'}^{j}$ The decision/Boolean variable representing if the task (i') can be observed by satellite (j) in the k'^{th} time window or not

Ground station

Range of ground station

Downloading is only allowed if the satellite in the range of the ground station [7]; this constraint can be represented by Eqn. (40).

$$x_{sig} \leq \gamma_{sig} \tag{40}$$

where:

I Set of intervals, $\forall i \in I$

S Set of satellites, $\forall s \in S$

G Set of ground stations, $\forall g \in G$

 x_{sig} The continuous variable representing the percentage of interval (i) during which satellite (s) downloads to ground station (g), $x_{sig} \in [0,1]$

 γ_{sig} The binary variable representing if the satellite (s) is in view of ground station (g) during interval (i)

Time interval for ground station

Each ground station cannot receive/transmit data for more than 100% of each time interval [7, 26]. This constraint can be represented by Eqns. (41) and (42).

$$\sum_{s \in S} x_{sig} \le 1 \tag{41}$$

$$\sum_{i \in G} x_{sig} \le 1 \tag{42}$$

where:

 $I \dots$ Set of intervals, $\forall i \in I$

S Set of satellites, $\forall s \in S$

G Set of ground stations, $\forall g \in G$

 x_{sig} The continuous variable representing the percentage of interval (i) during which satellite (s) downloads to ground station (g), $x_{sig} \in [0,1]$

Capacity of ground station

Each ground station can process without preemption at most one service at a specific time [23]. This constraint can be represented by Eqn. (43).

$$\sum_{j=1}^{J} \sum_{\tau \in \Theta} x_{jm}^{\tau} \le 1 \tag{43}$$

where:

 τ Set of services, $\forall j \in \tau$, $\tau = \{1,....J\}$

 x_{jm}^{τ} Binary variable, $x_{jm}^{\tau} = 1$ If the ground station (m) starts processing service (j) at time (t)

OPTIMIZATION METHODS

For solving planning and scheduling problem for earth observation satellites, many optimization methods have been used in many papers.

Methods of Optimization

There are many methods of optimization such as:

- Dynamic Programming (DP)
- Simulated Annealing (SA)
- Look Ahead Algorithm (L.A. Alg.)
- Constraint Programming Approach (CPA)
- Local Search Method (LS Method)
- Branch and price algorithm (BP Alg.)
- Branch and cut algorithm (BC Alg.)
- Ant colony optimization (ACO)
- Genetic algorithm (GA)
- Heuristic algorithm (H. Alg.)
- Priority-Based heuristic algorithm (PB H. Alg.)
- Metaheuristic-Based Approach
- Linear Programming (LP)
- Greedy algorithm (Gr. Alg.)
- Evolutionary algorithm (Ev. Alg.)
- Dynamic scheduling (DS)

The Relation between Objective Functions, Constraints and Methods of Optimization

After surveying many papers [References 7-31], Table 1 could be a good method and easy way to explain the combined satellite optimization problem objective functions, constraints and optimization's methods.

From Table 1, the following points are deduced:

- A gap exists between objectives which are relative to data and the targets' constraints so it is considered to be logic because there is no need to include targets' constraints with data's objectives.
- The most widely used optimization methods are dynamic programming and heuristic algorithms.
- Dynamic programming has a widely used because of many reasons; it is one of the elegant algorithm design standards, it is a powerful tool that yields classic algorithms for a variety of combinatorial optimization problems, it can be considered as a useful first approximation scheme to human decision making and its simplicity appear because of a full problem solving method and a subroutine solver in more complicated algorithmic solutions.

- Heuristic algorithms have a widely used because of they are usually find a solution close to the best one and they find it fast and easily. Sometimes these algorithms can be accurate, that is they actually find the best solution, but the algorithm is still called heuristic until this best solution is proven to be the best.
- The most widely used objectives are those relative to profit and it seems to be logic as profit is considered an important objective for everyone and every organization.
- The constraints which involve data rate, range of ground stations and capacity of ground stations have a few discussions. The reason for few discussions may be that researchers gave an attention for data buffer limits/storage capacity more than data rate and for intervals time of ground stations more than those capacity and range.
- Iterative algorithm has been used as an optimization method just between data's objectives and constraints of energy and data. Where, it limits the constraints to converge to a feasible and thus optimal solution and also introduces new variables and constraints and solves a newly larger defined problem at each iteration so it can conceptualize as capturing computation in a set of state variables which update on each iteration and it depends mainly on looping statements.
- Sample approximation and simulated annealing have been used as optimization methods between profit's objectives and constraints of energy, data and tasks/targets. Where, Sample approximation transforms the chance constraint programming (CCP) model into an integer linear programming (ILP) model and simulated annealing (SA) has better global search ability. Some people improved SA and proposed a very fast simulated annealing (VFSA) greatly increased the computation speed. It has been used with an ant colony optimization (ACO) to make an adaptive ACO which is better than ACO only. It is important to mention that simulated annealing is used with one of other optimization algorithms together as hybrids algorithms for global optimization as simulated annealing is used to find a rough estimate of the solution and then the other optimization algorithm is used to refine the solution.
- Evolutionary algorithm, constraint programming approach (CPA) and local search method (LS Method) have been used as optimization methods just between profit's objectives and target's constraints. Where,Strength Pareto evolutionary algorithm (SPEA) has shown better performance in comparison with other multiobjective evolutionary algorithms. CPA & LSMethod were able to take all real specific operational constraints in consideration. CPA is very flexible while LS gives better performance and easy to be understood.
- M. Lemaître et al. have discussed CPA and LS method for AEOS Track Selection and Scheduling problem. CPA used some heuristics to add constraints to the model; eliminate images having very small weights, limiting backtracks, that are a transition between two images going in the opposite movement of the satellite, even the agility of the satellite permit them. While using LS method, a current feasible solution sequence of images is maintained and a neighbouring solution is obtained by inserting or removing an image in or from the current sequence.

- Priority based, priority based conflict avoided are types of heuristic algorithms and all of them have been used as optimization methods. Where, priority based and priority based conflict avoided produce satisfactory feasible plans in a very short time.
- The Look Ahead algorithm and genetic algorithm have been used as optimization methods. Where, The Look Ahead algorithm runs a little slower but is still relatively simple, creates excellent schedules and provides significant improvements in quality. The genetic algorithm is a lot slower but creates nearoptimal schedules and provides significant improvements on some cases.

CONCLUSION

This paper discussed the planning and scheduling problem of earth observation satellites showing the objective functions, constraints and methods of optimization which have been used in many papers.

Space system has been discussed briefly including definitions and some applications.

Objective functions and constraints have been categorized related to many factors. Objective functions, constraints and methods of optimization have been collected together in a table showing the relation between them.

It was found that the profit has been discussed too much as an important objective, dynamic programming and heuristic algorithms have been used widely which are considered optimization methods and some constraints such as data rate, range of ground stations and capacity of ground stations have few discussions. So, it will be easy now to get information about this relation.

For future research, the objective function of quality needs more researches and discussions using the suitable optimization technique.

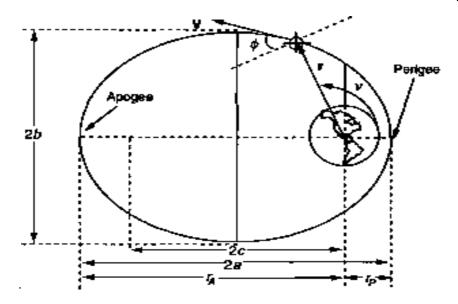


Fig.1. Parameters of elliptical orbit [16].

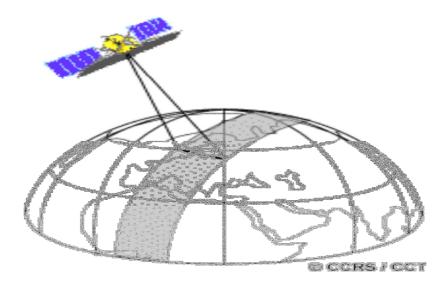


Fig.2. Schematic representation of the satellite swath [18].

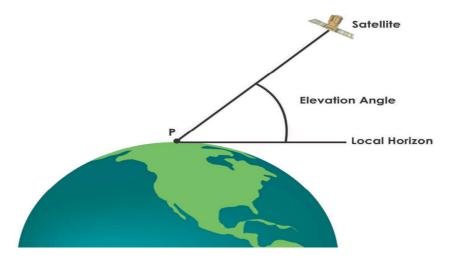


Fig.3. The elevation angle of a satellite at a given time for an observer at the point P on the Earth is the angle between the local horizon at P and a line from the observer to the satellite [19].

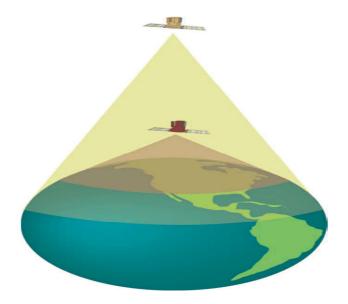


Fig.4.Two satellites at different altitude [19].

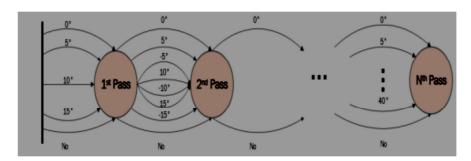


Fig.5. Problem representation using a directed graph [11].

Table 1. The relation between objective functions, constraints and optimization

				Table	5 I . II	ie relation b	etween obje			traints and opt	ıııızaıı			
			Energy	Data		Tasks/Targets						Ground station		
			Battery buffer limits	Data buffer limits & Storage Capacity	Data rate	Observation of tasks		Scheduling		Consecutive observation				
						Tasks' observation Constraints	Time window constraints	Orbit's constraints	Sequence constraints	Setup/Switch time constraints	Range of GS	Intervals' time for GS	Capacity of GS	
Data	Single	Obj.(1)	-Itr. Alg. -Gr. Alg. L.P							Gr. Alg.				
	Multi	Obj.(2)	DP (aided MILP)								DP			
		Obj.(3)										(aided MILP)		
	Single	Obj.(4)	-SA -BC Alg. -DP (Lag. Relx.)			-Ev. -H. (PB conflic -LS M -Cl -BP	Alg. et avoided) fethod PA	-SA	-H. Alg.	-SA -B.C. Alg. -Ev. Alg.		-DP. -BP Alg.		
			conflic	Η ΔΙσ		-SA -H. Alg.(Lag.		-BC Alg.	(Lag. fix & Relax)	-LS Method -CPA -DP -BP Alg.		-H. Alg.		
				(PB conflict avoided)		fix & Relax) -BC AlgDP (Lag.Relx.)	DP					PB conflict avoided	Lag. fix& Relax.	
Profit		Obj.(5)	-Metaheuristic (PB Constructive Alg.) -DP -ACO			-DP -DS			Metaheuristic (PB	-DP -ACO				
				L.A. Alg.		-Metaheuristic (PB Constructive Alg.) -ACO	L.A. Alg.	ACO	Constructive Alg.)	-ACO -DS				
	Multi	Obj.(6)		-H.Alg. (PB) -DS (RH- strategy)		DS (RH-strategy)				-H. Alg. (PB) (IDI & ISDR)	H. Alg. (PB)			
						H. Alg.								
						-(PB) -(IDI & ISDR)	(IDI & ISDR)	(PB)		-DS (RH-strategy)		` ,		
Quality	Single	Obj.(7)		-ACO -LP		LP				LP				
ηÒ	Multi	Obj.(8)								GA (K-shortest Path)				

where: MILP is the mixed Integer Linear Programming, Lag. Relax is Lagrangian Relaxation and PB is the Priority Based

Table 2. The meaning of objectives' numbers

Objectives' Number	Objectives Functions
1	Maximize the total amount of downloaded data
2	Collecting the largest amount of prioritized imagery and continually lowering the amount of un-downloaded data onboard the satellite
3	Not miss out on imagery and continually lowering the amount of un-downloaded data onboard the satellite
4	Maximize the profits of the scheduled tasks
5	Maximize the total priority of selected tasks
6	Completing dynamic tasks as many as possible and maximize the observation income
7	Maximize quality values
8	Maximize weight value

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