



A RIGIDITY THEOREM FOR SURFACES IN RIEMANNIAN 3-SPACES.

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ABSTRACT

Let $M : D \rightarrow V^3$ and $\bar{M} : D \rightarrow \bar{V}^3$ ($D \subset \mathbb{R}^2$) be two isometric surfaces in the Riemannian spaces V^3 and \bar{V}^3 with curvatures R, \bar{R} respectively.

We shall prove that the second fundamental forms of the two surfaces are the same provided that:

1- The Gaussian curvature K of M is positive.

2- M and \bar{M} have the same second fundamental form on ∂D .

3- For each $d \in D$, $L_d : T_{M(d)}(V^3) \rightarrow T_{\bar{M}(d)}(\bar{V}^3)$ is the isometry determined by

its restriction L_d to $T_{M(d)}(M)$ which satisfies $L_d \circ dM = d\bar{M}$, and $L_d \left[R(x, y)z \right] = \bar{R}(L_d x, L_d y)L_d z$ for all tangent vectors $x, y, z \in T_{M(d)}(M)$.

Also it is shown that the two isometric surfaces M and \bar{M} satisfying the above conditions have the same Gaussian and mean curvatures at corresponding points.



INTRODUCTION

It is known that the first fundamental forms I of two isometric surfaces are the same. This is not the case for the second fundamental forms II. However A Švec [3] studied the conditions for two infinitesimal surfaces to have the same second fundamental form. He proved that two infinitesimal isometric surfaces in E^3 have the same second fundamental form, that is the variation in the second fundamental form $\delta II = 0$ on M , provided that the Gaussian curvature $K > 0$ on the surface M , and there is a function $\lambda: M \rightarrow \mathbb{R}$ such that the variation of the second fundamental form $\delta II = \lambda I$ on ∂M .

Our aim in this paper is to generalize Švec's theorem from the case of infinitesimal isometric surfaces in E^3 to the case of the two general isometric surfaces in Riemannian 3-spaces.

THE RIGIDITY THEOREM

Theorem: Let V^3, \bar{V}^3 be two Riemannian 3-spaces with curvatures R, \bar{R} respectively. Let $D \subset \mathbb{R}^2$ be a bounded domain, and let $M: D \rightarrow V^3, \bar{M}: D \rightarrow \bar{V}^3$ be two surfaces, such that:

- i) M and \bar{M} are isometric.
- ii) the Gaussian curvature of M is K and $K > 0$
- iii) For each $d \in D$, let $L_d: T_{M(d)}(V^3) \rightarrow T_{\bar{M}(d)}(\bar{V}^3)$ be the isometry determined by the condition that its restriction L_d to $T(M)$ satisfies $L_d \circ dM = d\bar{M}$, and $L_d \{ R(x,y)z \} = \bar{R}(L_d x, L_d y)L_d z$ for each $d \in D$ and all $x, y, z \in T(M)$.
- iv) II and \bar{II} are the second fundamental forms of M and \bar{M} respectively, and $II = \bar{II}$ on the boundary ∂D . Then $II = \bar{II}$ on D .

Proof: In the Riemannian space V^3 , let $M: D \rightarrow V^3$ be a surface. For each point $m \in M$ associate an orthonormal frame $\{m, v_i\}$, $i=1,2,3$. Hence there are differential forms ω^i, ω_i^j on D such that

$$dm = \sum_{i=1}^3 \omega^i v_i, \quad dv_i = \sum_{j=1}^3 \omega_i^j v_j, \quad \omega_i^j + \omega_j^i = 0 \quad (i, j=1,2,3), \quad (1)$$

with the structure equations



$$d\omega^i = \sum_{j=1}^3 \omega^j \wedge \omega_j^i, \quad d\omega_i^j = \sum_{k=1}^3 \omega_i^k \wedge \omega_k^j - \frac{1}{2} \sum_{k,L=1}^3 R_{ikL}^j \omega^k \wedge \omega^L, \quad R_{ikL}^j + R_{iLk}^j = 0 \quad (2)$$

(i, j, k, L=1, 2, 3).

Since dm lies in the tangent plane $T_m(M)$, hence from (1) we have

$$\omega^3 = 0. \quad (3)$$

The exterior differential of (3) gives

$$\omega^1 \wedge \omega_1^3 + \omega^2 \wedge \omega_2^3 = 0, \quad (4)$$

and hence there exist functions $a, b, c: D \rightarrow \mathbb{R}$ such that

$$\omega_1^3 = a\omega^1 + b\omega^2, \quad \omega_2^3 = b\omega^1 + c\omega^2. \quad (5)$$

The first and second fundamental forms of M are given respectively by

$$I = (\omega^1)^2 + (\omega^2)^2, \quad II = \omega^1 \omega_1^3 + \omega^2 \omega_2^3 = a(\omega^1)^2 + 2b\omega^1 \omega^2 + c(\omega^2)^2. \quad (6)$$

The Gaussian and mean curvatures of M are given respectively by

$$K = ac - b^2, \quad 2H = a + c. \quad (7)$$

Let \bar{V}^3 be another Riemannian space, $\bar{M}: D \rightarrow \bar{V}^3$ be another surface. For each point $\bar{m} \in \bar{M}$ associate an orthonormal frame $\{\bar{m}, \bar{v}_i\}$, $i=1, 2, 3$. Hence there are differential forms $\bar{\omega}^i, \bar{\omega}_i^j$ on D such that

$$\left. \begin{aligned} d\bar{m} &= \sum_{i=1}^3 \bar{\omega}^i \bar{v}_i, \quad d\bar{v}_i = \sum_{j=1}^3 \bar{\omega}_i^j \bar{v}_j, \quad (i, j=1, 2, 3) \\ d\bar{\omega}^i &= \sum_{j=1}^3 \bar{\omega}^j \wedge \bar{\omega}_j^i; \quad d\bar{\omega}_i^j = \sum_{k=1}^3 \bar{\omega}_i^k \wedge \bar{\omega}_k^j - \frac{1}{2} \sum_{k,L=1}^3 \bar{R}_{ikL}^j \bar{\omega}^k \wedge \bar{\omega}^L, \end{aligned} \right\} \quad (8)$$

$$\bar{R}_{ikL}^j + \bar{R}_{iLk}^j = 0, \quad (k, L = 1, 2, 3).$$

Since \bar{M} is isometric to M , then we can choose the frame $\{\bar{m}, \bar{v}_i\}$ in such a way that

$$\bar{\omega}^1 = \omega^1, \quad \bar{\omega}^2 = \omega^2. \quad (9)$$

Let us write

$$\bar{\omega}_i^j = \omega_i^j + \gamma_i^j \quad (10)$$

From (9)



$$\omega^2 \wedge \tau_1^2 = \omega^1 \wedge \tau_1^2 = 0, \quad (11)$$

hence

$$\tau_1^2 = 0 \quad \text{and} \quad \bar{\omega}_1^2 = \omega_1^2 \quad (12)$$

Further we get from (2), (3), (8), (9) and (12)

$$\left. \begin{aligned} \omega^1 \wedge \tau_1^3 + \omega^2 \wedge \tau_2^3 &= 0, \\ \omega_1^3 \wedge \tau_2^3 + \tau_1^3 \wedge \omega_2^3 + \tau_1^3 \wedge \tau_2^3 &= (R_{112}^2 - \bar{R}_{112}^2) \omega^1 \wedge \omega^2, \\ d\tau_1^3 &= \omega_1^2 \wedge \tau_2^3 + (R_{112}^3 - \bar{R}_{112}^3) \omega^1 \wedge \omega^2, \\ d\tau_2^3 &= -\omega_1^2 \wedge \tau_1^3 + (R_{212}^2 - \bar{R}_{212}^3) \omega^1 \wedge \omega^2. \end{aligned} \right\} (13)$$

Equation (13₁) implies that there exist functions $R_1, R_2, R_3 : D \rightarrow \mathbb{R}$ such that:

$$\tau_1^3 = R_1 \omega^1 + R_2 \omega^2, \quad \tau_2^3 = R_2 \omega^1 + R_3 \omega^2. \quad (14)$$

From (14) the second fundamental form of \bar{M} is then

$$\bar{II} = II + R_1 (\omega^1)^2 + 2R_2 \omega^1 \omega^2 + R_3 (\omega^2)^2. \quad (15)$$

The exterior differentiation of (12₂) gives

$$\omega_1^3 \wedge \omega_2^3 + R_{112}^2 \omega^1 \wedge \omega^2 = \bar{\omega}_1^3 \wedge \bar{\omega}_2^3 + \bar{R}_{112}^2 \omega^1 \wedge \omega^2,$$

From (5)

$$(ac - b^2) + R_{112}^2 = (\bar{a}\bar{c} - \bar{b}^2) + \bar{R}_{112}^2,$$

from (7) it follows that

$$K + R_{112}^2 = \bar{K} + \bar{R}_{112}^2. \quad (16)$$

From (5), (14), (13₂) and (16) we get

$$aR_3 - 2bR_2 + cR_1 + R_1 R_3 - R_2^2 = \bar{K} - K. \quad (17)$$

From (13_{3,4}) and (14)

$$\left. (dR_1 - 2R_2 \omega_1^2) \wedge \omega^1 + \left\{ dR_2 + (R_1 - R_3) \omega_1^2 \right\} \wedge \omega^2 = (R_{112}^3 - \bar{R}_{112}^3) \omega^1 \wedge \omega^2, \right\} (18)$$



6

$$\left\{ \begin{aligned} \{dR_2 + (R_1 - R_3)\omega_1^2\} \wedge \omega^1 + (dR_3 + 2R_2\omega_1^2) \wedge \omega^2 &= (R_{212}^3 - \bar{R}_{212}^3) \omega^1 \wedge \omega^2, \end{aligned} \right\} \quad (18)$$

and hence there exist functions $S_1, \dots, S_4 : D \rightarrow \mathbb{R}$ each that

$$\left. \begin{aligned} dR_1 - 2R_2\omega_1^2 &= S_1\omega^1 + (S_2 + R_{112}^3)\omega^2, \\ dR_2 + (R_1 - R_3)\omega_1^2 &= (S_2 + R_{112}^3)\omega^1 + (S_3 + R_{212}^3)\omega^2, \\ \vdots \\ dR_3 + 2R_2\omega_1^2 &= (S_3 + R_{212}^3)\omega^1 + S_4\omega^2. \end{aligned} \right\} \quad (19)$$

From (2) and (5)

$$\left. \begin{aligned} (da - 2b\omega_1^2) \wedge \omega^1 + \{db + (a-c)\omega_1^2\} \wedge \omega^2 &= -R_{112}^3 \omega^1 \wedge \omega^2, \\ \{db + (a-c)\omega_1^2\} \wedge \omega^1 + (dc + 2b\omega_1^2) \wedge \omega^2 &= -R_{212}^3 \omega^1 \wedge \omega^2, \end{aligned} \right\} \quad (20)$$

and we may write

$$\left. \begin{aligned} da - 2b\omega_1^2 &= \alpha\omega^1 + (\beta + \frac{1}{2}R_{112}^3)\omega^2, \\ \vdots \\ db + (a-c)\omega_1^2 &= (\beta - \frac{1}{2}R_{112}^3)\omega^1 + (\gamma + \frac{1}{2}R_{212}^3)\omega^2, \\ dc + 2b\omega_1^2 &= (\gamma - \frac{1}{2}R_{212}^3)\omega^1 + \delta\omega^2. \end{aligned} \right\} \quad (21)$$

On differentiating (17) and substituting from (19) and (21) the coefficient of ω_1^2 vanishes. Hence the coefficient of each of ω^1 and ω^2 will be equal to zero, which gives

$$\left. \begin{aligned} (c + R_3)S_1 - 2(b + R_2)S_2 + (a + R_1)S_3 &= -(\gamma + \frac{1}{2}R_{212}^3)R_1 \\ \vdots \\ + 2(\beta + \frac{1}{2}R_{112}^3)R_2 - \alpha R_3 - aR_{212}^3 + 2bR_{112}^3 + (\bar{K} - K)_1, \\ (c + R_3)S_2 - 2(b + R_2)S_3 + (a + R_1)S_4 &= -\alpha R_1 + 2(\gamma + \frac{1}{2}R_{212}^3 + \bar{R}_{212}^3)R_2 \\ \vdots \\ -(\beta + \frac{1}{2}R_{112}^3 + \bar{R}_{112}^3)R_3 + 2b\bar{R}_{212}^3 - c\bar{R}_{112}^3 + (\bar{K} - K)_2. \end{aligned} \right\} \quad (22)$$

In D , let us choose coordinates (u, v) such that

$$\omega^1 = rdu, \quad \omega^2 = s dv, \quad r = r(u, v) \neq 0, \quad s = s(u, v) \neq 0 \quad (23)$$



which implies that

$$\omega_1^2 = -\delta^{-1} \frac{\partial r}{\partial v} du + r^{-1} \frac{\partial \Delta}{\partial u} dv. \quad (24)$$

From (19) , (23) and (24) we get

$$\left. \begin{aligned} & \frac{\partial(R_1-R_3)}{\partial u} du + \frac{\partial(R_1-R_3)}{\partial v} dv - 4R_2(-\delta^{-1} \frac{\partial r}{\partial v} du + r^{-1} \frac{\partial \Delta}{\partial u} dv) = \\ & (S_1 - S_3 - R_{212}^3)r du + (S_2 - S_4 + R_{112}^3)\delta dv, \\ & \frac{\partial R_2}{\partial u} du + \frac{\partial R_2}{\partial v} dv + (R_1 - R_3)(-\delta^{-1} \frac{\partial r}{\partial v} du + r^{-1} \frac{\partial \Delta}{\partial u} dv) = \\ & (S_2 + R_{112}^3)r du + (S_3 + R_{212}^3)\delta dv. \end{aligned} \right\} (25)$$

From (25) it follows that

$$\left. \begin{aligned} r \Delta S_1 &= \delta \frac{\partial(R_1-R_3)}{\partial u} + r \frac{\partial R_2}{\partial v} + \frac{\partial \Delta}{\partial u} (R_1 - R_3) + 4 \frac{\partial r}{\partial v} R_2 + r \Delta (R_{212}^3 - R_{212}^3), \\ r \Delta S_2 &= \delta \frac{\partial R_2}{\partial u} - \frac{\partial r}{\partial v} (R_1 - R_3) - r \Delta R_{112}^2, \\ r \Delta S_3 &= r \frac{\partial R_2}{\partial u} + \frac{\partial \Delta}{\partial u} (R_1 - R_3) - r \Delta R_{212}^3, \\ r \Delta S_4 &= -r \frac{\partial(R_1-R_3)}{\partial u} + \delta \frac{\partial R_2}{\partial u} - \frac{\partial r}{\partial v} (R_1 - R_3) + 4 \frac{\partial \Delta}{\partial u} R_2 - r \Delta (R_{112}^3 - R_{112}^3). \end{aligned} \right\} (26)$$

Now, let us turn our attention to condition (iii) of our theorem, for x, y, z

$\in T(M)$, let
 $M(d)$

$$x = x^1 v_1 + x^2 v_2, \quad y = y^1 v_1 + y^2 v_2, \quad z = z^1 v_1 + z^2 v_2, \quad (x^3 = y^3 = z^3 = 0). \quad (27)$$

We have

$$\begin{aligned} R(x, y) z &= \sum_{l=1}^3 R_{ijk}^l x^j y^k z^i v_l, \quad (i, j, k=1, 2.) \\ &= R_{112}^2 x^1 y^2 z^1 v_2 + R_{112}^3 x^1 y^2 z^1 v_3 - R_{112}^2 x^2 y^1 z^1 v_2 - R_{112}^3 x^2 y^1 z^1 v_3 \\ &\quad - R_{112}^2 x^1 y^2 z^2 v_1 + R_{212}^3 x^1 y^2 z^2 v_3 + R_{112}^2 x^2 y^1 z^2 v_1 - R_{212}^3 x^2 y^1 z^2 v_3 \end{aligned}$$



$$= (x^2 y^1 - x^1 y^2) \left\{ R_{112}^2 (z^2 v_1 - z^1 v_2) - (R_{112}^3 z^1 + R_{212}^3 z^2) v_3 \right\} \quad (28)$$

Since $L_d v_i = \bar{v}_i$, then .

$$L_d \left\{ R(x,y)z \right\} = (x^2 y^1 - x^1 y^2) \left\{ R_{112}^2 (z^2 \bar{v}_1 - z^1 \bar{v}_2) - (R_{112}^3 z^1 + R_{212}^3 z^2) \bar{v}_3 \right\}, \quad (29)$$

$$\bar{R}(L_d x, L_d y) L_d z = (x^2 y^1 - x^1 y^2) \left\{ \bar{R}_{112}^2 (z^2 \bar{v}_1 - z^1 \bar{v}_2) - (\bar{R}_{112}^3 z^1 + \bar{R}_{212}^3 z^2) \bar{v}_3 \right\}.$$

Since from the condition $L_d \left\{ R(x,y)z \right\} = \bar{R}(L_d x, L_d y) L_d z$ for each $d \in D$ and all $x, y, z \in T(M)$, it follows from (29) that we have on $M(d)$

$$R_{112}^2 = \bar{R}_{112}^2, \quad R_{112}^3 = \bar{R}_{112}^3, \quad R_{212}^3 = \bar{R}_{212}^3. \quad (30)$$

Hence from (16) we get

$$K = \bar{K} \quad (K > 0). \quad (31)$$

Using (31), equation (17) can be written in the forms

$$\left. \begin{aligned} (2a+2c+R_1+R_3)R_1 - 2(2b+R_2)R_2 - (2a+R_1)(R_1-R_3) &= 0, \\ \text{or} \\ (2c+R_3)(R_1-R_3) - 2(2b+R_2)R_2 + (2a+2c+R_1+R_3)R_3 &= 0. \end{aligned} \right\} \quad (32)$$

From (10) and (14) we get,

$$\bar{\omega}_1^3 \wedge \bar{\omega}^2 + \bar{\omega}^1 \wedge \bar{\omega}_2^3 = 2H \omega^1 \wedge \omega^2 + (R_1+R_3) \omega^1 \wedge \omega^2 = 2\bar{H} \omega^1 \wedge \omega^2, \quad (33)$$

Hence

$$2(H+\bar{H}) = 2a + 2c + R_1 + R_3. \quad (34)$$

Since $\bar{H}^2 > \bar{K} = K > 0$, $H^2 > K$, imply $2(H+\bar{H}) > 0$,

then from (32) and (34),

$$\left. \begin{aligned} R_1 &= (H+\bar{H})^{-1} \left\{ (2b+R_2)R_2 + \frac{1}{2} (2a+R_1)(R_1-R_3) \right\}, \\ R_3 &= (H+\bar{H})^{-1} \left\{ (2b+R_2)R_2 - \frac{1}{2} (2c+R_3)(R_1-R_3) \right\}. \end{aligned} \right\} \quad (35)$$

From (26), (35) and (22) we get



$$\begin{aligned}
 \Delta(c+R_3) \frac{\partial(R_1-R_3)}{\partial u} - 2\Delta(b+R_2) \frac{\partial R_2}{\partial u} + r(a+c+R_1+R_3) \frac{\partial R_2}{\partial v} &= \\
 f_1(R_1-R_3) + f_2 R_2, & \\
 -r(a+R_1) \frac{\partial(R_1-R_3)}{\partial v} + \Delta(a+c+R_1+R_3) \frac{\partial R_2}{\partial u} - 2r(b+R_2) \frac{\partial R_2}{\partial v} &= \\
 f_3(R_1-R_3) + f_4 R_2. &
 \end{aligned}
 \tag{36}$$

The quadratic form \varnothing of (36) is equivalent to

$$\varnothing = - (a+c+R_1+R_3) \left\{ r^2 (a+R_1) \mu^2 + 2r \Delta (b+R_2) \mu \nu + \Delta^2 (c+R_3) \nu^2 \right\}. \tag{37}$$

Let the discriminant of \varnothing be $-\Delta$, then

$$\Delta = r^2 \Delta^2 (a+c+R_1+R_3)^2 \left\{ (a+R_1)(c+R_3) - (b+R_2)^2 \right\}, \tag{38}$$

from (7), (17), (31) and $(a+c+R_1+R_3) = 2\bar{H} > 0$ equ (38) reduces to

$$\Delta = r^2 \Delta^2 K (a+c+R_1+R_3)^2 > 0. \text{ Hence } \varnothing \text{ is definite and (36) is elliptic,}$$

and from (iv) we get $R_1 - R_3 = R_2 = 0$ in D . From (35) we get $R_1 = R_2 = R_3 = 0$ inside D . Then from (33) we get $H = \bar{H}$, and from (15) we get $II = \bar{II}$ in D , which proves the theorem.

Q.E.D.



CONCLUSION

We conclude that the theorem of A. Švec [3] can be generalized from two infinitesimal isometric surfaces to the case of two general isometric surfaces in Riemannian 3-spaces. Moreover if $V^3 = \bar{V}^3 = E^3$ the condition (iii) is automatically satisfied since $R_{ijk}^{\bar{L}} = \bar{R}_{ijk}^{\bar{L}} = 0$.

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