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## Vibration Signature of Unbalanced Rotors of Centrifugal Pumps

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### ABSTRACT

Centrifugal pumps are widely used in many industrial applications. It is necessary to detect undesired conditions during the operation of it to avoid consequential damages. Early detection is a vital factor to increase the availability and reliability. Vibration analysis is an effective and efficient tool in diagnosing rotating equipment. Vibration signature of unbalanced rotors needs to investigate different running conditions of the pump. The increase in the vibration level indicates a probable mechanical failure. Unbalance is one of probable reason of the vibration in the machines. The unbalanced rotor always produces high vibration level and excessive loads on the area of the bearing and hence reducing the machine lifetime. The investigated system monitors a four stages centrifugal pump to expect the vibration spectrum of the unbalanced rotors. The driving motor runs at 2980 RPM with rated power 55 kW. This pumping system uses seawater. Comparing the vibration data of the inspected pump (in normal and faulty conditions) with each other and vibration limits of ISO10816. The results from this study clarifies the importance of vibration analysis in detecting the unbalanced rotors. Experimental results show that primarily 1X shaft running speed with sinusoidal time waveform can characterize the unbalance in shaft. The time waveform may be pure sine wave and it may contain noise. To eliminate the noise, the user may use a suitable digital or analogue filters (i.e. software or hardware). The amplitude of vibration in all directions for faulty condition is higher than the amplitude value at 1X RPM frequency in the normal condition. The amplitude at the 1X varies proportional to the square of the rotational speed. The induced forces due to unbalance on both bearing of the rotor are always at the same direction where the vibration signal from them are in phase with each other.

### 1. Abbreviations

A	Axial	MNDE	Motor Non Drive End
DE	Drive End	NDE	Non Drive End
DSS	Deflected Shape of Shaft	ODS	Operational Defect Shape
FFT	Fast Fourier Transformation	PDE	Pump Drive End
GCL	Geometric Centerline	PNDE	Pump Non Drive End
H	Horizontal	RMS	Root Mean Square
HP	High Pressure	1X	1 <sup>st</sup> order Frequency i.e Frequency/shaft rotating Frequency
MDE	Motor Drive End	V	Vertical
PIA	Principle Inertia Axis		

## 2. Introduction

With vibration measurements, we can determine defects such as dynamic imbalance, faulty alignment, gaging and weaknesses, bearing specific defects, etc. [1]. Unbalance is one of the vibration sources in the electric motors and centrifugal pumps. There are many reasons for this problem in the electric motors and the pumps. These problems may arise due to defects during manufacturing, corrosion in the pump fan, partial permanent pollution of the pump fan blade, the unbalanced mounting of one of the pump bearings carrying the whole loads of pump fan, improper mass distribution in the rotor arms and blades of the electric motor, the bending of the pump and electric motor shaft. The imbalance problem encountered in electric motor and centrifugal pumps causes negative effects on pump efficiency [2]. Rotor unbalances may be considered as one of the most common reasons in machine's vibrations. Eliminating the mass unbalance can solve most of the problems of the rotating machinery. Excessive centrifugal forces (in the rotating component) will be generated due to the mass unbalance that may also reduce the reliability and lifetime of the mechanical component. The induced force which always causes more vibration and adds additional load on the bearing area. High speed rotating machines may suffer from severe problems due to a very small amount of unbalance. The unbalanced system of overhung rotors is taken into consideration in numerous unbalance studies. Many researchers have studied techniques of faults diagnosis related to unbalance. K. P. Ramachandran [3] presented a general method which can be used to obtain the unbalance response orbit based on the experimental, where the shafts rotate at different speeds. A single mass Experiments were carried out at five different speeds and plotted the corresponding results. The unbalanced rotor can be identified by phase analysis and spectral. Surendra N. Ganerwal and Brian Schwarz [4], have used the operational deflection shape (ODS) to detect the unbalance of rotating components in the rotating machines.

V. Hariharan and P. S. S. Srinivasan [5] carried out a simulation for unbalance. The experimental data and simulation are compared with each other. Both of the experimental and simulated data show that the unbalance can be primarily characterized by first harmonic (1X) of the shaft running speed. M. Abdul Saleem and G. Diwakar [6] used the Deflected Shape of Shaft (DSS) of a rotating equipment to identify the

unbalance in its rotating component where the presence of unbalance causes deflected shape shaft. Girish D. Mehta, Vijaykumar S. Shende, et al. [7] carried out the mathematical model for prediction of unbalance. They found that the amplitude at 1X frequency will be the confirmation of the amplitude at the unbalancing condition.

## 3. Types of Unbalance

- Static unbalance where the Geometric Centerline (GCL) and Principle Inertia Axis (PIA) are parallel
- Couple unbalance GCL and PIA intersect in the center
- Dynamic unbalance GCL and PIA do not touch or coincide,

For all types of unbalance, the Fast Fourier Transform (FFT) vibration signature will show a predominant 1X RPM frequency signature. Vibration amplitude at the 1X RPM frequency will vary proportionally with the square of the rotational speed. This peak always exists and usually controls the vibration. Figure 1 shows the frequency response of a normal unbalance of rotating shaft.

Static unbalance will be in-phase and steady (15–20°). Looking at the vibration signal in the horizontal (H) direction, the phase will be shifted by 90° ( $\pm 30^\circ$ ). Another test is to move the pickup from one bearing to another in the same plane (vertical or horizontal). The phase remains the same if the fault is a static unbalance. The static unbalance is shown in Figure 2.

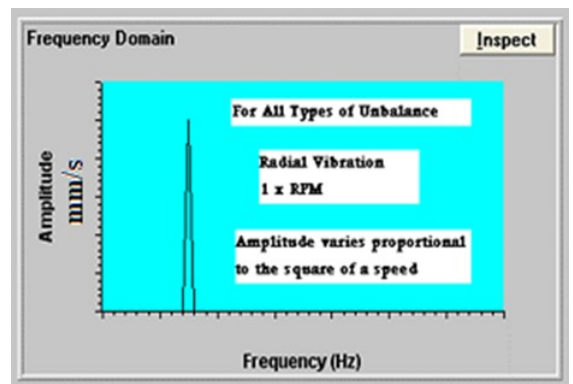


Fig. 1: Vibration signature due to unbalance

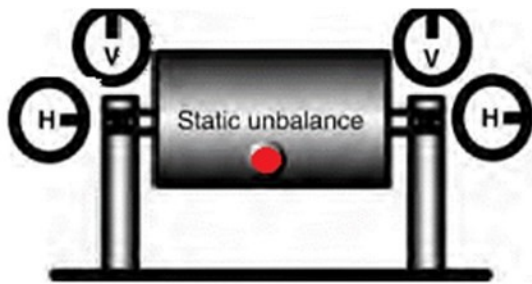


Fig. 2: Phase relationship – static unbalance

In a couple unbalance as shown in Figure 3, the FFT vibration signature again displays a single 1X RPM frequency peak. The amplitude at the 1X varies proportionally with the square of rotational speed. This defect may cause high axial and radial vibrations. Couple unbalance tends to be  $180^\circ$  out of phase on the same shaft. Note that, almost a  $180^\circ$  phase difference exists between two bearings in the horizontal plane. The same is observed in the vertical plane. It is advisable to perform an Operational Deflection Shape (ODS) analysis to check if couple unbalance exists in the system or not.

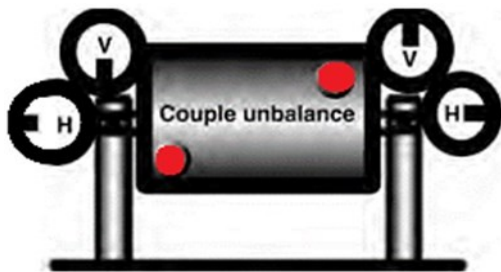


Fig. 3: Phase relationship – couple unbalance

In case of overhung rotors, the FFT vibration signature displays a single 1X RPM peak as well, and the amplitude again varies proportionally with the square of the rotational speed. High axial and radial vibrations may arise in this case. The axial phase on the two bearings seems to be in phase whereas the radial phase tends to be unsteady. Overhung rotors have both static and couple unbalance. The rotor must be tested and fixed using analyzers or balancing equipment as shown in Figure 4.

#### 4. Experimental setup

Figure 5a shows the High Pressure (HP) pump 201, which is used in this study. Figure 5b show the schematic drawing of the pumping system and the location of the measuring sensors. In this case, the measurement carried out at both normal and unbalanced conditions in the three directions (A, V, and H) simultaneously. An electric motor drives the pump. The pump has one stage to deliver oil. The motor speed is 2980 RPM and its rated power is 55kW.

The data acquisition system consists of a Data Collector and Analyzer. The analyzer type is DLI Watchman. DCA-31™Br Coupled with Expert ALERT™ software with two-channel configurations. The sensor is an accelerometer type ICP (Integrated Circuit Piezoelectric). The sensor measures the vibration signals in the three directions (axial, horizontal and vertical at four points for the pump and the electric motor. Figure 5.b shows the location of the measuring points (pump DE bearing 3), (pump NDE bearing 4), (motor DE bearing 2) and (motor NDE bearing 1). The accelerometer is mounted on bearing of the pump and motor. The accelerometer sends the signal to the conditioning unit (DLI Watchman@DCA-31™Br). The vibration signal in digital form is fed to the computer through a USB port. The software “Expert ALERT™” reads and records the signal from the signal-conditioning unit. The Fast Fourier Transform (FFT) converts the time domain signal to the frequency domain.

We use the ISO 10816 as a standard vibration readings for both faulty and normal operation are taken on the bearings where the defects are identified by finding the difference in amplitudes. Overall vibration levels were measured and analyzed at the normal and faulty conditions at different periods and compared with ISO 10816 [7] see Appendix. (1).

#### 5. Results

##### 5.1 Normal condition

The frequency vibration signature of the health pump is recorded as a baseline data for future analysis.

These signatures are shown in Figures 6 through 9. The signals are measured at three directions (axial A, vertical V and horizontal H) for the pump and motor's bearings. These figures show normal overall reading for all bearings. Table 1 contains the measured amplitude readings of the normal and faulty operations at the four positions of the system (Motor Non-Drive End NDE, Motor Drive End DE, Pump DE and Pump NDE) at the three axes. These readings are compared with the alarm and critical limits.

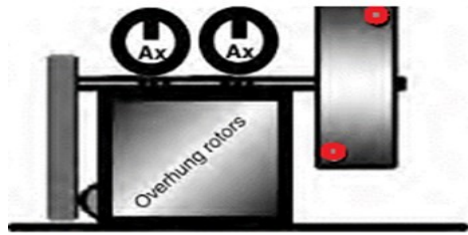


Fig. 4: Belt-driven fan/blower with an overhung rotor– The phase is measured in the axial direction

Figure 6 shows the spectrum of the motor DE. It is obvious that the amplitude (0.98 mm/s) in the vertical direction at (1X) is the highest amplitude.

Figure 7 shows the spectrum of the motor NDE. It is visible that the amplitude (0.85mm/s) in the vertical direction at (1X) is the highest amplitude.

Figure 8 shows the spectrum of the pump DE. It's obvious that the amplitude (1.03 mm/s) in the vertical direction at (1X) is the highest amplitude.

Figure 9 shows the spectrum of the pump NDE. It is noticeably that the amplitude (0.58 mm/s) in the axial direction at (1X) is the highest amplitude. It's obvious that Figures 6, 7, 8, and 9 represent a normal case because the readings are below the alarm limits.

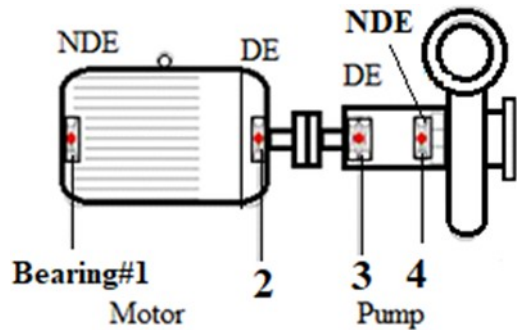


Fig. 5b: Schematic drawing for Figure 5a



Fig. 5a: HP water pump201

Table 1. The normal and the unbalanced (faulty) overall reading

Direction	Motor NDE			Motor DE			Pump DE			Pump NDE		
	V	H	A	V	H	A	V	H	A	V	H	A
Unit	mm/s RMS	mm/s RMS	mm/s RMS	mm/s RMS	mm/s RMS	mm/s RMS	mm/s RMS	mm/s RMS	mm/s RMS	mm/s RMS	mm/s RMS	mm/s RMS
Normal	1.31	1.18	1.25	1.35	1.19	0.898	2.02	1.43	1.7	0.951	0.795	1.22
Unbalanced (Faulty)	4.02	4.29	5.74	2.84	3.68	4.97	2.02	1.43	1.7	0.951	0.795	1.22
Alarm	4.5	4.5	4.5	4.5	4.5	4.5	4.5	4.5	4.5	4.5	4.5	4.5
Critical	7	7	7	7	7	7	7	7	7	7	7	7

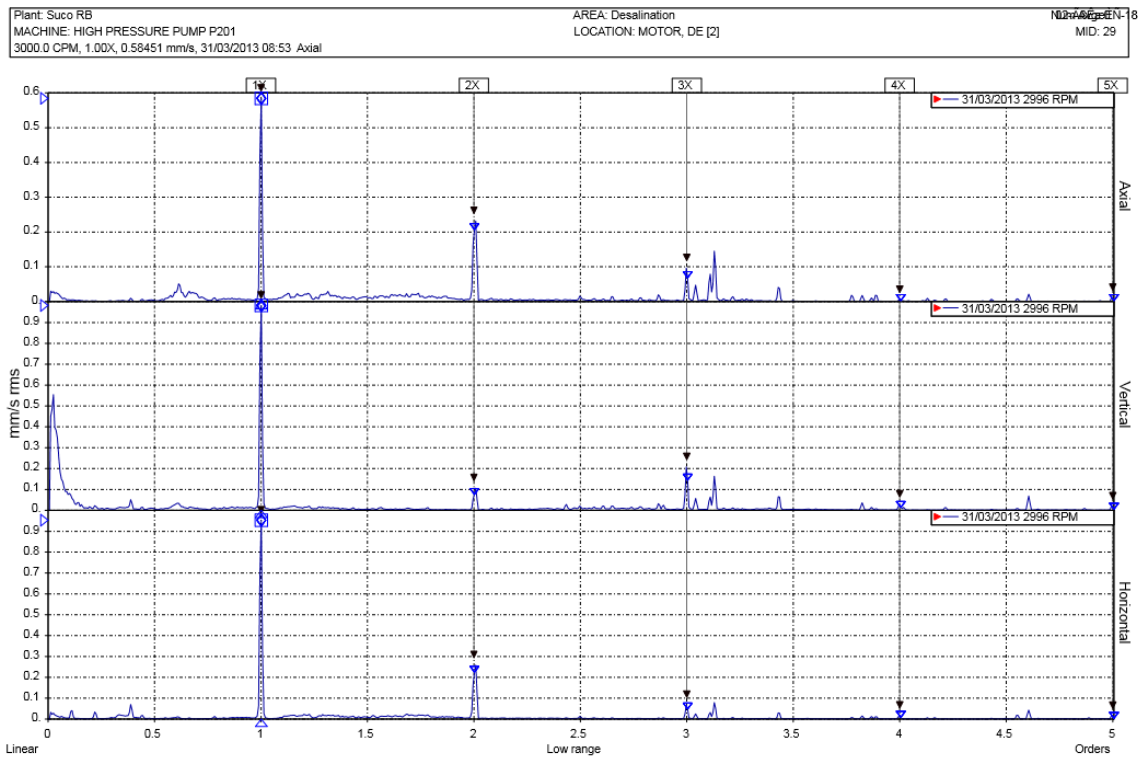


Fig. 6: Normal vibration signature of motor drive end

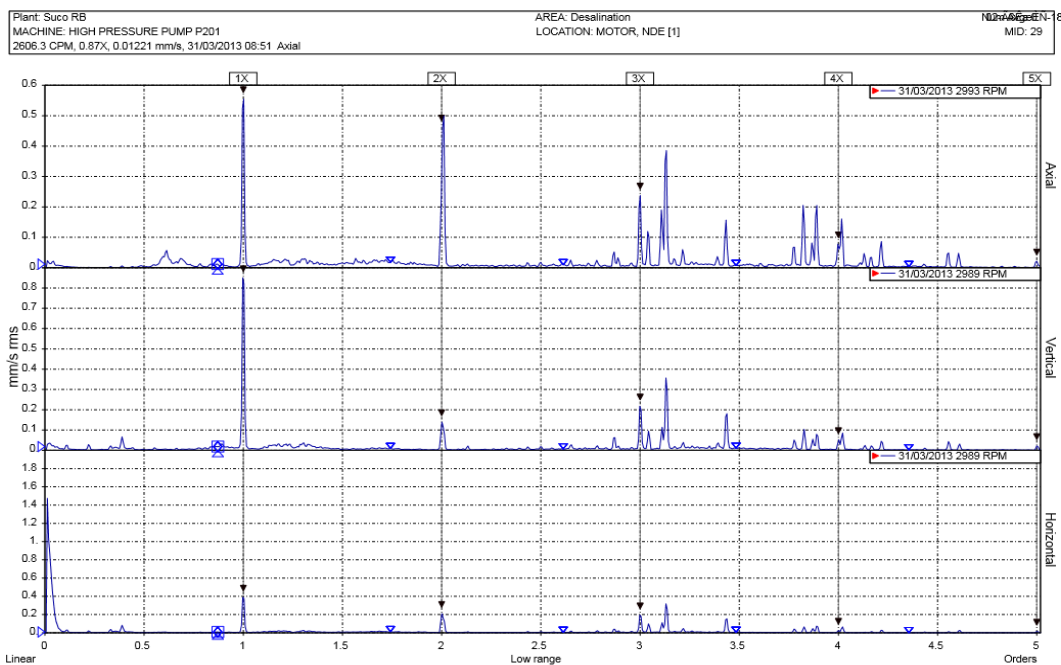


Fig. 7: Normal vibration signature of motor non–drive end

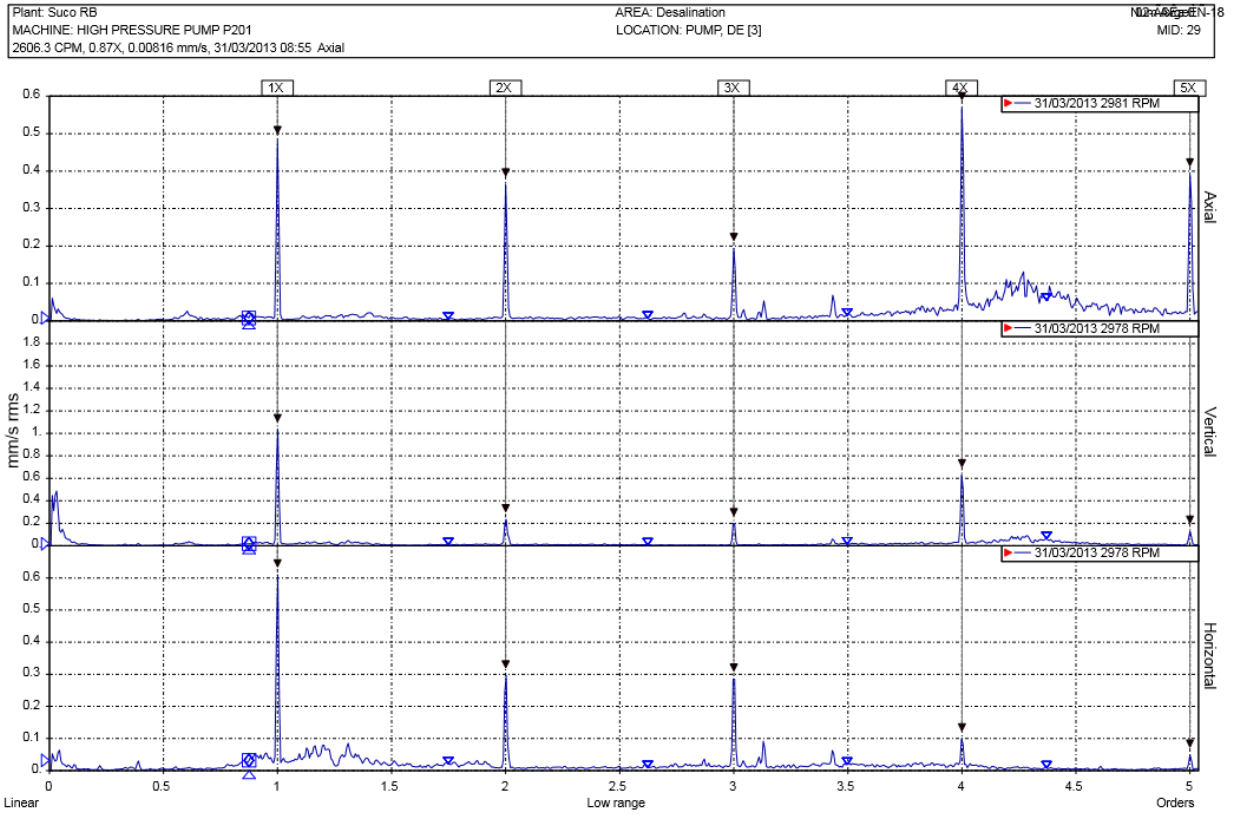


Fig. 8: Normal vibration signature of pump drive end

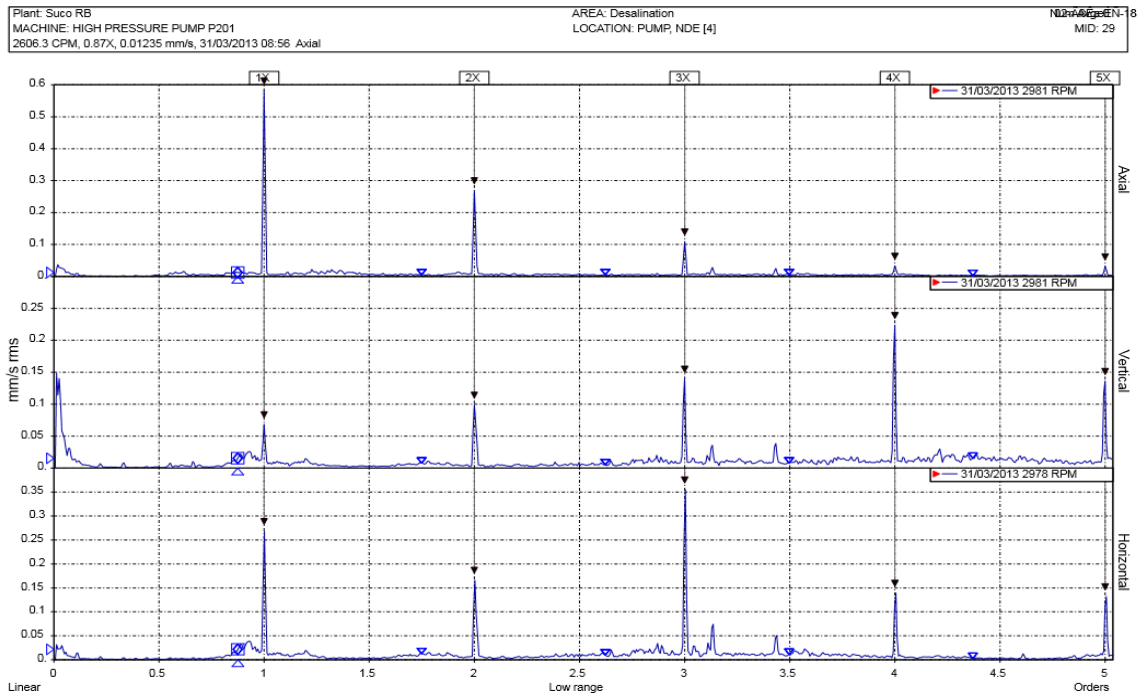


Fig. 9: Normal vibration signature of pump non-drive end

### 5.2 Faulty condition

The frequency signatures of the faulty pump are shown in Figures 10 through 13. The vibration sensor measures the vibration at three directions for the same pump and motor’s bearings. Table 2 shows the measured amplitude reading of the abnormal operation at the four positions of the pumping system at the three axes. The readings are compared with the alarm and critical limits.

Figure 10 shows the spectrum of the motor DE. It's observably that there is a noticeable increase in the amplitudes of 1X = (4.85 mm/s at axial, 2.6 mm/s at vertical and 3.5 mm/s at horizontal).

Figure 11 shows the spectrum of the motor It's obvious that there is extreme rise in the amplitude of 1X = (5.4 mm/s at axial, 4.02 mm/s at vertical and 4.2 mm/s at horizontal).

Figure 12 shows the spectrum of the pump DE. It's observed that there is extreme rise in the amplitude of 1X = (1.3 mm/s at axial, 2.7 mm/s at vertical and 3.2 mm/s at horizontal).

Figure 13 shows the spectrum of the pump NDE. It's obvious that there is extreme rise in the amplitude of 1X = (1.39 mm/s at axial, 0.75 mm/s at vertical and 1.7 mm/s at horizontal). There is a noticeable change in the readings and it's exceeding the alarm limits.

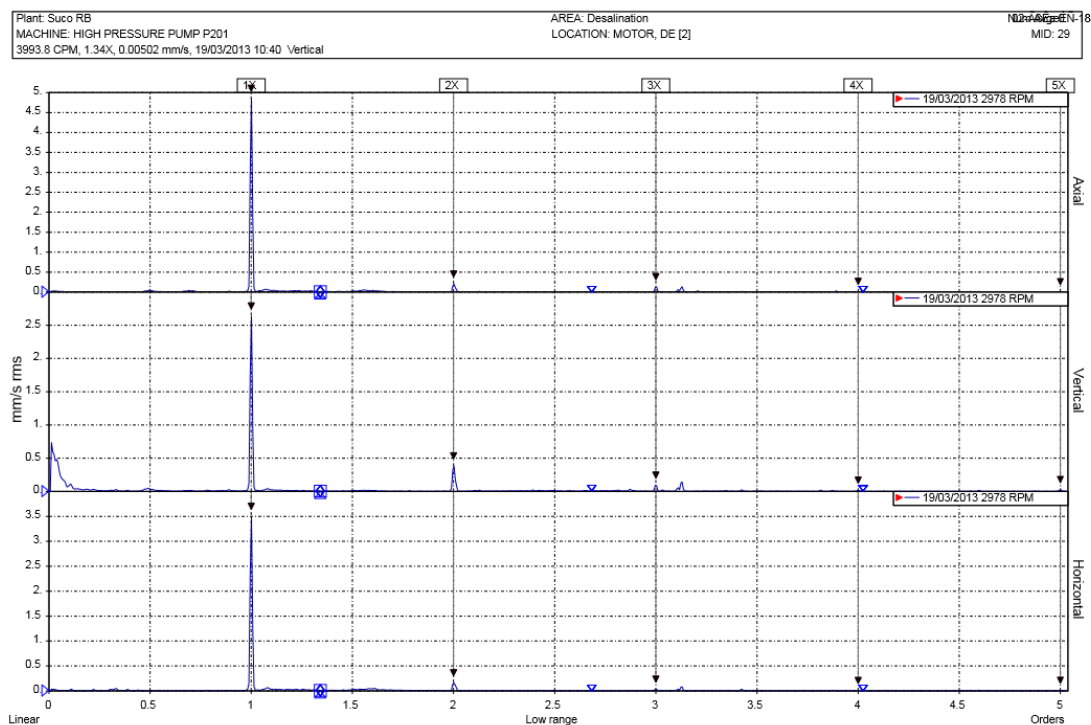


Fig. 10: Abnormal vibration signature of motor drive end due to unbalanced rotor

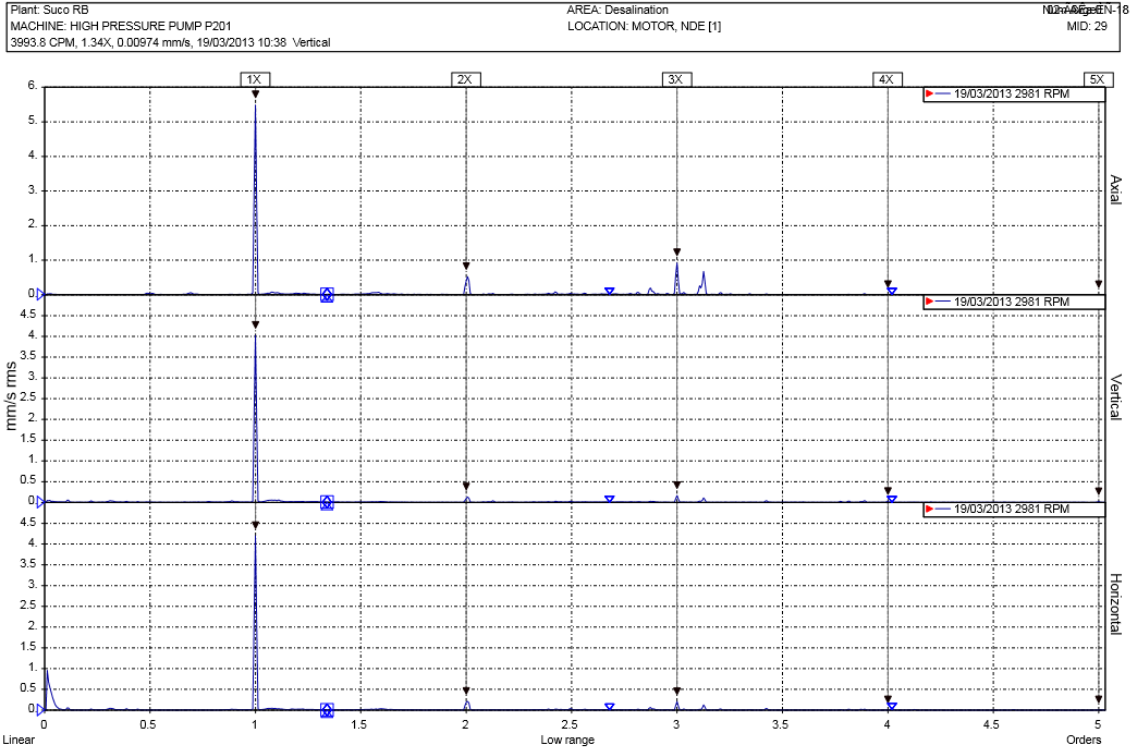


Fig. 11: Abnormal vibration signature of motor non-drive end due to unbalanced rotor

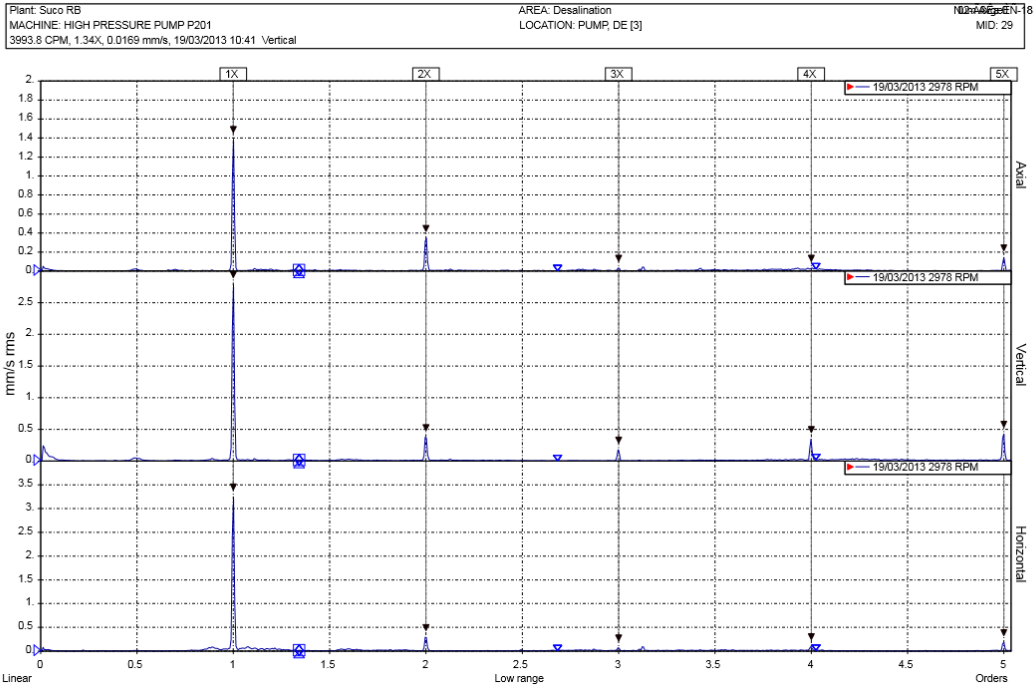


Fig. 12: Abnormal vibration signature of pump drive end due to unbalanced rotor



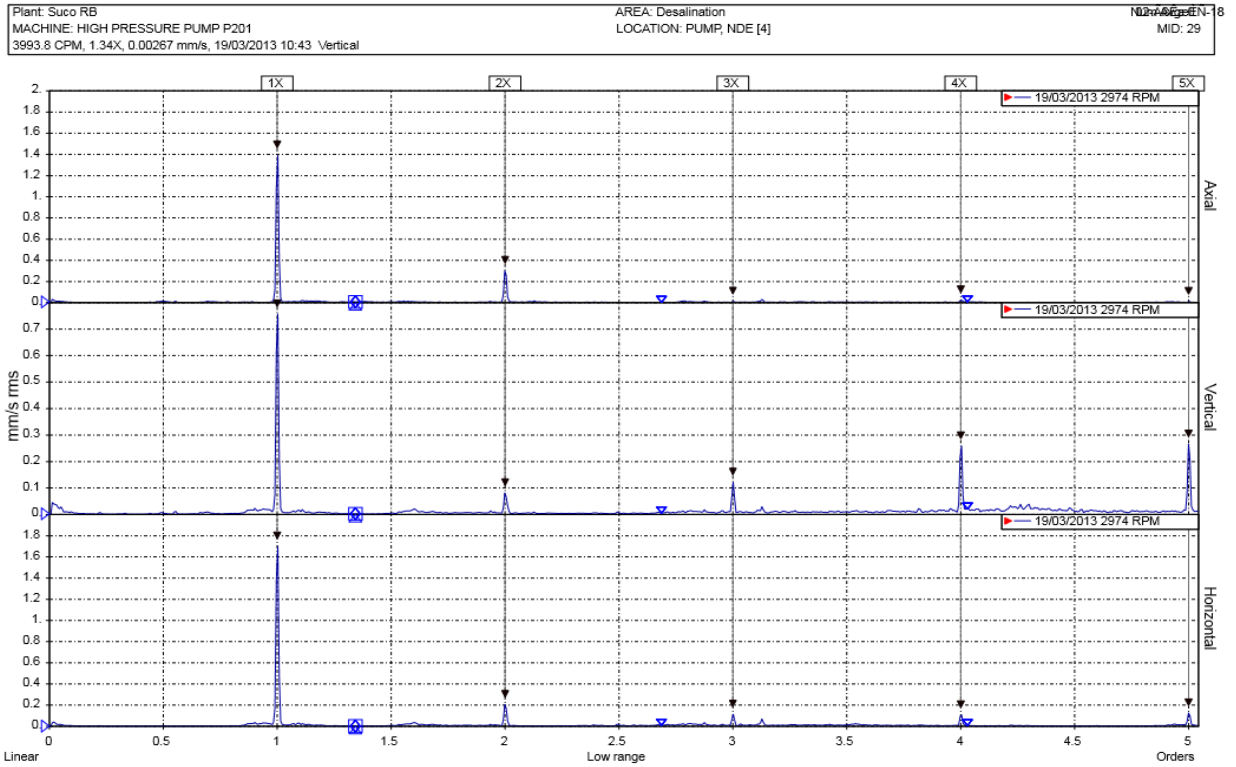


Fig. 13: Abnormal vibration signature of pump non-drive end due to unbalanced rotor

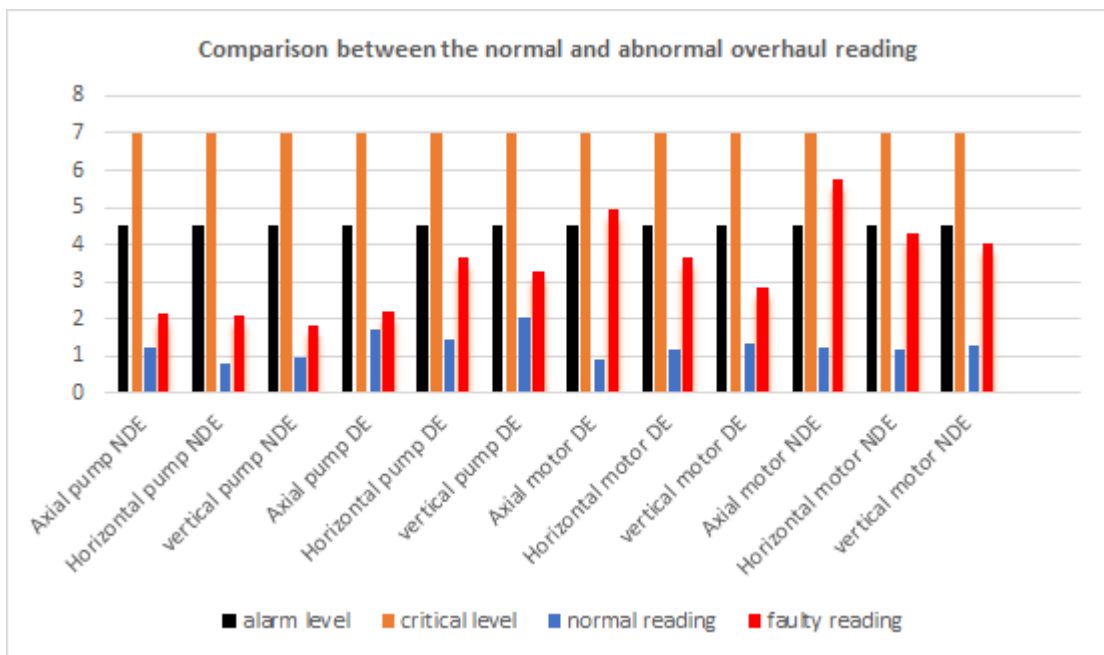


Fig. 14: Comparison between the normal and abnormal (due to unbalance in the rotor) overall reading from Table 1

### 5.3 Comparison between normal and abnormal faulty conditions due to unbalance in the rotor

Figure 14 shows the comparison between the alarm, critical level, overall readings and the abnormal readings in sequence.

The comparison between the normal and faulty conditions are shown on Figures 15 through 18, where the faulty case is represented with black color and the normal case is represented with blue color at

the four positions. There is an increase in the amplitude of the abnormal reading than the normal reading at 1X.

Figure 19 shows the time waveform of the motor drive end at the three axes. The X axis represents the time in second and the Y axis represents the amplitude in mm/s. it is obvious that the wave is sinusoidal wave. Figure 20 shows the time waveform of the motor non-drive end at the three axes

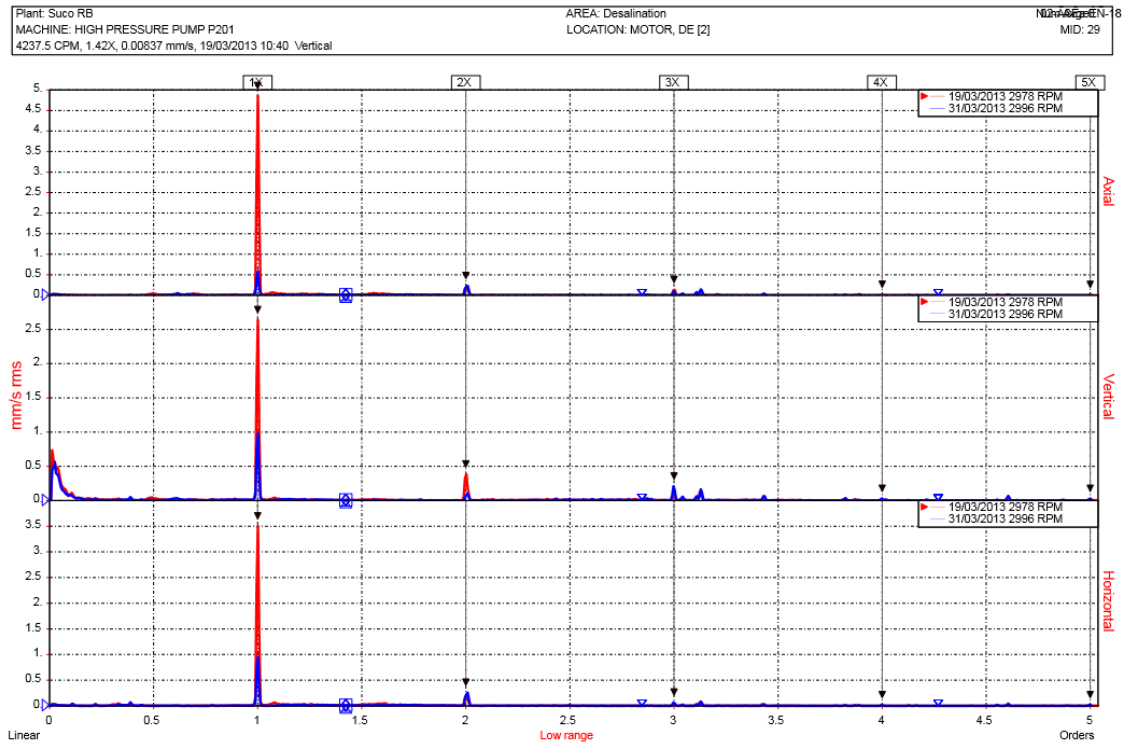


Fig. 15: Comparison between normal and abnormal vibration signature of motor drive end due to unbalance

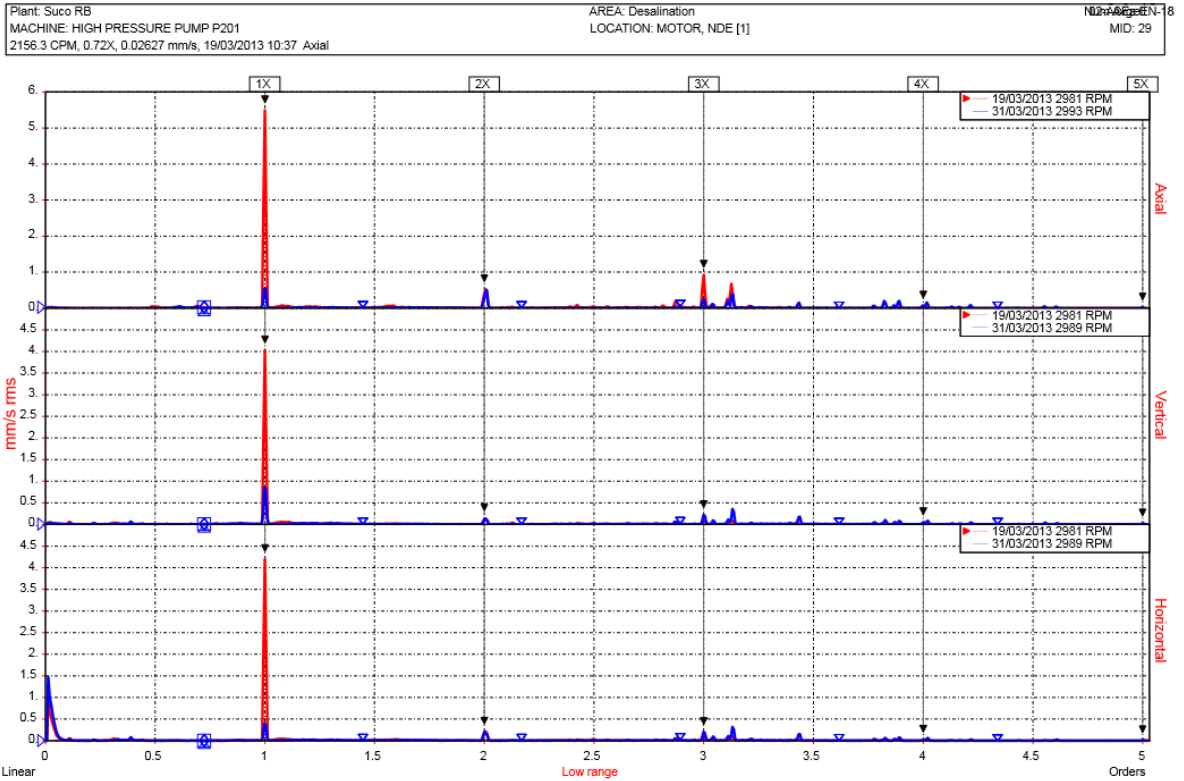


Fig. 16: Comparison between normal and faulty vibration signature of motor non- drive end due to unbalance

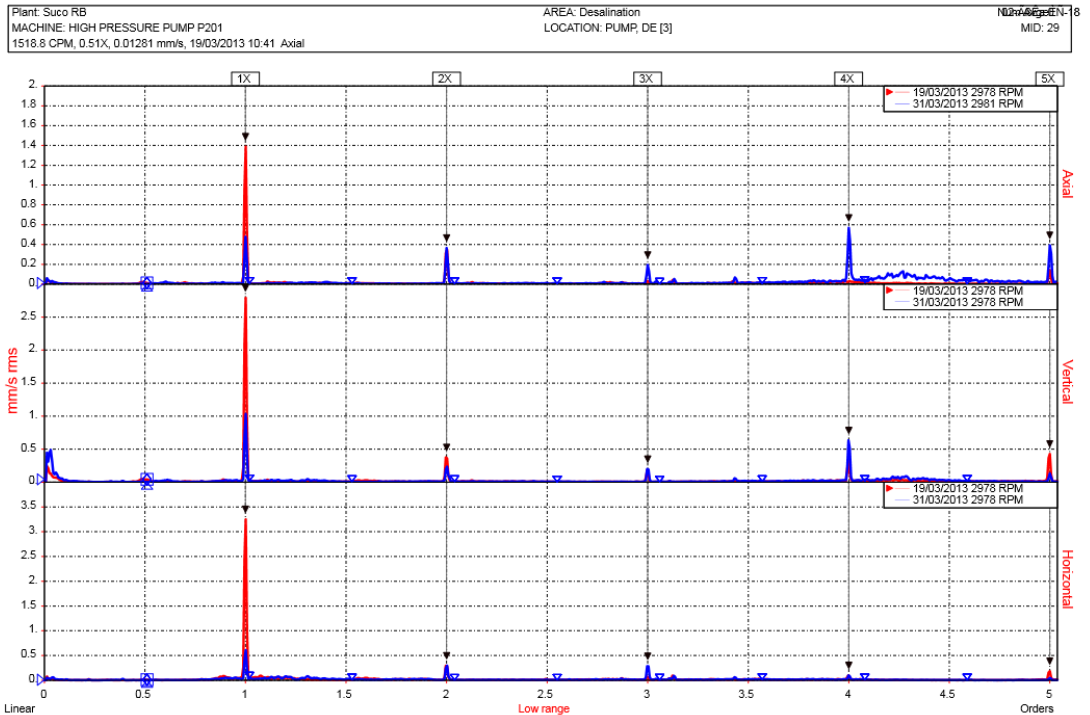


Fig. 17: Comparison between normal and faulty vibration signature of pump drive end due to unbalance

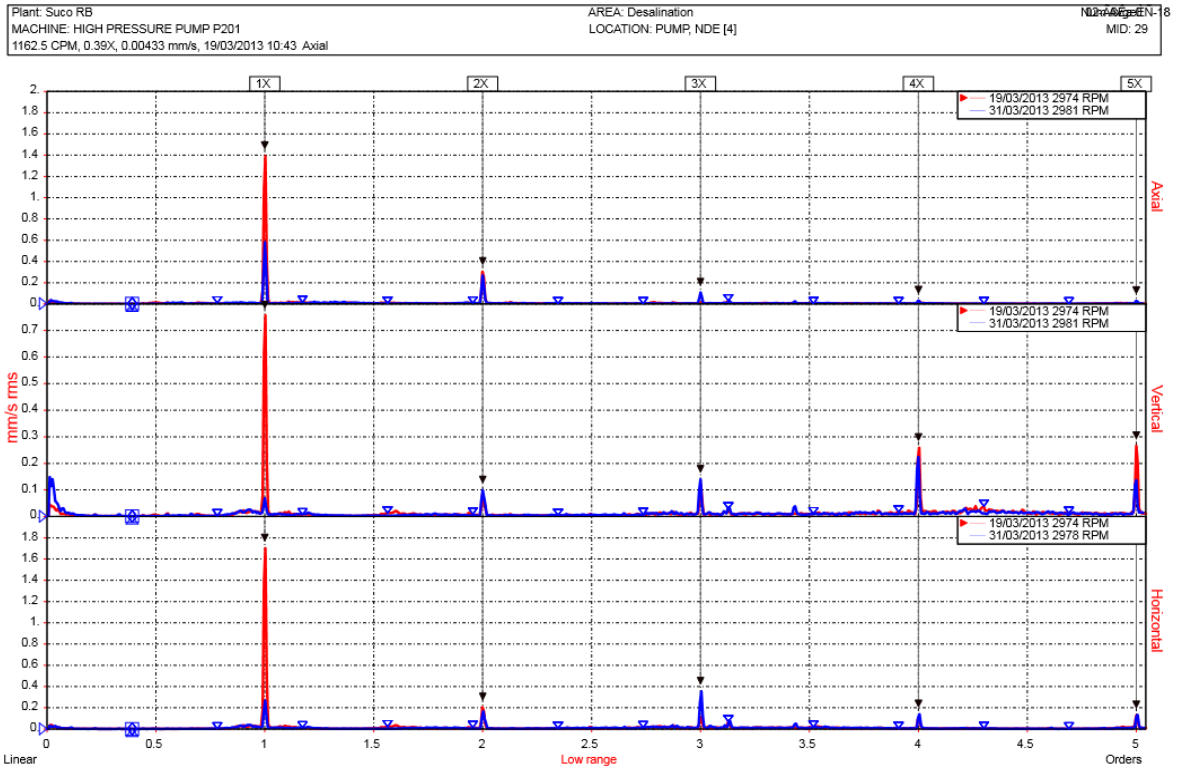


Fig. 18: Comparison between normal and faulty vibration signature of pump non-drive end due to unbalance

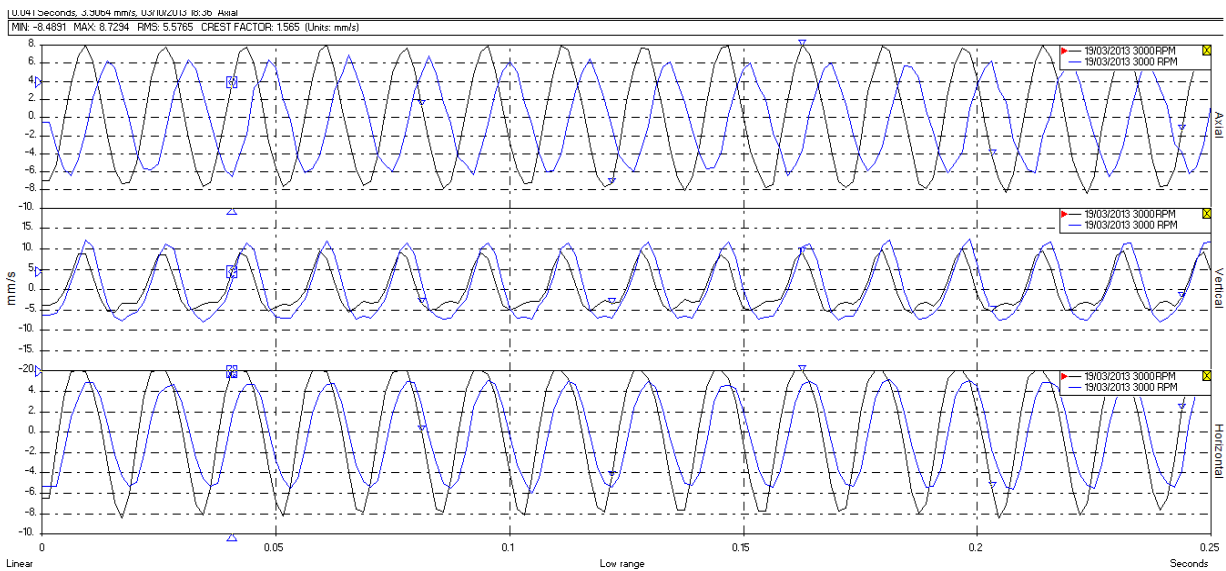


Fig. 19: Motor bearings (drive end & non-drive end) are in-phase

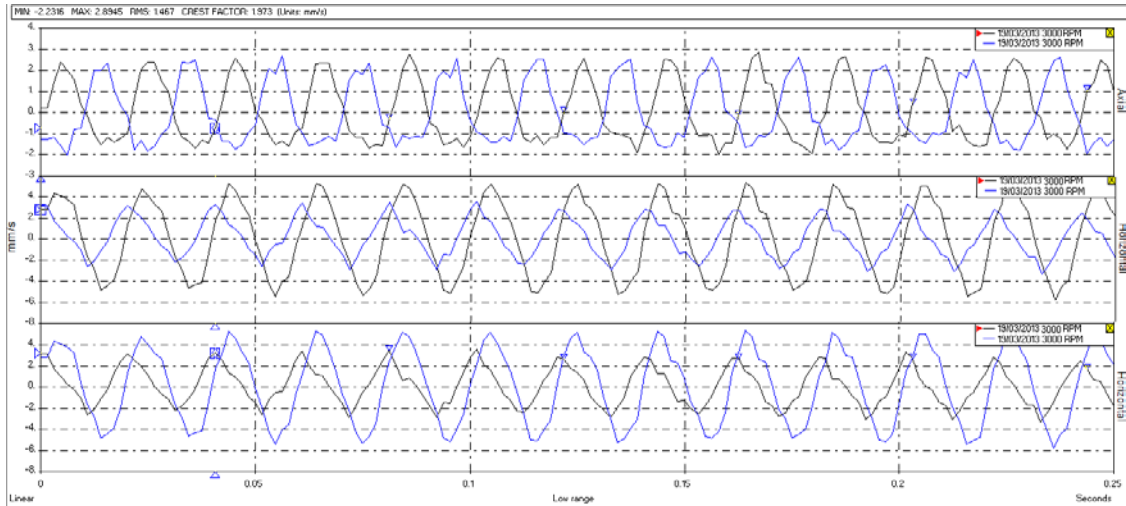


Fig. 20: Pump bearings (drive end & non-drive end) are in-phase

## 6. Finding and discussion

Vibration signatures, as shown in Figures 15, 16, 17, and 18 show the maximum average amplitudes for all positions (Motor DE, Motor NDE, Pump DE and Pump NDE) in three directions (A, V and H). The maximum amplitude exists at 1X RPM ( $\approx 50$  Hz). The vibration spectrum is represented for both cases (normal and faulty due to unbalance) and for all positions (Motor DE, Motor NDE, Pump DE and Pump NDE). It is found that the average maximum amplitude at 1X in the three directions for faulty condition is higher than the normal condition. It has obviously seen that the amplitudes (of the motor DE 5.74 & motor NDE 4.97) in the axial direction represent the highest amplitudes because the unbalance creates a bending moment on the shaft causing the bearing house to move axially. The amplitudes at 1X levels are nearly equal when comparing the vertical and horizontal amplitudes. The amplitude increases proportionally with the square of the rotational speed.

Figure 19 shows a comparison between the motor bearings (DE & NDE). This comparison indicates that there is a ninety  $90^\circ$  phase shift in the axial direction but the vertical and horizontal direction are in phase. The time wave shows nearly sinusoidal waves. The noise on the measured signals may cause some deformation of the sinusoidal wave. This deformation may be corrected using a digital filter.

Unknown faults may also cause additional deformation of the timewave

Figure 20 shows comparison between the pump bearings (DE & NDE). This comparison indicates that there is a ninety  $90^\circ$  phase shift in the axial direction but the vertical and horizontal direction are in phase. This case may be considered as a good example for overhung unbalance

## 7. Conclusion

- The experimental study shows that the unbalanced rotors (in an overhung or cantilevered pump) generates a high amplitude peak at 1X of shaft rotational speed when measured in the radial direction. The vibration level at the axial direction will be highest.
- Unbalance produces a bending moment on the shaft causing the bearing house to move axially leading to increase the amplitude in this direction.
- For the same unbalanced weight, increasing the rotational speed results in increasing the amplitude at 1X. Actually, the increase in the centrifugal force due to increasing the speed increases the amplitude value. The amplitude increases proportionally with the square of the rotational speed.
- By comparing the vertical and the horizontal 1X level found that they are nearly equal.
- It may be necessary to break the coupling

between the pump and the motor to know which of them is the cause of unbalance. Monitoring the amplitude at 1X for the motor will indicate whether it's the cause of unbalance or the pump.

- The time domain signal has a sinusoidal wave. The existence of some noise or unknown faults may slightly deform the sinusoidal wave.
- 1X RPM peaks should occur on both bearings in the same direction.
- 1X RPM peaks in the vertical direction are greater than the 1X RPM peaks in the horizontal direction

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Appendix 1 ISO 10816 [8]

VIBRATION SEVERITY PER ISO 10816					
Machine		Class I small machines	Class II medium machines	Class III large rigid foundation	Class IV large soft foundation
in/s	mm/s				
Vibration Velocity Vrms	0.01	0.28			
	0.02	0.45			
	0.03	0.71		good	
	0.04	1.12			
	0.07	1.80			
	0.11	2.80		satisfactory	
	0.18	4.50			
	0.28	7.10		unsatisfactory	
	0.44	11.2			
	0.70	18.0			
	0.71	28.0		unacceptable	
1.10	45.0				