

SALIVARY LEVELS OF α -AMYLASE & CORTISOL IN PATIENTS WITH RECURRENT APHTHOUS ULCERATION

Gihane Gharib Madkour* and Ibrahim El Refaie**

ABSTRACT

Objective: Psychological stress is one of the prime triggering factors for recurrent aphthous ulceration. The goal of this investigation is to measure salivary levels of α -Amylase and Cortisol, as stress biomarkers, in patients with recurrent aphthous ulceration.

Subjects and Methods: Whole unstimulated salivary samples were collected from 25 patients with recurrent aphthous ulceration & 25 healthy controls. Salivary levels of α -Amylase and Cortisol was quantified using enzyme-linked immunosorbent assay (ELISA).

Results: A statistically significant increase in salivary levels of both α -Amylase and Cortisol was detected in recurrent aphthous ulceration patients compared to healthy controls.

Conclusion: Salivary α -Amylase and Cortisol can be used as reliable stress biomarkers in patients with recurrent aphthous ulceration.

KEYWORDS: Recurrent aphthous ulceration, salivary α -amylase, salivary Cortisol, psychological stress.

INTRODUCTION

Recurrent aphthous ulceration (RAU) is one of the most common oral mucosal disorders characterized by recurrent, painful, solitary or multiple, self-limiting oral ulcerations affecting mainly the non-keratinized oral mucosa^{1,2}. Clinically, RAU has three distinct types: minor, major & herpetiform with the minor type being the most common accounting for almost 85% of the cases^{3,4}. Epidemiologically, RAU

has a prevalence ranging from 10% to 25% among the general population^{4,5}. Despite the increased clinical prevalence of RAU, its pathogenesis remains unclear⁶. Several diverse factors have been implicated in triggering the disease pathogenesis such as psychological stress, genetic background, immunological disturbance, nutritional deficiencies (vitamin B12, iron and folate) and microbial factors⁶⁻⁸. Psychological stress has been identified as a major precipitating factor for RAU^{9,10}.

*Associate Professor of Oral Medicine, Diagnosis and Periodontology, Faculty of Dentistry, Cairo University, Egypt.

**Lecturer of Oral Medicine, Diagnosis and Periodontology, Faculty of Dentistry, Cairo University, Egypt.

Physiologically, stress triggers the stimulation of two main systems: the sympathetic nervous system (SNS) & the hypothalamic-pituitary-adrenal (HPA) axis. Stimulation of the SNS will ultimately results in the release of salivary α -Amylase while activation of the HPA axis leads to secretion of Cortisol¹¹⁻¹³.

In the last few years, salivary α -amylase has received a great attention as a potential stress biomarker of the SNS¹⁴. Salivary α -Amylase is an enzyme secreted by acinar epithelial cells of salivary glands, mainly the parotid glands. It accounts about 10% to 20% of the total salivary constituents & it is primarily involved in the digestion of starch in the oral cavity to glucose and maltose¹⁵. Several studies have revealed increased levels of salivary α -amylase following either physical or psychological stresses^{14,16,17}. Thus, salivary α -amylase is now recognized as a fast, reliable & non-invasive biomarker of stress & anxiety^{12,18,19}.

Cortisol is a well-known stress hormone released from the cortex of the adrenal gland & mediates several important functions such as regulation of carbohydrates, protein & fat metabolism as well as maintenance of vascular reactivity & regulation of blood cell numbers²⁰. Increased levels of Cortisol have been reported in patients with burning mouth syndrome, atypical facial pain & in patients who had confronted stress during dental procedures²¹. Cortisol can be quantified in blood & saliva. However, measurement of Cortisol in saliva is preferred as collection of saliva is simple, safe, non-invasive and relatively stress-free to the patient avoiding a possible increase in Cortisol levels resulting from fear of venipuncture^{22,23}.

Although stress has been documented as a triggering factor for the development of RAU, the exact underlying pathomechanism remains unclear & controversial. Therefore, the goal of the current study is to quantify salivary levels of α -Amylase & Cortisol in patients with RAU during the active ulcerative stage.

PATIENTS AND METHODS

Study Population

A total of fifty subjects were included in this case-control study. They were all recruited from the outpatient clinic of Oral Medicine, Diagnosis and Periodontology Department, Faculty of Dentistry, Cairo University between September and December 2017. Participating subjects were equally divided into two, age and sex matched, groups; Group 1 or patients group consisted of twenty five patients with RAU (10 males and 15 females). Their ages range from 19 to 36 years (mean age: 26.12 years). Group 2 or Control group comprised twenty five systemically healthy subjects (9 males and 16 females). Their ages range from 18 to 37 years (mean age: 27.16 years).

Inclusion and exclusion criteria

Inclusion criteria consisted of systemically healthy patients presenting clinically with typical minor RAU during the active ulcerative stage with history of ulcer recurrence at least three times per year.

Exclusion criteria consisted of the presence of other diseases or conditions, such as anemia, cyclic neutropenia, Behcet's disease, inflammatory bowel disease and pregnancy. Smokers & patients who had received any medication that might influence their immune status or the salivary flow within the last three months were also excluded.

Full medical history was obtained from all participating subjects according to the detailed questionnaire of the modified Cornell Medical Index²⁴. The Institutional Review Board approved the study protocol and all included subjects agreed to join this study and signed an informed written consent.

Salivary Samples collection

All participating subjects were requested to refrain eating & drinking at least two hours before

sample collection. All Salivary samples were obtained in the morning between 9:00 to 11:00 a.m. Before sample collection, subjects were asked to rinse their mouth using distilled water and after five minutes, whole unstimulated saliva samples were obtained using the simple standard technique by Navazesh²⁵. Subjects were asked to sit comfortably and to expectorate into plastic tubes to obtain 5 ml of saliva. All salivary samples were then centrifuged at 4000xg for 10 minutes at 4 °C, the upper parts were drawn and stored in small aliquots at - 20°C until assayed.

Determination of Salivary Cortisol level

Salivary levels of Cortisol were determined in all collected samples using enzyme linked immunosorbent assay (ELISA) kit (Salimetrics, State College, PA, USA) following manufacturer's guidelines. This kit is a competitive immunoassay. Cortisol in samples and standards competed with Cortisol conjugated to horseradish peroxidase for the antibody binding sites on a microtitre plate. After incubation, unbound components were washed away and bound Cortisol enzyme conjugate was measured by the reaction of the horseradish peroxidase enzyme to the substrate tetramethylbenzidine. The optical density was read on a standard plate reader at 450 nm.

Determination of Salivary α -amylase level

Salivary levels of α -amylase were determined in all obtained salivary samples using enzyme linked immunosorbent assay (ELISA) kit (Salimetrics, State College, PA, USA) following manufacturer's instructions. This kit uses the chromogenic substrate 2-chloro-p-nitrophenol linked with maltotriose. The enzymatic action of α -Amylase on this substrate resulted in 2-chloro-p-nitrophenol which was spectrophotometrically measured at 405 nm.

Statistical analysis

All obtained data are presented as mean and standard deviation (SD) values (mean \pm SD).

Unpaired Student's t-test was used to compare between α -Amylase & Cortisol levels in saliva of RAU patients and healthy control group. Statistical tests were carried out by the GraphPad statistical software (Graph Pad Software Inc, La Jolla, CA). P value is statistically significant at < 0.05 .

RESULTS

The present study comprised a total of fifty subjects divided into two equal groups; RAU group & healthy control group. Demographic data of the two studied groups is presented in Table 1. Table 2 shows the mean salivary levels of Cortisol & α -Amylase in patients with RAU and healthy controls (figure 1 & figure 2). Results of our study revealed a statistically significant increased levels of both α -Amylase & Cortisol in saliva of RAU patients compared to healthy control group ($p < 0.05$).

TABLE (1) Demographic data of the two studied groups

Study Groups	Number	Age (years) (mean \pm SD)	Sex (M/F)
RAU group	25	26.12 \pm 5.54	10/15
Control group	25	27.16 \pm 5.49	9/16

TABLE (2) Salivary α -Amylase & Cortisol levels in both studied groups

Salivary biomarker	RAU Group (n=25)	Control Group (n=25)	P value
α -Amylase (U/mL) (mean \pm SD)	192.37 \pm 101.35	128.27 \pm 82.94	0.0181*
Cortisol (μ g/dL) (mean \pm SD)	1.53 \pm 0.69	1.02 \pm 0.48	0.0039*

*Statistically significant difference (Unpaired Student t-test; $p < 0.05$)

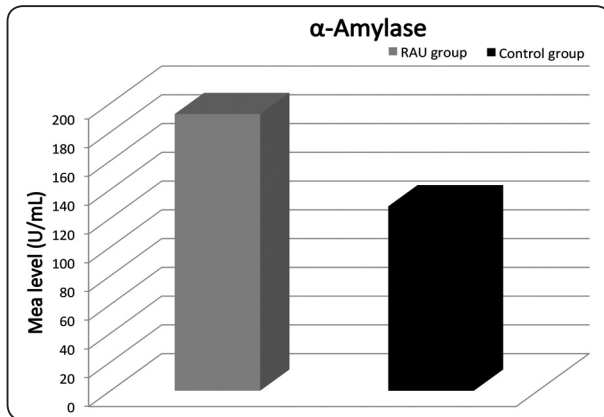


Fig. (1) Bar chart representing the mean levels of salivary α -Amylase among RAU group and Control group

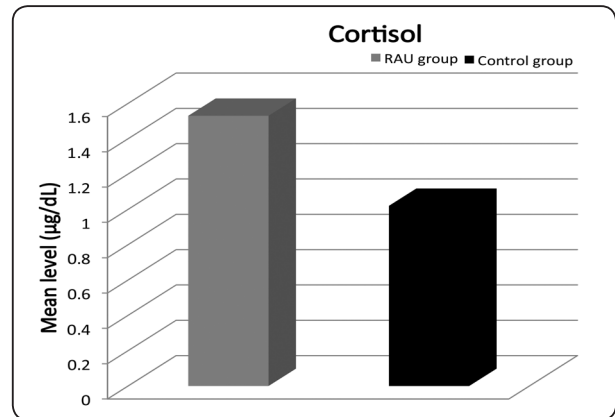


Fig. (2) Bar chart representing the mean levels of salivary Cortisol among RAU group and Control group

DISCUSSION

Several previous studies have proposed that psychological stress may contribute to the onset & recurrence of RAU. However, results of these studies were heterogeneous²⁶⁻³¹. Salivary biomarkers such as α -Amylase & Cortisol have been proved to play a crucial role in human responses to psychological stressful circumstances³². Hence, the aim of this study was to evaluate the salivary levels of α -Amylase & Cortisol, as stress biomarkers, in patients with RAU during the active ulcerative stage, in a trial to illustrate their possible role in RAU etiopathogenesis. The current study is the first to evaluate salivary levels of both biomarkers in patients with RAU. We investigated the salivary levels of these two biomarkers in twenty-five patients with RAU and compared it to twenty-five healthy control subjects. Our results revealed statistically increased levels of both, α -Amylase & Cortisol, in saliva of RAU compared to healthy controls. These results suggest that salivary α -Amylase & Cortisol can be used as promising useful salivary biomarkers in RAU and further support the role of stress in triggering the pathophysiology of RAU.

Stress is a multidirectional process that can be assessed through psychological questionnaires &/ or biological markers. Biological stress markers are preferred over stress & anxiety questionnaires as

they present an objective, reliable & genuine proof of stress that is less vulnerable to exaggeration^{14, 33}. Indeed, Neuro-endocrine markers play a major role in human reactions to stressful situations³⁴. Specifically, salivary α -Amylase & Cortisol, as previously mentioned, are authentic biological stress markers released under the regulation of the SNS & the HPA axis, respectively³⁵. In the last ten years, salivary α -Amylase has gained a great attention as a stress biomarker¹² whereas salivary cortisol has been a noteworthy & reliable stress biomarker since nearly twenty years³⁶.

Cortisol is a pivotal hormone in reaction to stress. *Elenkov*³⁷ has reported that stress leads to increase in Cortisol levels which affect the immune system response & ultimately results in imbalance in Th1/Th2 cytokines. Meanwhile, *Borra et al.*³⁸ have revealed that RAU formation is associated with an imbalance of these cytokines, with increased Th1 activity. Interestingly, results of the current study showed statistically significant increase in salivary levels of Cortisol among RAU patients compared to healthy controls. Our results are partially in accordance with those of *McCartan et al*²⁷, *Albanidou-Farnaki et al*³⁰, *Nadendla et al.*³⁹, *Karthikeyan & Aswath*¹⁰ and *Vassandacoumara & Daniel*⁴⁰ who reported increased salivary Cortisol levels in patients with RAU. On the other hand &

partly in contrast to our results, *Michel et al.*⁴¹, *Rezaei et al.*⁴² & *Kunikullaya et al.*⁴³ reported no significant difference between salivary levels of Cortisol in RAU patients compared to controls. However, they demonstrated an association between RAU with stress & anxiety. The discrepancy observed in results of these studies may be due to different ethnic & environmental factors together with small sample sizes.

The increase in salivary Cortisol levels observed in our results among RAU patients can be explained on the basis that stress stimulates the HPA axis leading to secretion of corticotrophin-releasing hormone (CRH) from the hypothalamus. CRH then induces the release of adrenocorticotrophic hormone (ACTH) from the pituitary gland. Finally, ACTH induces the release of Cortisol from the adrenal cortex⁴⁴. Increased Cortisol levels will then lead to various immune & inflammatory responses eventually resulting in imbalance in Th1/Th2 cytokines production which is implicated in the pathogenesis of RAU^{37,38,44,45}. Moreover, *Bellavance & Rivest*⁴⁶ had reported that despite the well-recognized anti-inflammatory properties of glucocorticoids there exists increasing evidence indicating that glucocorticoids stimulate inflammatory responses under specific conditions. Interestingly, the great majority of pro-inflammatory actions of glucocorticoids were seen in association with stress⁴⁶⁻⁴⁸.

Salivary α -Amylase has been investigated in several studies as a valid & reliable stress biomarker of the SNS^{12, 49, 50}. *Rashkova et al.*⁵⁰ have reported a two-fold increase in salivary levels of α -Amylase in stressful situations compared to its levels in stress free situations. In this study, results have shown that, compared to healthy controls, salivary α -Amylase levels were statistically significantly increased among RAU patients. Consistent with our results, *Vineetha et al.*⁵¹ reported increased salivary levels of α -Amylase in patients with chronic psychosocial stress mainly in RAU & patients with dry mouth. They concluded that salivary α -Amylase can be used as a chronic stress biomarker⁵¹. Furthermore, *Kunikullaya et al.*⁴³ reported a mild increase in

salivary α -Amylase among patients with RAU but this increase was not statistically significant from the healthy controls. In contrary to our results, *Cardoso et al.*⁵² revealed no significant difference between salivary levels of α -Amylase in RAU patients when compared to healthy controls.

Increased levels of salivary α -Amylase observed in this study may be explained on the basis that stress induces the SNS to produce salivary α -Amylase from salivary glands through the secretion of catecholamines, mainly norepinephrine⁵³. Indeed, stress leads to disturbance in the psycho-neuro-immune balance & enhances stress-induced cytokine production that may contribute to the pathophysiological process of RAU⁴⁴.

In conclusion, results of this study showed that salivary α -Amylase and Cortisol levels are increased among RAU patients. Both, salivary α -Amylase and Cortisol can be used as reliable stress biomarkers in patients with RAU.

ACKNOWLEDGMENT

The authors would like to acknowledge Professor Dr. Nahed Abdel-Moneim Emara, Professor of Clinical Pathology, National Research Center, for her great efforts and skillful technical help.

REFERENCES

1. Scully C, Porter S (2008): Oral mucosal disease: recurrent aphthous stomatitis. *Br J Oral Maxillofac Surg.*; 46(3): 198-206.
2. Arikan S, Durusoy C, Akalin N, Haberal A, Seckin D (2009): Oxidant/antioxidant status in recurrent aphthous stomatitis. *Oral Dis.*;15(7):512-5.
3. Rogers III RS (1997): Recurrent aphthous stomatitis: clinical characteristics and associated systemic disorders. *Semin Cutan Med Surg.*; 16:278-283.
4. Ship JA, Chavez EM, Doerr PA, Henson BS, Sarmadi M (2000): Recurrent aphthous stomatitis. *Quintessence Int.*; 31: 95-112.
5. Jurge S, Kuffer R, Scully C, Porter SR (2006): Mucosal disease series. Number VI. Recurrent aphthous stomatitis. *Oral Dis.*; 12:1-21.

6. Preeti L, Magesh K, Rajkumar K, Karthik R (2011): Recurrent aphthous stomatitis. *J Oral Maxillofac Pathol*; 15(3):252-256.
7. Gupta I, Shetti A, Keluskar V, Bagewadi A (2014): Assessment of serum enzymatic antioxidant levels in patients with recurrent aphthous stomatitis: A case control study. *Enzyme Res.*; 2014:340819.
8. Akintoye SO and Greenberg MS (2014): Recurrent aphthous stomatitis. *Dent Clin North Am.*; 58(2):281-97.
9. Alshahrani S and Baccaglini L (2014): Psychological screening test results for stress, depression, and anxiety are variably associated with clinical severity of recurrent aphthous stomatitis and oral lichen planus. *J Evid Based Dent Pract.*; 14: 206-208.
10. Karthikeyan P and Aswath N (2016): Stress as an etiologic co-factor in recurrent aphthous ulcers and oral lichen planus. *J. Oral. Sci.*; 58: 237-240.
11. Chrousos GP (2009): Stress and disorders of the stress system. *Nat. Rev. Endocrinol*; 5: 374-381.
12. Nater UM and Rohleder N (2009): Salivary alpha-amylase as a non-invasive biomarker for the sympathetic nervous system current state of research. *Psychoneuroendocrinology*; 34(4): 486-496.
13. Gunnar MR and Adam EK (2012): The hypothalamic-adrenocortical system and emotion current wisdom and future directions. *Monographs of the Society for Research in Child Development*; 77(2): 109-119.
14. Nater UM, Rohleder N, Gaab J, Berger S, Jud A, Kirschbaum C, Ehlert U (2005): Human salivary alpha-amylase reactivity in a psychosocial stress paradigm. *Int. J. Psychophysiol.*; 55(3): 333-342.
15. Arhakis A, Karagiannis V, Kalfas S (2013): Salivary alpha-amylase activity and salivary flow rate in young adults. *Open Dent J*; 7: 7-15.
16. Ehlert U, Erni K, Hebisch G, Nater U (2006): Salivary α -amylase levels after yohimbine challenge in healthy men. *The Journal of Clinical Endocrinology and Metabolism*; 91: 5130-5133
17. Kang Y (2010): Psychological stress-induced changes in salivary alpha-amylase and adrenergic activity. *Nursing and Health Sciences*; 12(4): 477-484.
18. Haririan H, Bertl K, Laky M, Rausch WD, Böttcher M, Matejka M, Andrukhov O, Rausch-Fan X (2012): Salivary and serum chromogranin A and α -amylase in periodontal health and disease. *Journal of Periodontology*; 83(10):1314-1321.
19. Granger D A, Kivlighan KT, El-Sheikh M, Gordis EB, Stroud LR (2007): Salivary α -amylase in biobehavioral research: recent developments and applications. *Annals of the New York Academy of Sciences*; 1098:122-144.
20. Miller CS, Dembo JB, Falace AD, Kaplan A (1995): Salivary cortisol response to dental treatment of varying stress. *Oral Surg Oral Med Oral Pathol Oral Radiol Endod* 79: 436-441.
21. Shah B, Ashok L, Sujatha GP (2009): Evaluation of salivary cortisol and psychological factors in patients with oral lichen planus. *Indian J Dent Res*; 20 (3): 288-292.
22. Safarzadeh E, Mostafavi F, Haghi Ashtiani MT (2005): Determination of salivary cortisol in healthy children and adolescents. *Acta Med Iran*; 43:32-6.
23. Lewis JG (2006): Steroid analysis in saliva: an overview. *Clin Biochem Rev*; 27: 139-146.
24. Abramson JH (1966): The Cornell medical index as an epidemiological tool. *Am J of Public Health and the Nation's Health*; 56(2): 287-298.
25. Navazesh M (1993): Methods for collecting saliva. *Ann NY Acad Sci*; 694:72-77.
26. Pedersen A (1989): Psychologic stress and recurrent aphthous ulceration. *J Oral Pathol Med.*; 18:119-22.
27. McCartan BE, Lamey PJ, Wallace AM (1996): Salivary cortisol and anxiety in recurrent aphthous stomatitis. *J Oral Pathol Med.*; 25:357-9.
28. Chiappelli F, Cajulis OS (2004): Psychobiologic views on stress-related oral ulcers. *Quintessence Int.*; 35:223-7.
29. Soto-Araya M, Rojas-Alcayaga G, Esguep A (2004): Association between psychological disorders and the presence of Oral lichen planus, Burning mouth syndrome and recurrent aphthous stomatitis. *Med Oral.*; 9:1- 7.
30. Albanidou-Farnaki E, Pouloupoulos AK, Epivatianos A, Farmakis K, Karamouzis M, Antoniadis D (2008): Increased anxiety level and high salivary and serum cortisol concentrations in patients with recurrent aphthous stomatitis. *Tohoku J Exp Med*; 214: 291-296.
31. Gallo CB, Mimura MAM and Sugaya NN (2009): Psychological stress and recurrent aphthous stomatitis. *Clinics*; 64(6): 645-648.

32. Takai N, Yamaguchi M, Aragaki T, Eto K, Uchihashi K and Nishikawa Y (2004): Effect of psychological stress on the salivary cortisol and amylase levels in healthy young adults. *Arch Oral Biol*; 49(12): 963-968.
33. Nater UM, Roberto LM, Florina L, Moses A, Langhans W, Koller MM, et al. (2006): Stress-induced changes in human salivary alpha-amylase activity associations with adrenergic activity. *Psychoneuroendocrinology*; 31:49-58.
34. Van Stegeren AH, Wolf OT, Kindt M (2008): Salivary alpha amylase and cortisol responses to different stress tasks: impact of sex. *Int J Psychophysiol.*; 69(1):33-40.
35. Engert V, Vogel S, Efanov SI, Duchesne A, Corbo V, Ali N, Pruessner JC (2011): Investigation into the cross-correlation of salivary cortisol and alpha-amylase responses to psychological stress. *Psychoneuroendocrinology*; 36(9): 1294-302.
36. Kirschbaum C, Hellhammer DH (1989): Salivary cortisol in psychobiological research: an overview. *Neuropsychobiology*; 22: 150-169.
37. Elenkov IJ (2004): Glucocorticoids and the Th1/Th2 balance. *Ann N Y Acad Sci*; 1024:138-46.
38. Borra RC, Andrade PM, Silva ID, Morgun A, Weckx LL, Smirnova AS et al. (2004): The Th1/Th2 immune-type response of the recurrent aphthous ulceration analyzed by cDNA microarray. *J Oral Pathol Med*; 33:140-6.
39. Nadendla LK, Meduri V, Paramkusam G, Pachava KR (2015): Relationship of salivary cortisol and anxiety in recurrent aphthous stomatitis. *Indian J Endocrinol Metab*; 19:56-9.
40. Vassandacoumara V, Daniel JM (2017): Correlation between salivary cortisol levels and Hospital Anxiety and Depression scores in oral lichen planus and recurrent aphthous stomatitis. *Stomatological Dis Sci*; 1:103-8.
41. Michel AR, Luz C, Wudarcki S, Cherubini K, Figueiredo MA, Saluma FG (2015): Cortisol and dehydroepiandrosterone salivary levels, stress and anxiety in patients with recurrent aphthous stomatitis. *Rev Odonto Cienc*; 30(4):120-125.
42. Rezaei F, Aminian M, Raygani AV (2017): Evaluation of Salivary Cortisol Changes and Psychological Profiles in Patients with Recurrent Aphthous Stomatitis. *Contemp Clin Dent.*; 8(2):259-263.
43. Kunikullaya UK, Kumar MA, Ananthakrishnan V, Jaisri G (2017): Stress as a Cause of Recurrent Aphthous Stomatitis and Its Correlation with Salivary Stress Markers. *Chin J Physiol.*; 60(4):226-230.
44. Nater UM, Skoluda N, Strahler J (2013): Biomarkers of stress in behavioural medicine. *Curr Opin Psychiatry*; 26(5):440-5.
45. Al-Omiri MK, Karasneh J, Lynch E (2012): Psychological profiles in patients with recurrent aphthous ulcers. *Int J Oral Maxillofac Surg.*; 41:384-8.
46. Bellavance MA, Rivest S (2014): The HPA - Immune Axis and the Immunomodulatory Actions of Glucocorticoids in the Brain. *Front Immunol.*; 5:136.
47. Liberman AC, Budziński ML, Sokn C, Gobbini RP, Steininger A, Arzt E (2018): Regulatory and Mechanistic Actions of Glucocorticoids on T and Inflammatory Cells. *Front Endocrinol (Lausanne)*; 9:235.
48. Sorrells SF, Caso JR, Munhoz CD, Sapolsky RM (2009): The stressed CNS: when glucocorticoids aggravate inflammation. *Neuron* 64:33-9.
49. Koh D, Ng V, Naing L (2014): Alpha amylase as a salivary biomarker of acute stress of venepuncture from periodic medical examinations. *Front Public Health*; 2: 121.
50. Rashkova MR, Ribagin LS, Toneva NG (2012): Correlation between salivary α -amylase and stress-related anxiety. *Folia Med (Plovdiv)*; 54(2): 46 - 51.
51. Vineetha R, Pai KM, Vengal M, Gopalakrishna K, Narayanakurup D (2014): Usefulness of salivary alpha amylase as a biomarker of chronic stress and stress related oral mucosal changes - a pilot study. *J Clin Exp Dent.*; 6(2):e132-7
52. Cardoso JA, Dos Santos Junior AA, Nunes ML, de Figueiredo MA, Cherubini K, Salum FG (2017): Salivary Alpha-Amylase Enzyme, Psychological Disorders and Life Quality in Patients with Recurrent Aphthous Stomatitis. *Int J Dent.*;2017:5269856.
53. Ulrich-Lai YM and Herman JP (2009): Neural regulation of endocrine and autonomic stress responses. *Nature Reviews Neuroscience*; 10(6): 397-409.